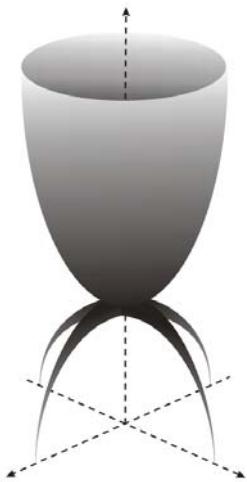


Experimental identification of HTSC pairing mechanism by unification of modern momentum resolving techniques

Alexander Kordyuk

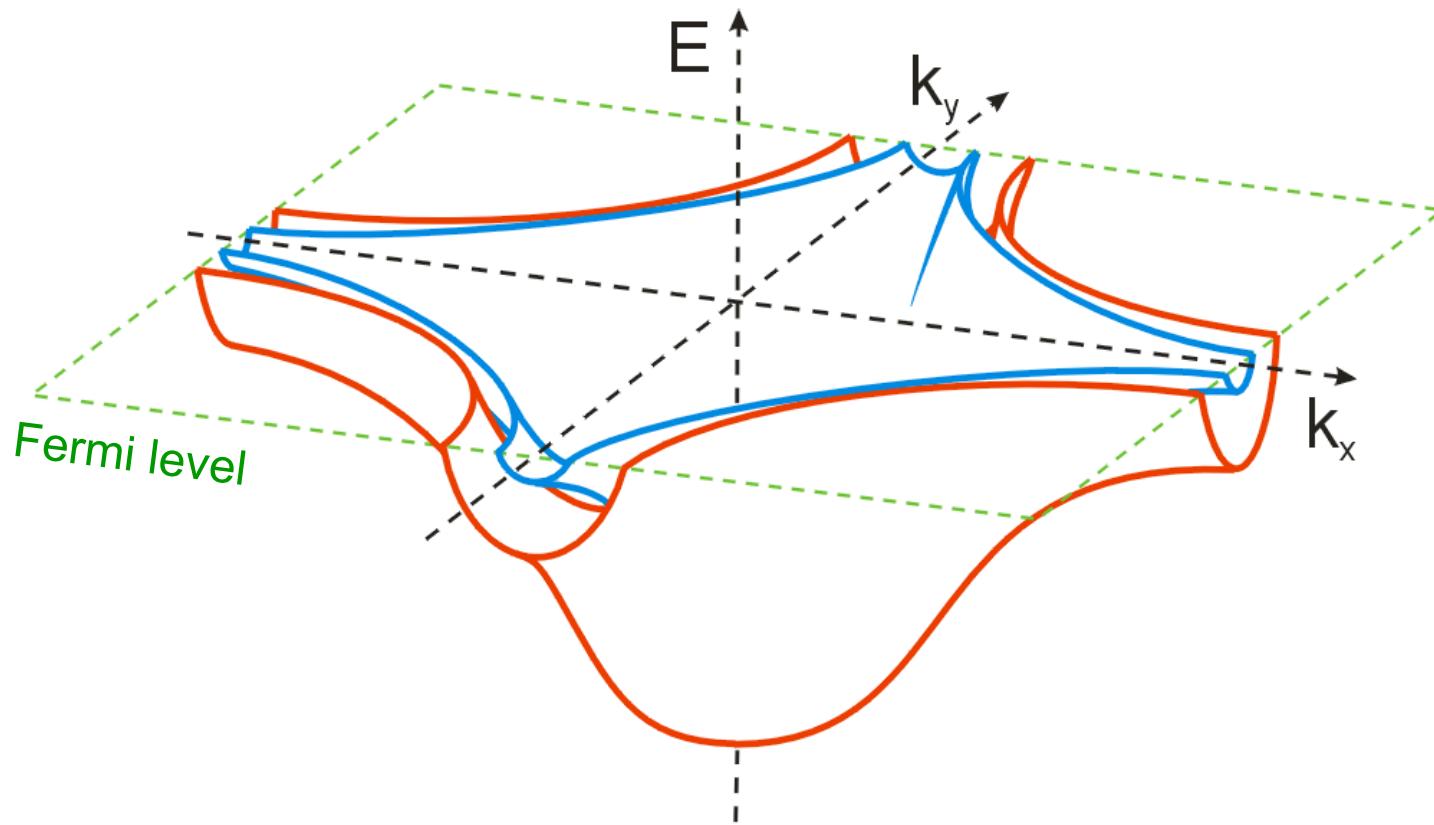


IFW-Dresden, Germany
Institute of Metal Physics, Kiev, Ukraine

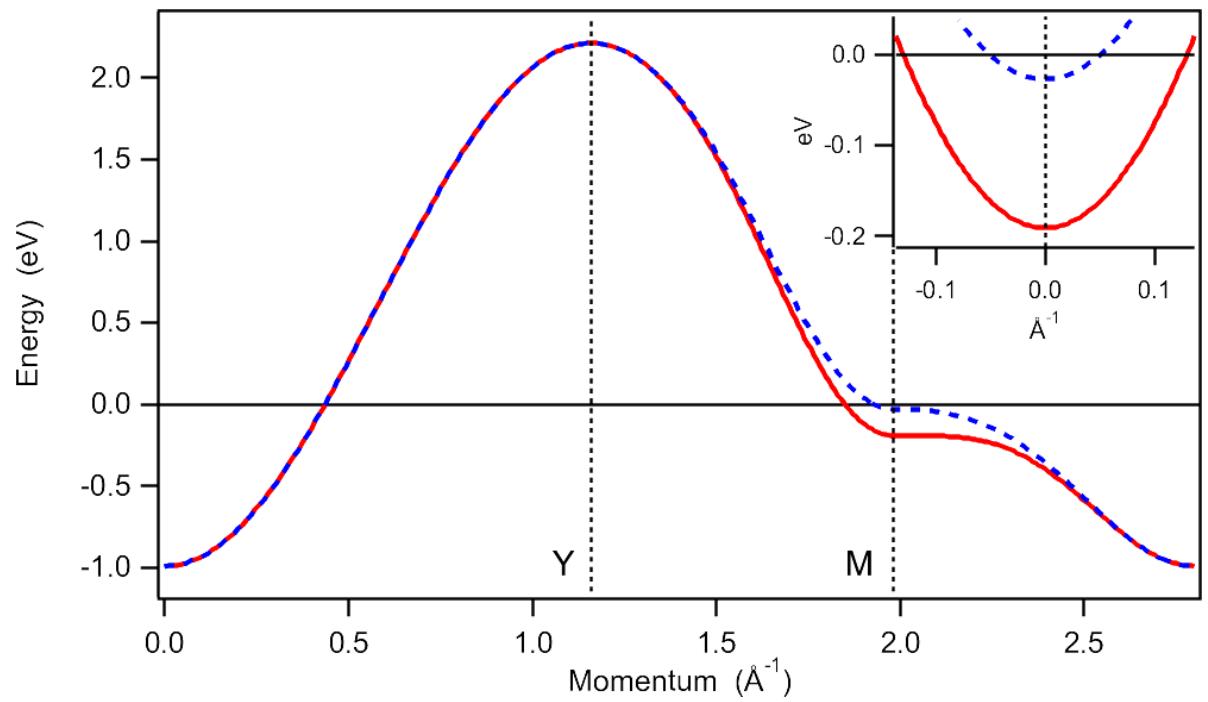
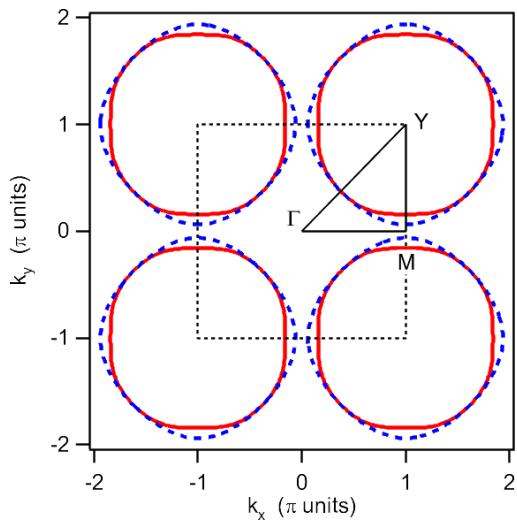
FPS'06, Zvenigorod, Russia

The **complexity** of HTSC properties
is caused by the **complexity** of
their electronic structure

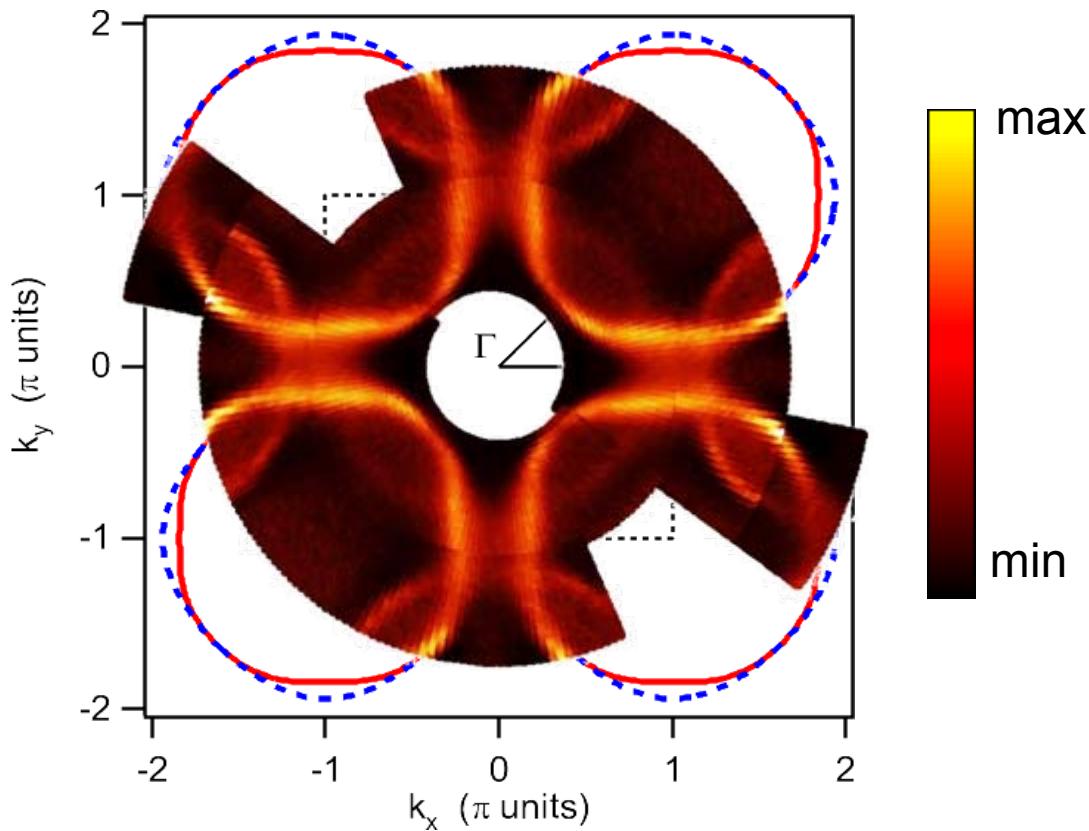
The **complexity** of HTSC properties
is caused by the complexity of
their **electronic structure**



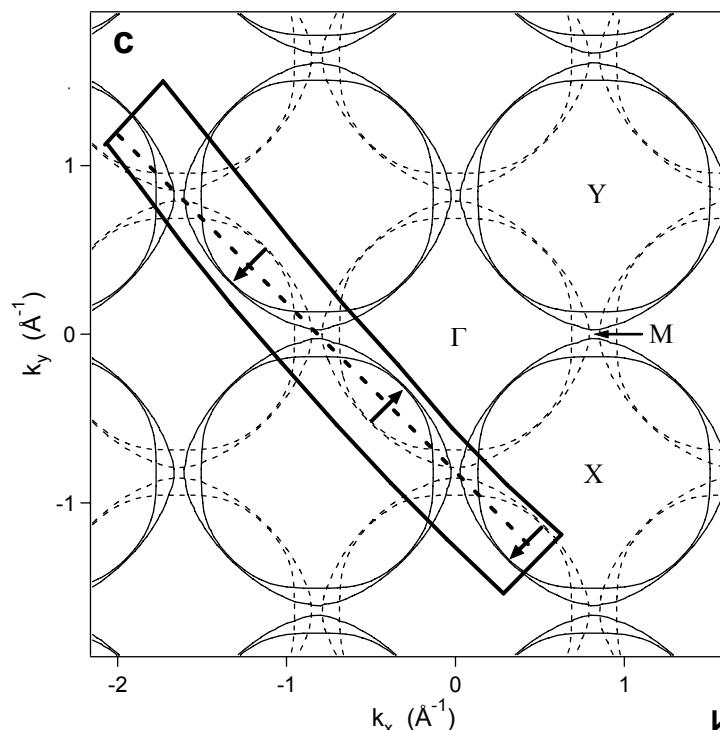
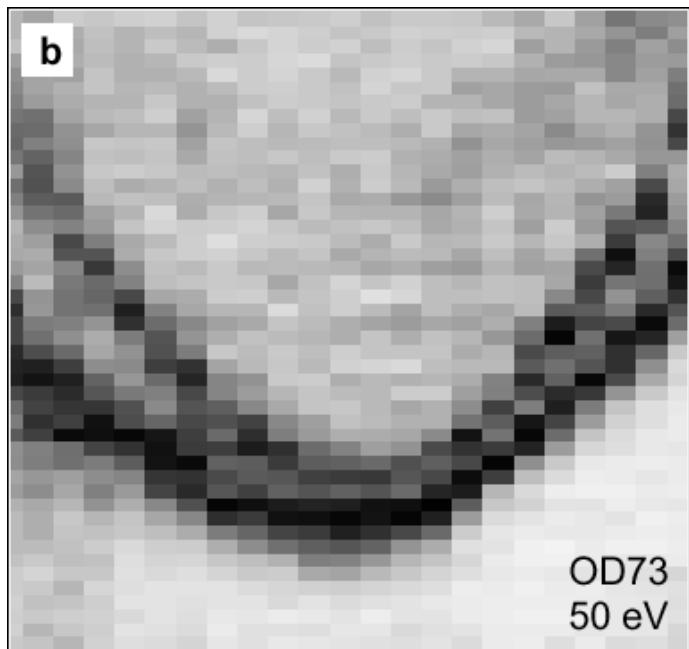
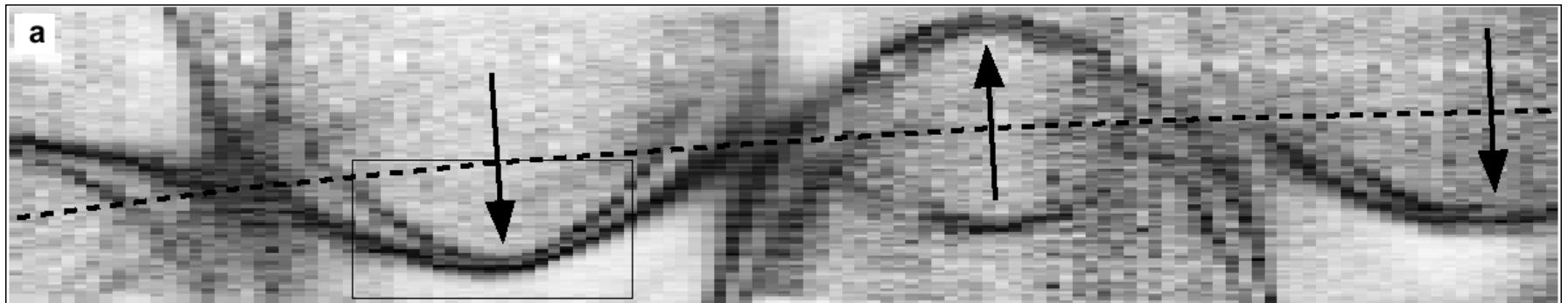
The **complexity** of HTSC properties is caused by the complexity of their **electronic structure**



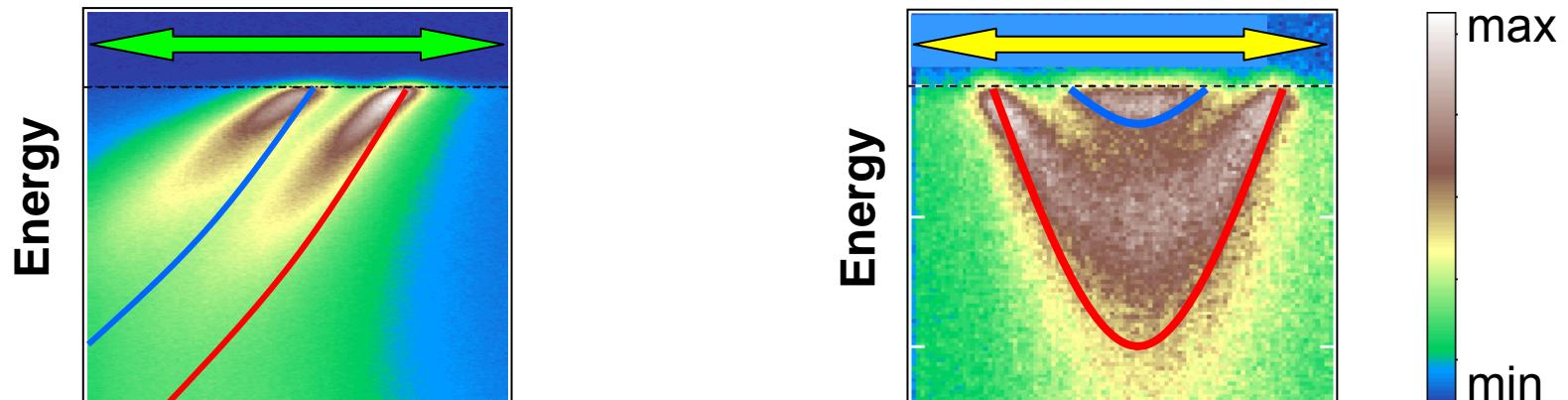
Electronic structure of HTSC is bare band dispersion



Electronic structure of HTSC is bare band dispersion

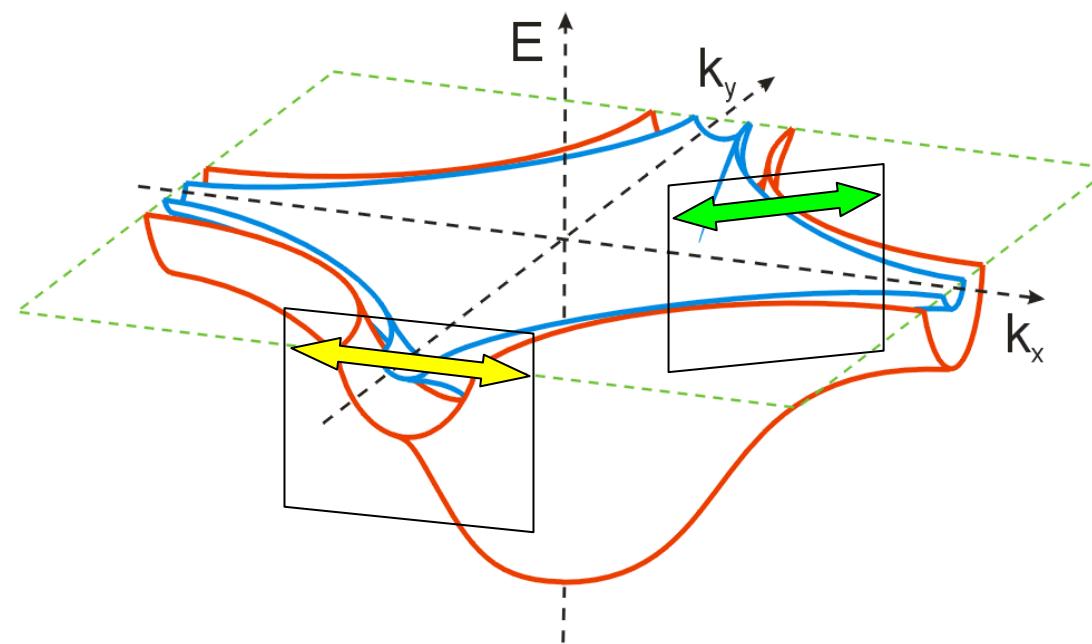


Electronic structure of HTSC is bare band dispersion + self-energy

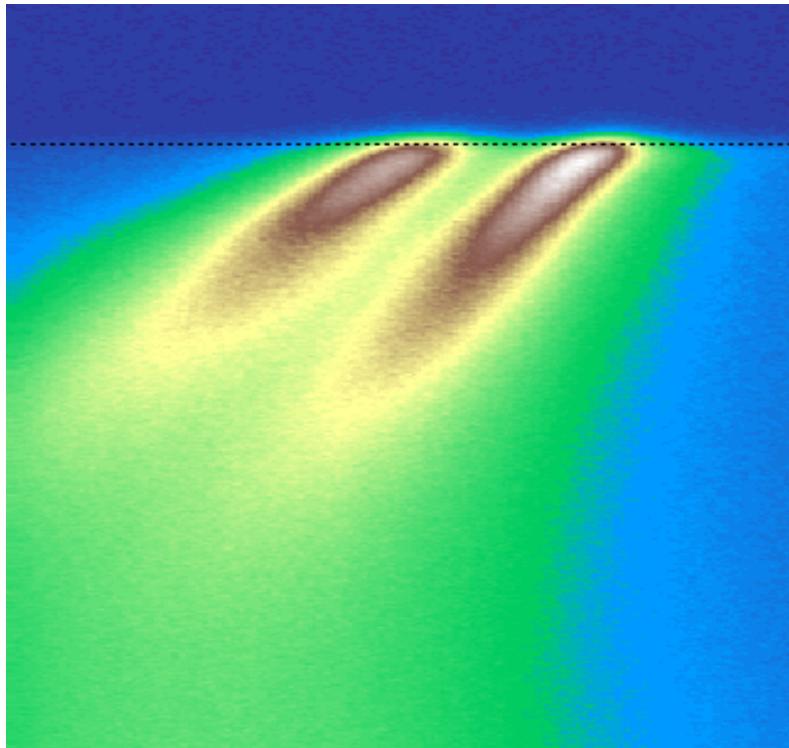


Momentum

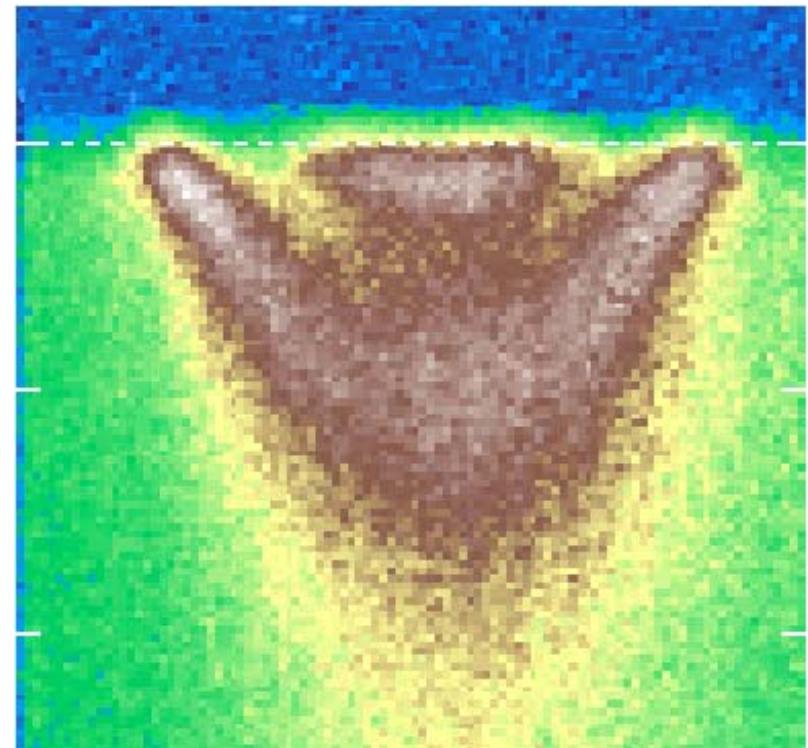
Momentum



Electronic structure of HTSC is bare band dispersion + self-energy



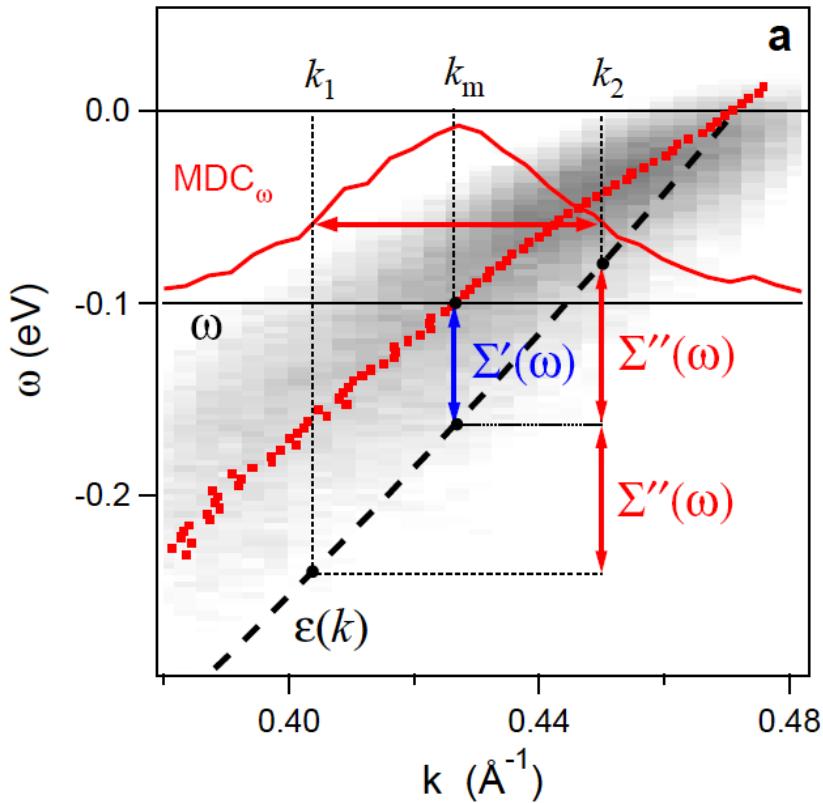
2006



2002

Both $\varepsilon_{\mathbf{k}}$ and $\Sigma(\omega)$ can be derived from experiment

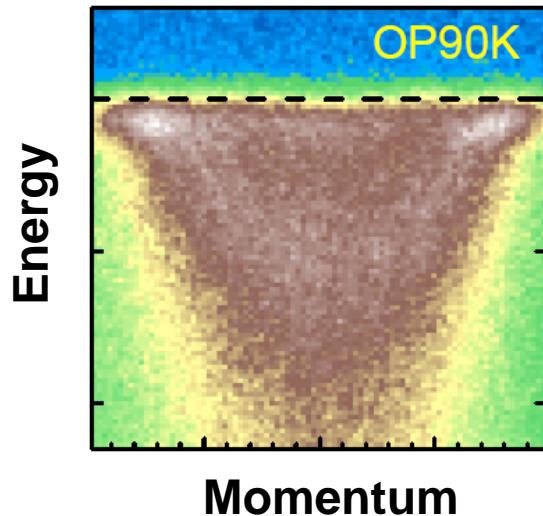
$$A(\mathbf{k}, \omega) = \text{Im } G = \text{Im} \left(\frac{1}{\omega - \varepsilon_{\mathbf{k}} - \Sigma(\mathbf{k}, \omega)} \right)$$



$$\underline{I(\mathbf{k}, \omega) = A(\mathbf{k}, \omega)f(\omega)}$$

$$\Sigma(\omega) = \Sigma'(\omega) + \Sigma''(\omega)$$

Quasiparticle spectral function in the superconducting state



$\Sigma(\mathbf{k}, \omega)$ and $\Delta(\mathbf{k}, \omega)$?

$$A = \text{Im} G_{11} = \text{Im} \left[\frac{\omega - \Sigma + \varepsilon_{\mathbf{k}}}{(1 - \Delta^2 / \omega^2)(\omega - \Sigma)^2 - \varepsilon_{\mathbf{k}}^2} \right]$$

1. During the last decade, the conception of the HTSC phenomenon evolved **from complexity to simplicity**, which is a simple result of continued development of experimental techniques.
2. Now, in the optimal for superconductivity doping range, the cuprates much resemble a **normal metal** with **well predicted electronic band structure**, but with rather **strong electron-electron interaction**.
3. This principal disentanglement of the complex physics from complex structure reduced the mystery of HTSC to a tangible **problem of interaction** responsible for quasi-particle formation and superconducting pairing.

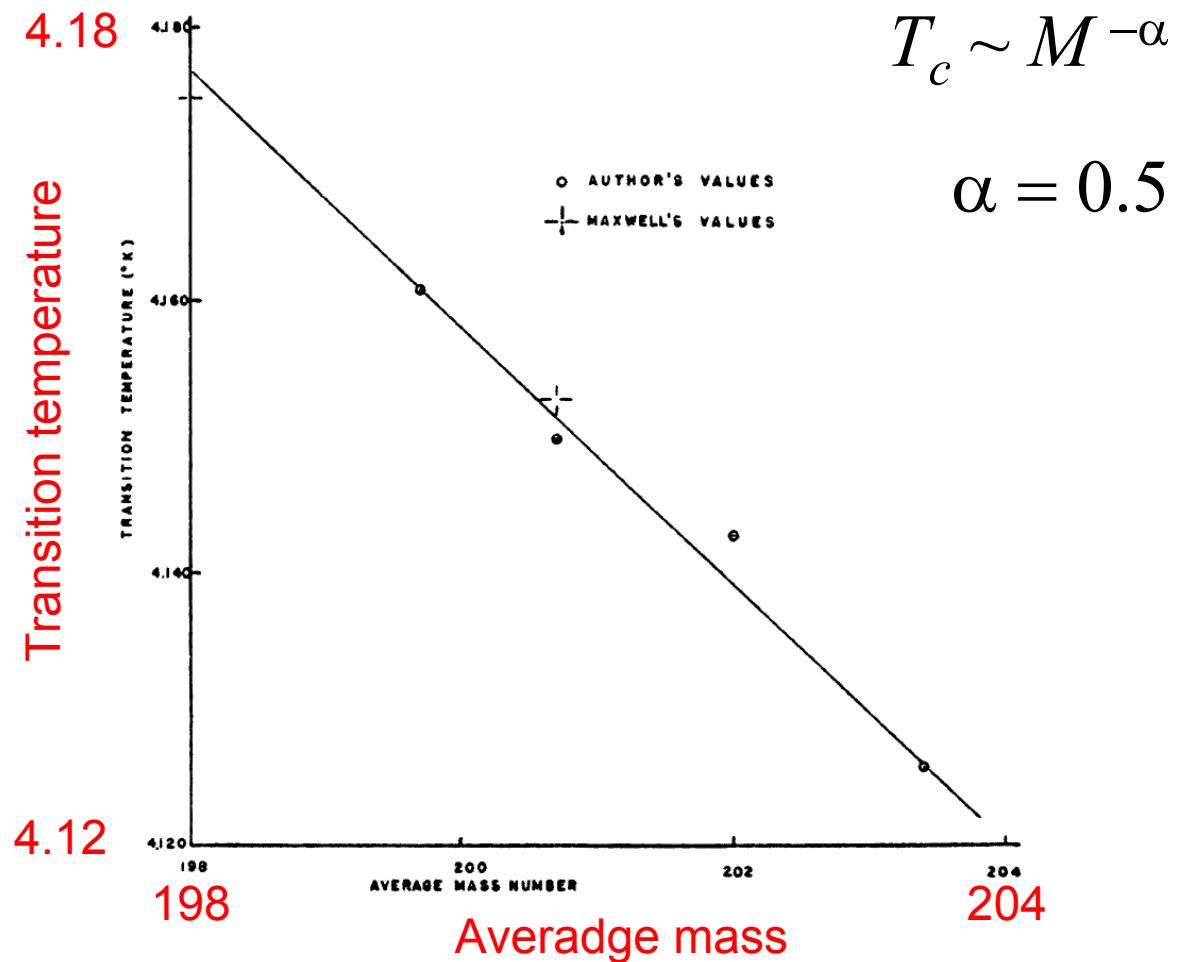
Homing in on HTSC

BREAKTHROUGH OF THE YEAR

Areas to Watch in 2006

Homing in on high- T_c . In 1986, physicists discovered that certain compounds laden with copper and oxygen carry electricity without resistance, some now at temperatures as high as 138 kelvin. Twenty years later, researchers still aren't sure precisely how high- T_c superconductors work. But a variety of exquisitely sensitive experimental techniques should cull the vast herd of possible explanations.

The isotope effect?



Maxwell and Reynolds et al. PR 1950

The isotope effect?

Conventional SC

effect on T_c **only**

negligible on other
electronic
properties

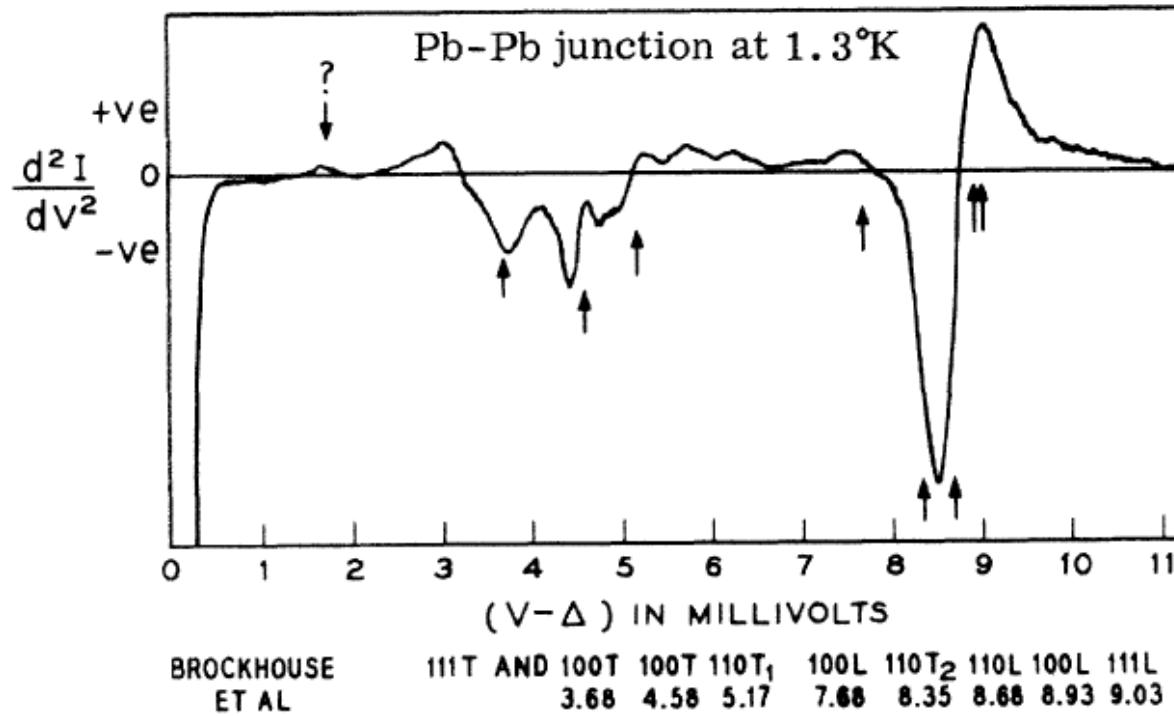
HTSC

effect is
unconventional

it is weak on T_c :
 $\alpha \sim 0.05$ at OpD
but up to 0.5 at UD

It is **comparably large**
on other electronic
properties, e.g.
electron effective mass

“Fingerprints” of the phononic spectrum in tunneling differential conductance



Rowell PRL 1963

Eliashberg equations

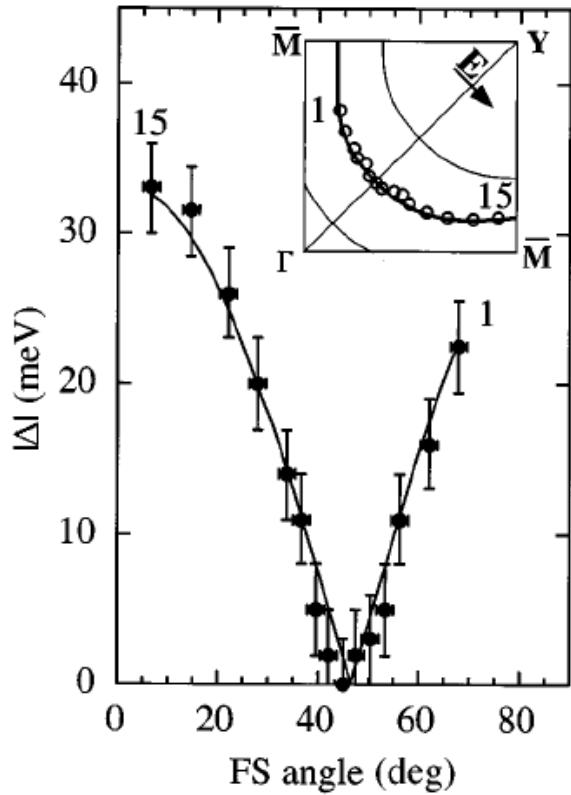
$$\Delta(\omega) = \frac{1}{Z(\omega)} \int_0^{\omega_c} d\omega' \operatorname{Re} \left\{ \frac{\Delta(\omega')}{(\omega'^2 - \Delta^2(\omega'))^{1/2}} \right\} [K_+(\omega', \omega) - N(0)U_c]$$

$$[1 - Z(\omega)]\omega = \int_0^{\infty} d\omega' \operatorname{Re} \left\{ \frac{\omega'}{(\omega'^2 - \Delta^2(\omega'))^{1/2}} \right\} K_-(\omega', \omega)$$

$$K_{\pm}(\omega, \omega') = \sum_{\lambda} \int_0^{\infty} d\nu \alpha_{\lambda}^2(\nu) F_{\lambda}(\nu) \left[\frac{1}{\omega' + \omega + \nu + i\delta} \pm \frac{1}{\omega' - \omega + \nu - i\delta} \right]$$

el-ph coupling constant phonon DOS

HTSC?

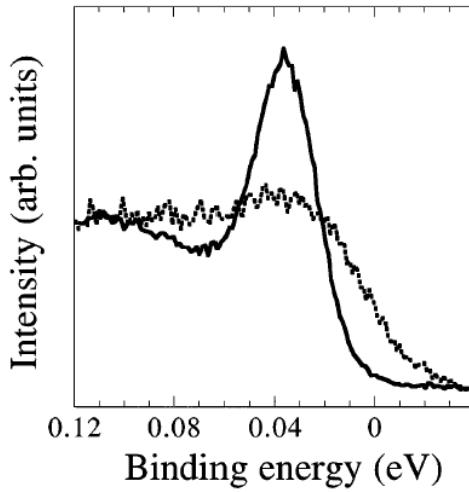
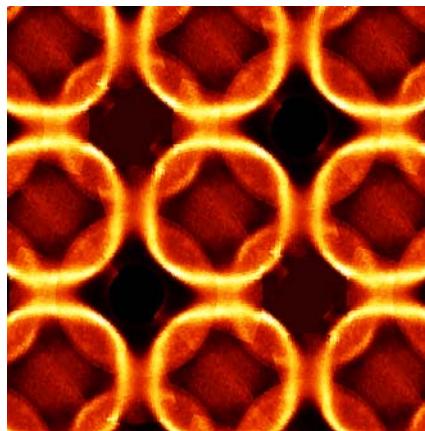


Ding *PRB* 1996

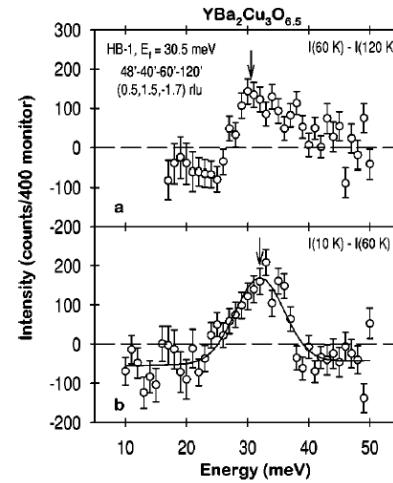
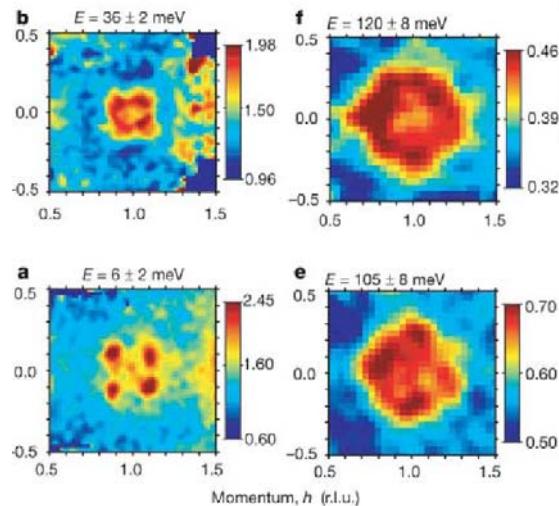
In HTSC, the **d-wave gap** washes out any fine structure
in the **momentum integrated tunneling spectra**

Modern momentum resolving techniques

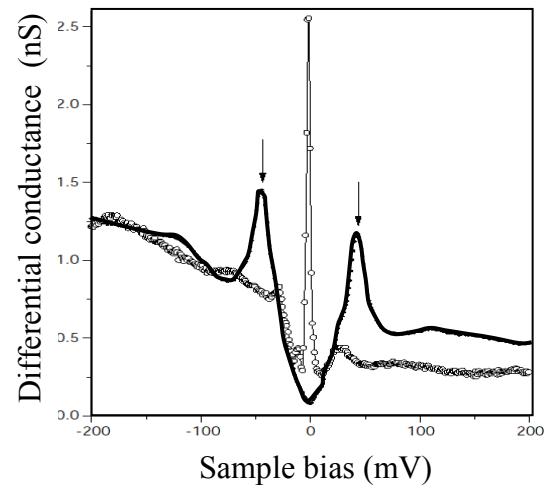
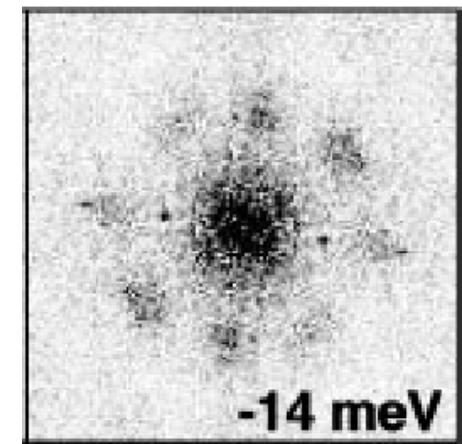
ARPES



INS

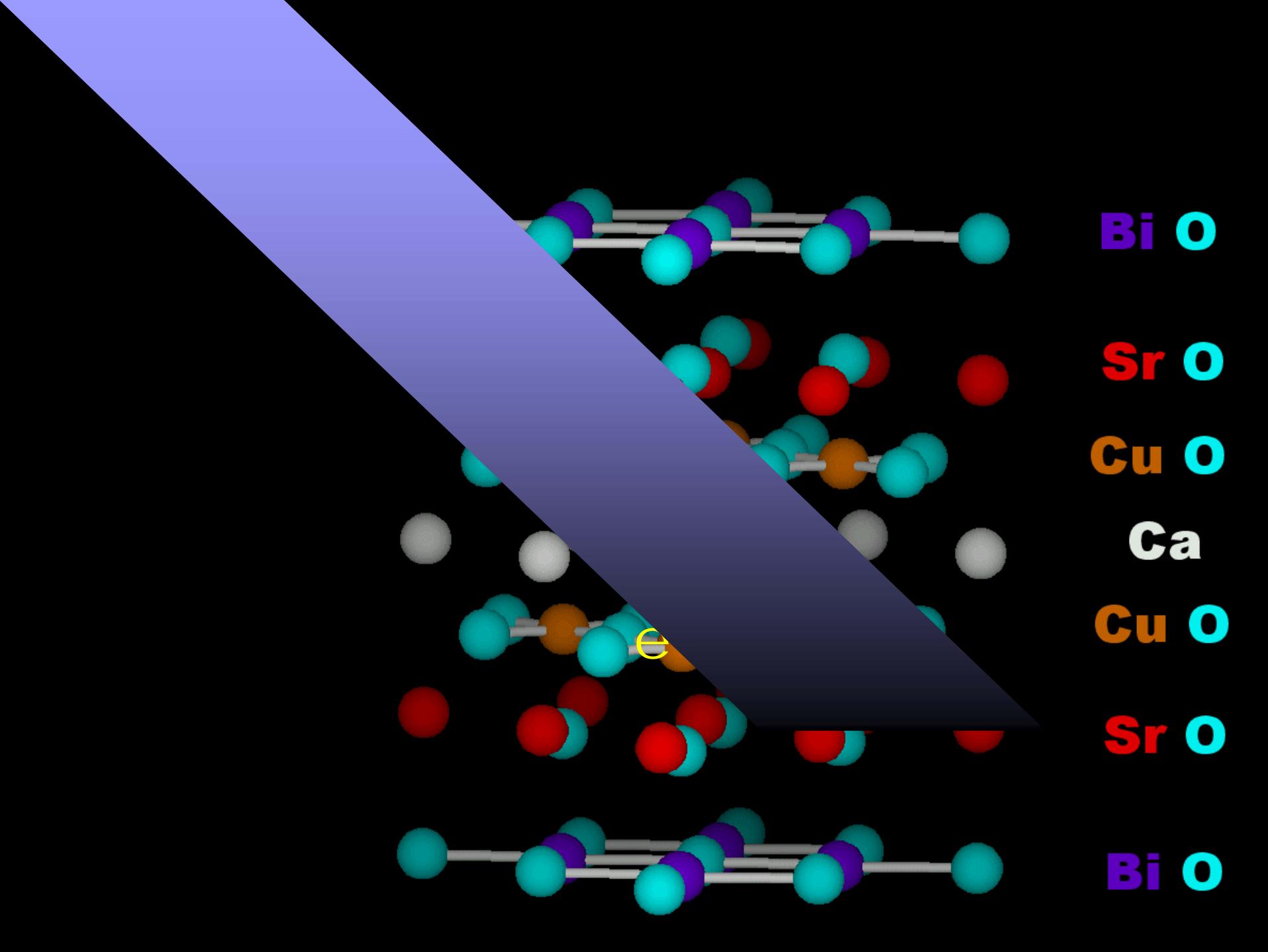


STS



Short introduction to ARPES

the most direct tool to explore the momentum-energy space of the electrons in solids



Bi O

Sr O

Cu O

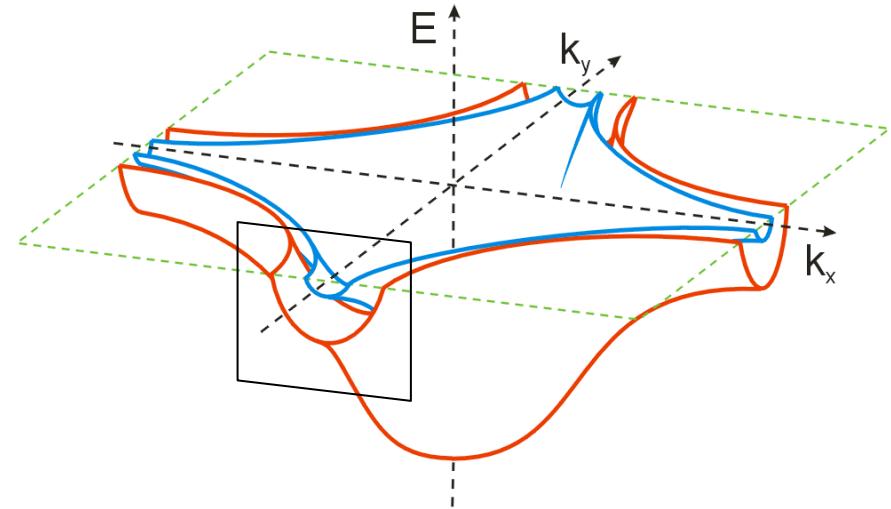
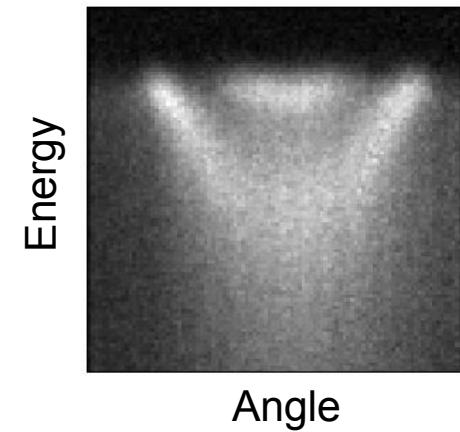
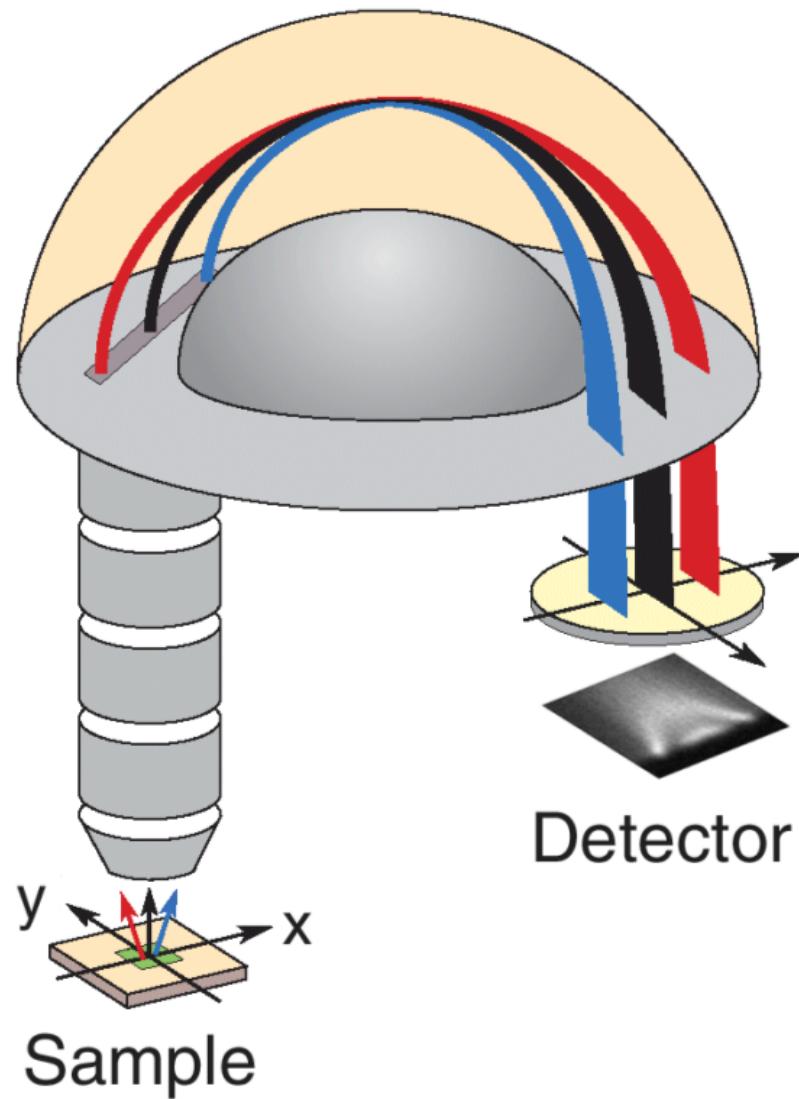
Ca

Cu O

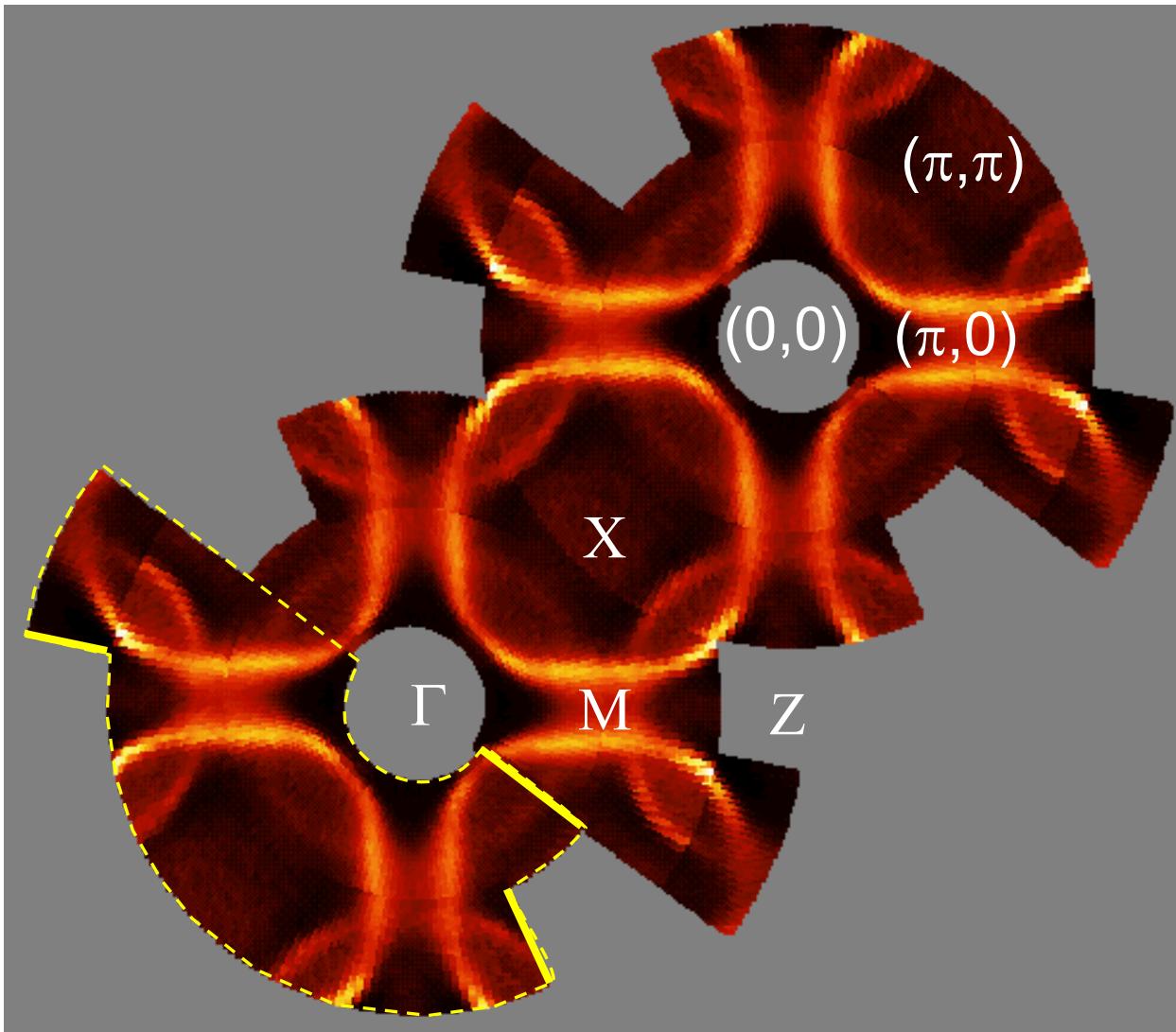
Sr O

Bi O

Angle Resolved Analyser

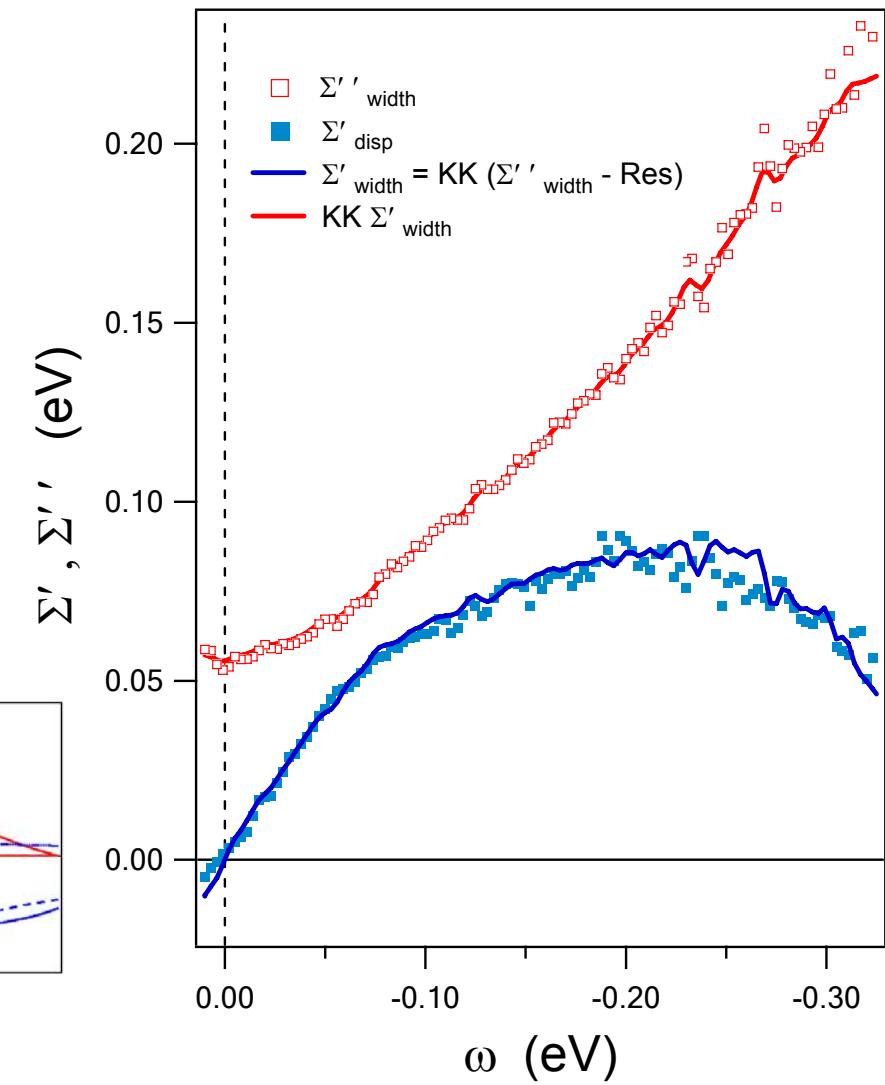
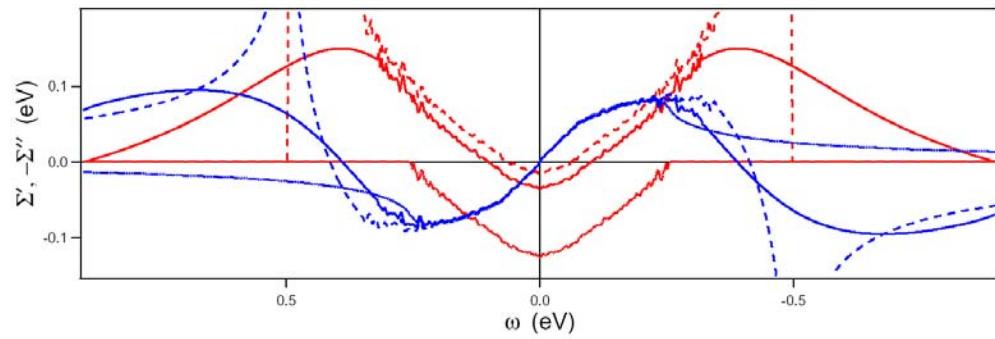
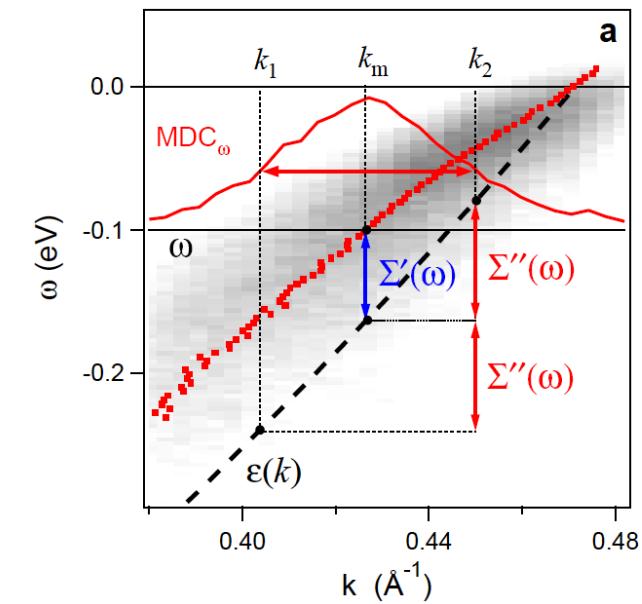


Fermi-surface map

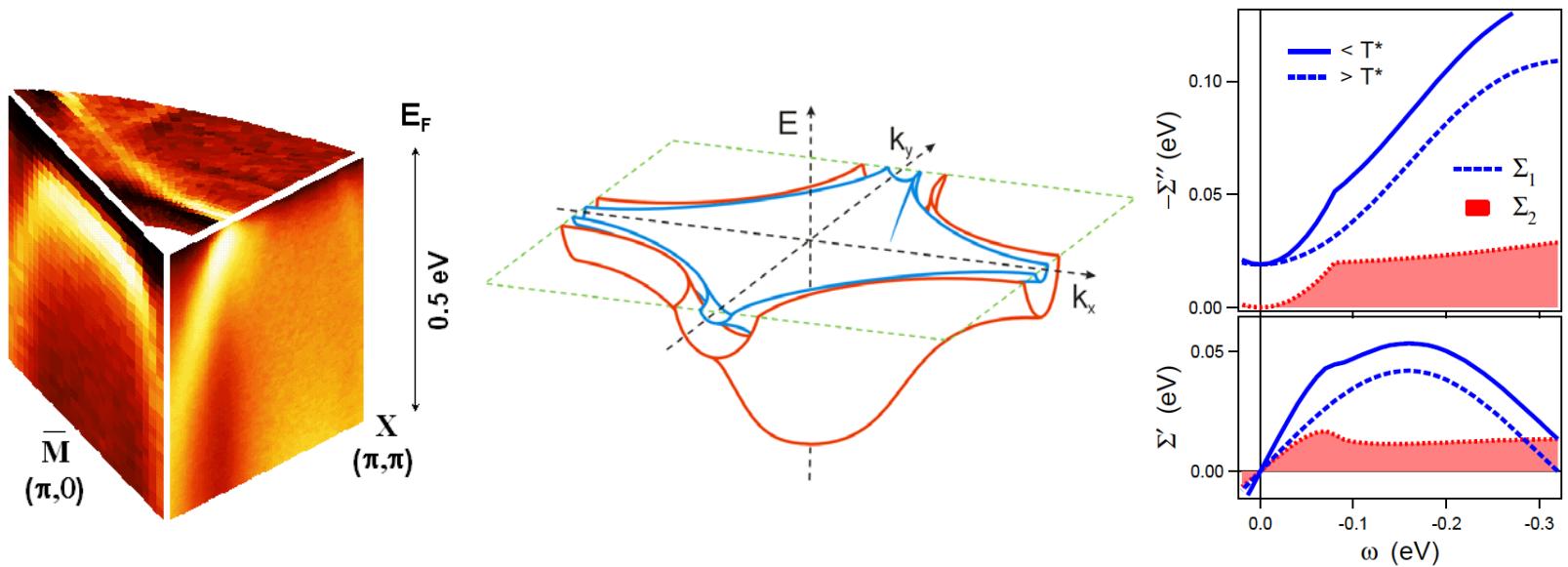


Kramers-Kronig transform

$$\Sigma'(\omega) = \text{KK } \Sigma''(\omega)$$



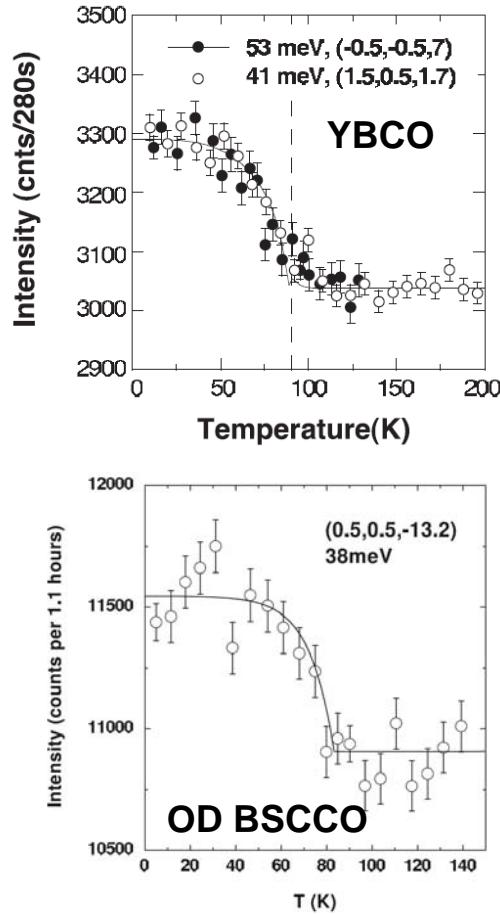
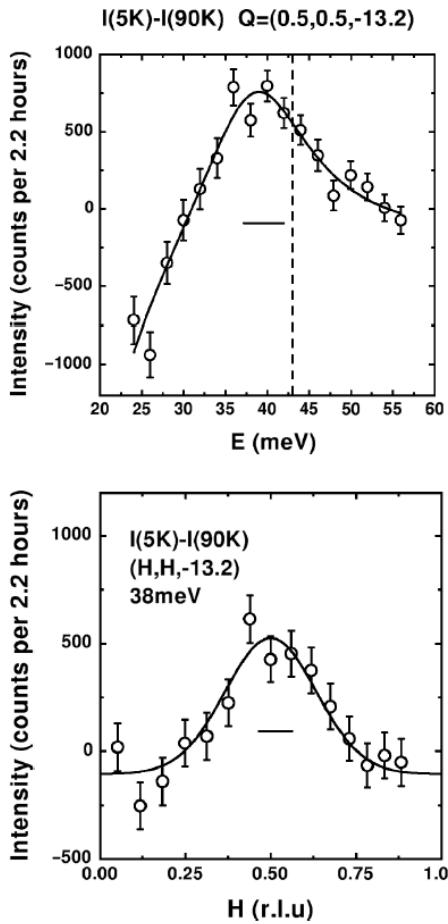
ARPES provides



$$A(\mathbf{k}, \omega) f(\omega) \longrightarrow \varepsilon_{\mathbf{k}} + \Sigma(\mathbf{k}, \omega) + \Delta(\mathbf{k}, \omega) ?$$

Inelastic Neutron Scattering

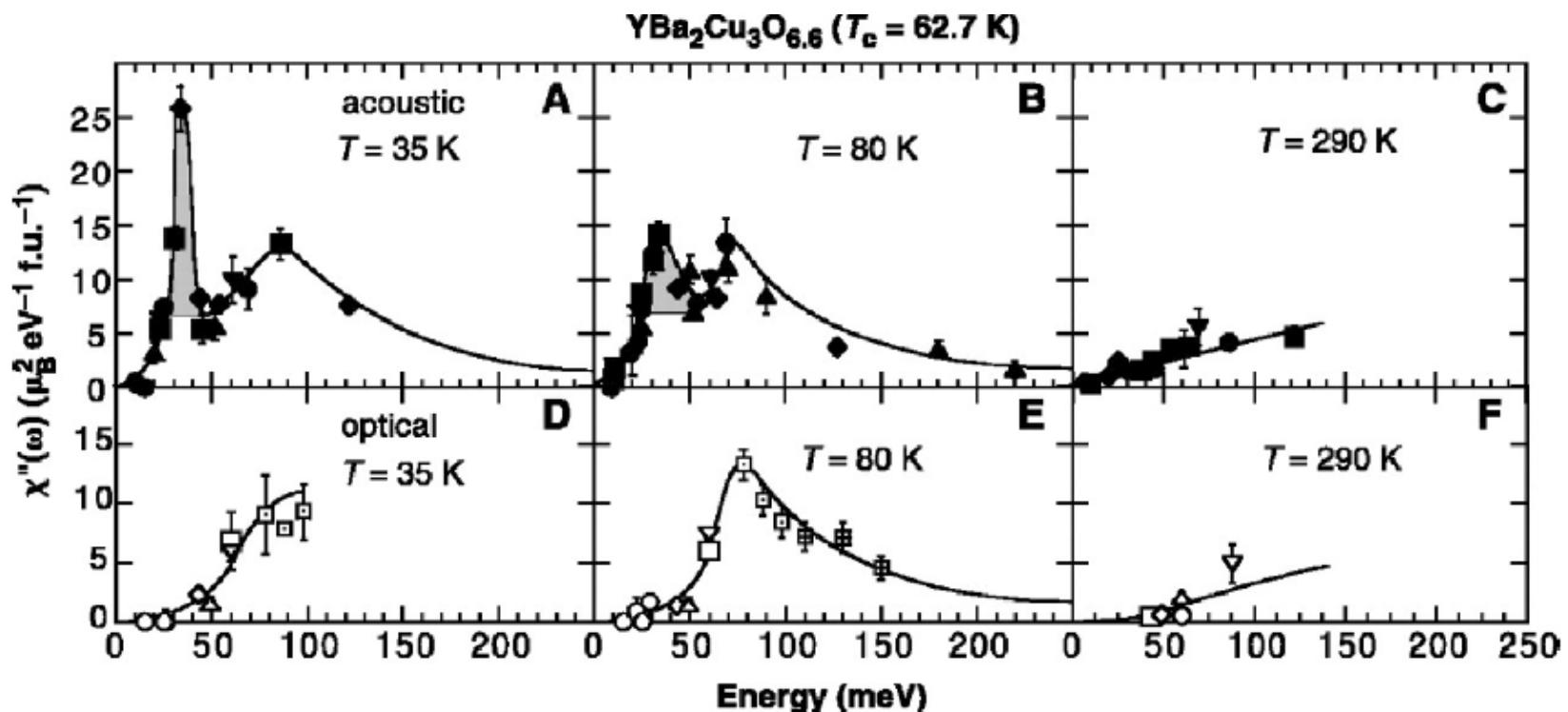
"Neutron resonance"



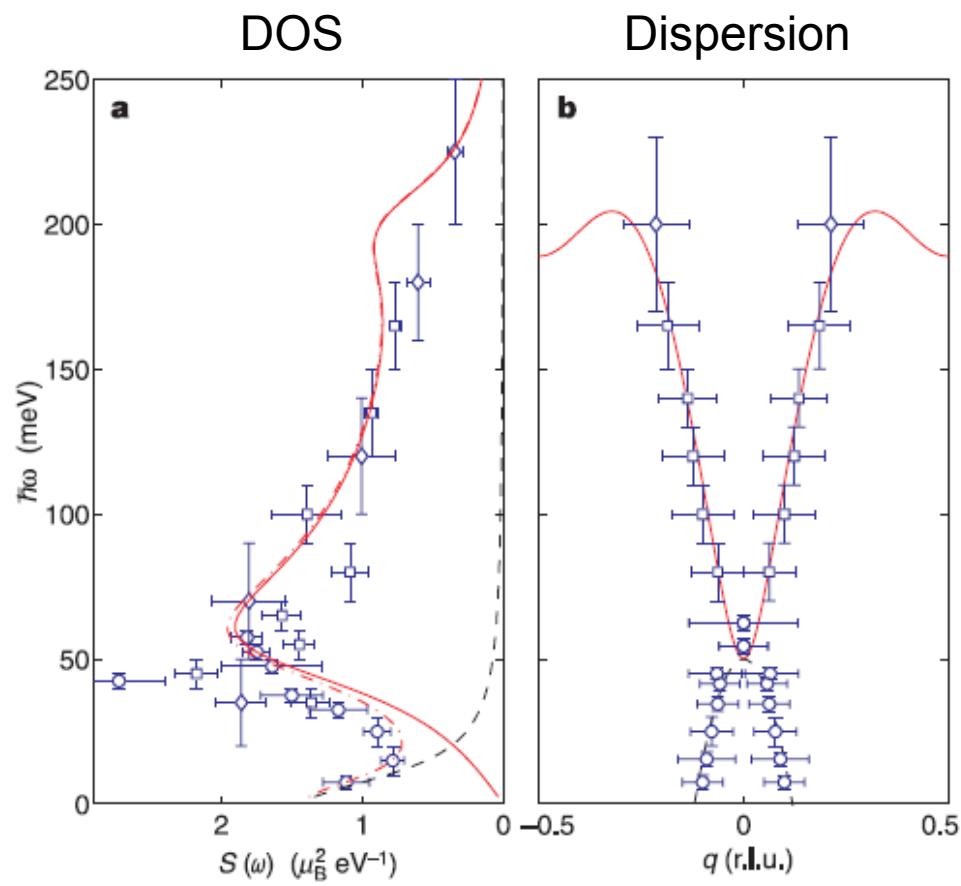
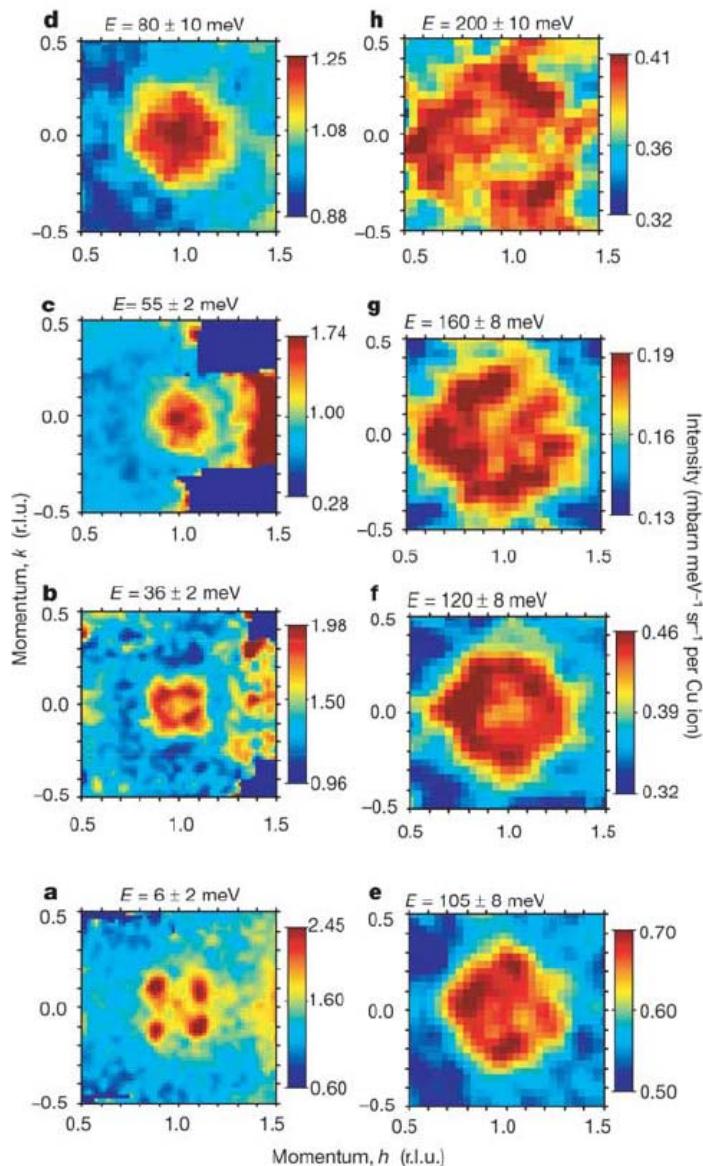
He *PRL* 2001, *Science* 2002, Pailhes *PRL* 2004

Spin susceptibility

$$\chi(\omega)$$



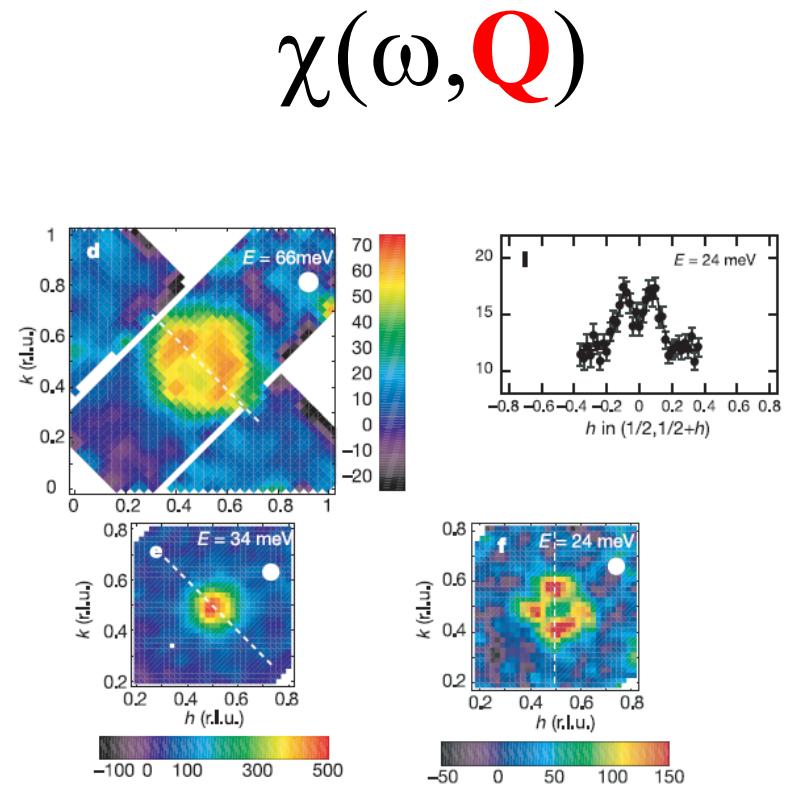
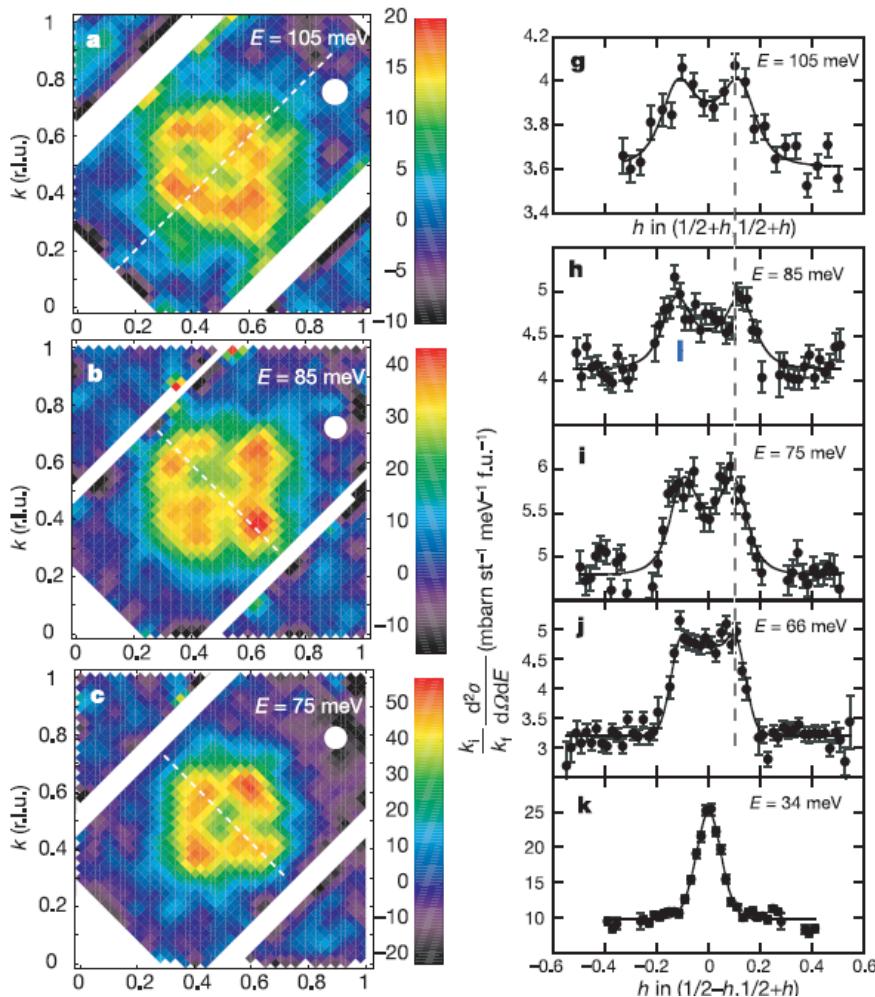
Spin susceptibility structure



$\text{La}_{2-x}\text{Ba}_x\text{CuO}_4$ ('Zurich' oxide)

Tranquada *Nature* 2004

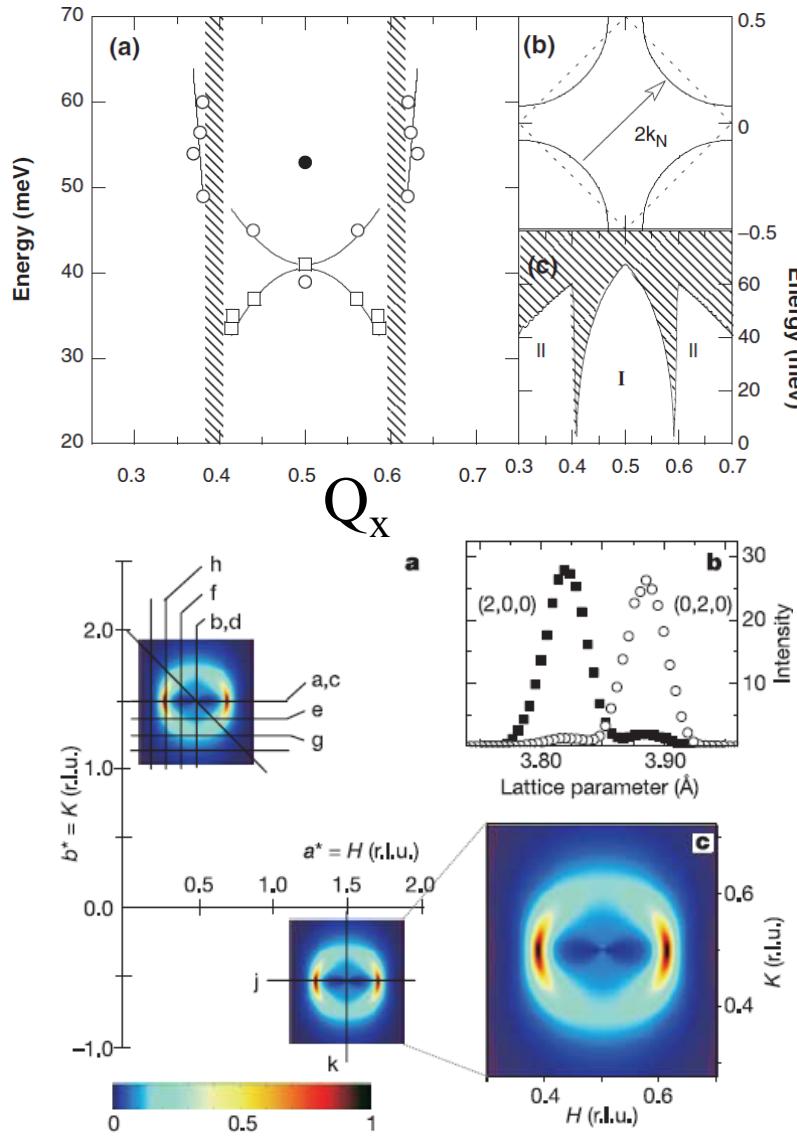
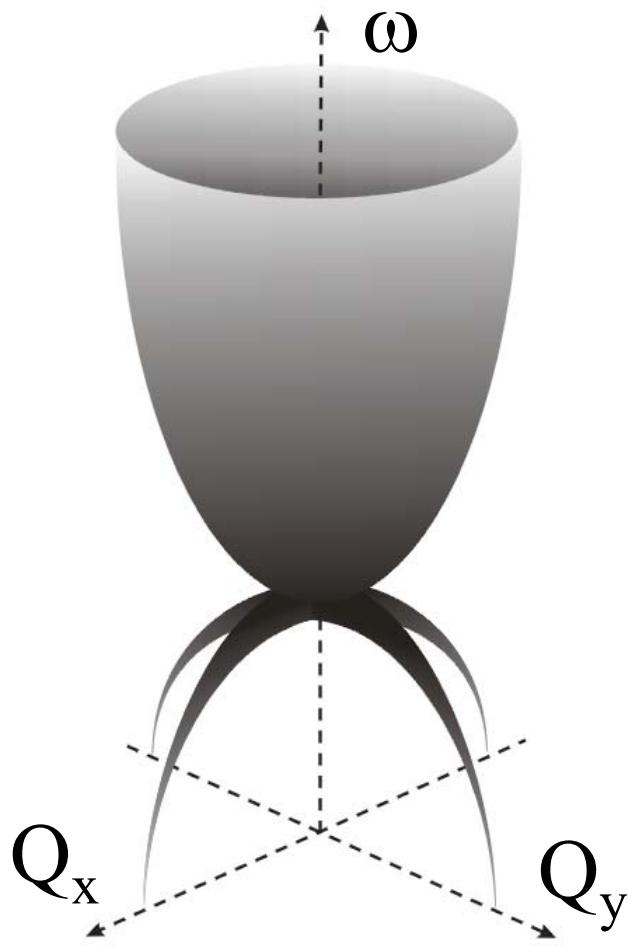
Spin susceptibility structure



$\text{YBa}_2\text{Cu}_3\text{O}_{6.6}$

Hayden *Nature* 2004

Spin susceptibility structure



$\text{YBa}_2\text{Cu}_3\text{O}_{6.6}$

Hinkov *Nature* 2004

Looking for "fingerprints"

if 2nd order perturbation theory works

① $(\Delta, \Sigma) = E E(\Delta, \Sigma, \varepsilon, \chi)$ SC

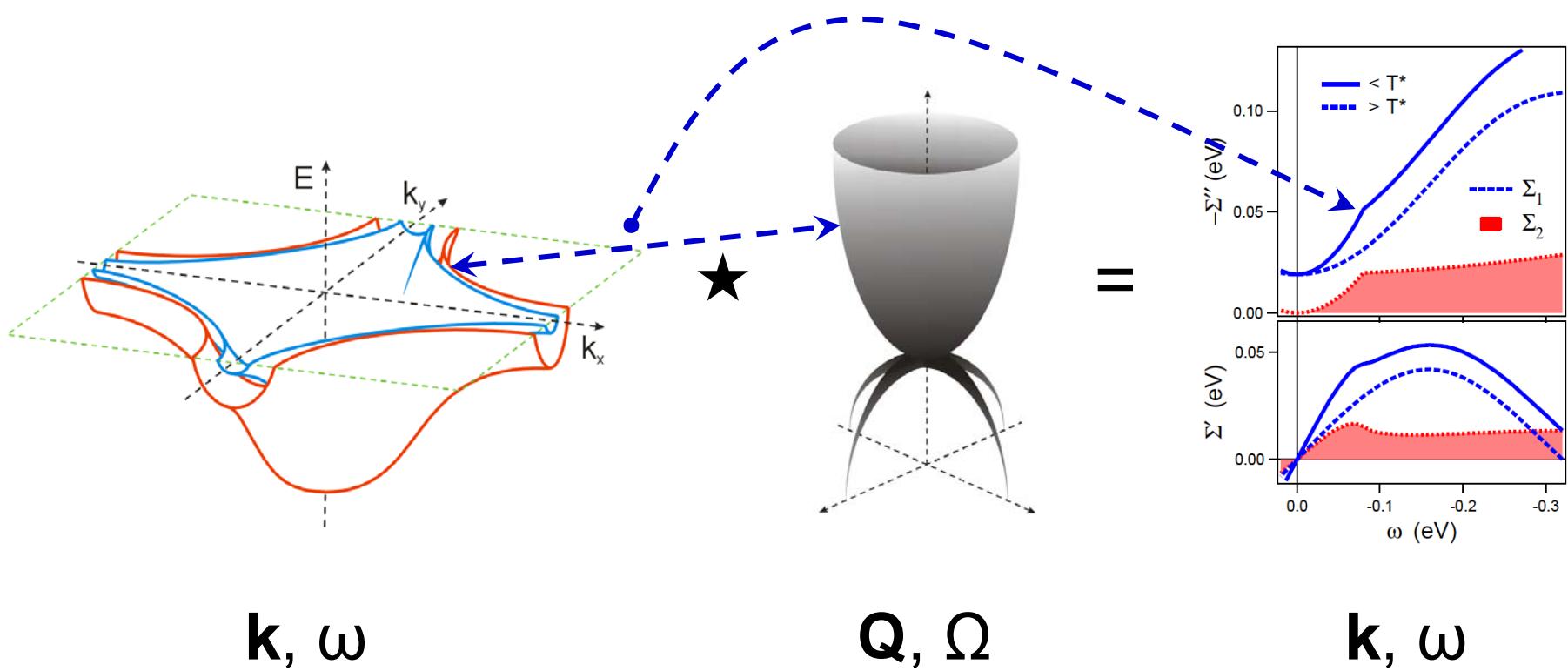
$$\Sigma \sim (G \star \chi)_{k,\omega}$$
 N

② $\chi_{it} \sim (G \star G)_{k,\omega}$ "itinerant" magnetism

①

$$G \star \chi \sim \Sigma$$

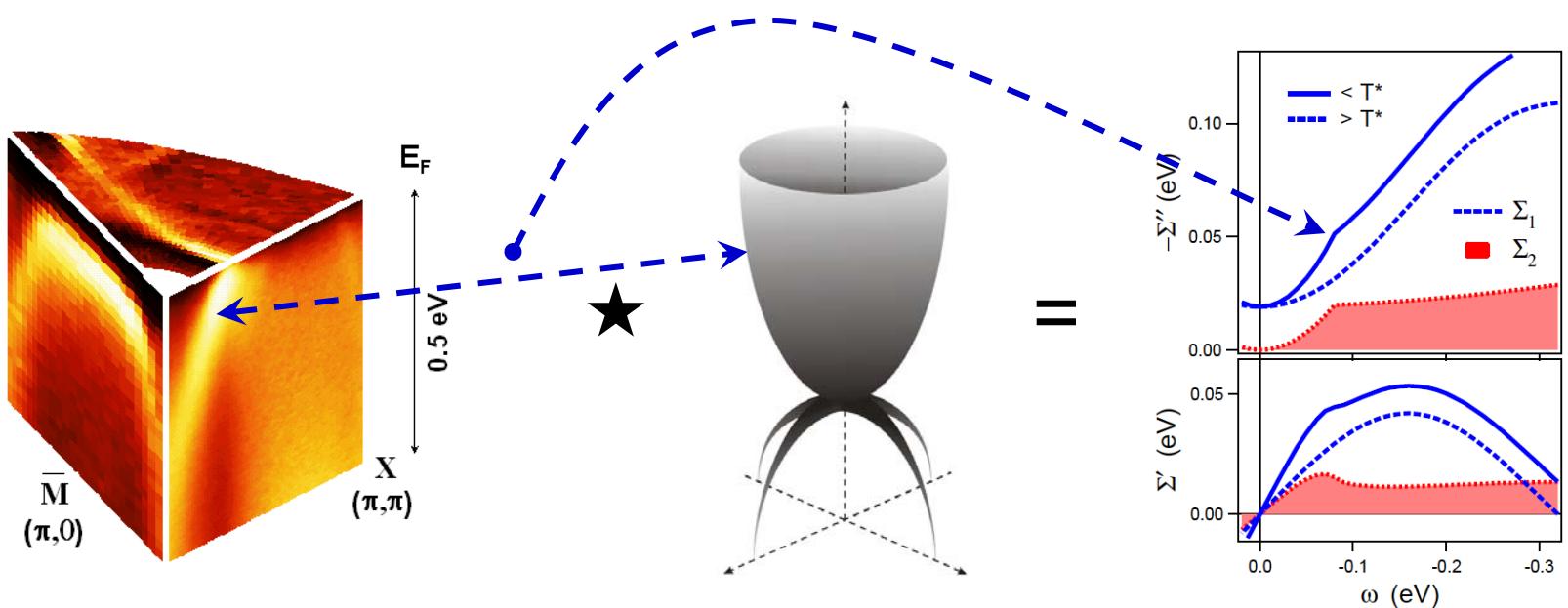
$$\Sigma(\mathbf{k}, \omega) \sim \int G(\mathbf{k} + \mathbf{Q}, \omega + \Omega) \chi(\mathbf{Q}, \Omega) d\mathbf{Q} d\Omega$$



①

$$G_{\text{exp}} \star X_{\text{exp}} \sim \Sigma_{\text{exp}}$$

ARPES INS ARPES

 k, ω Q, Ω k, ω

①

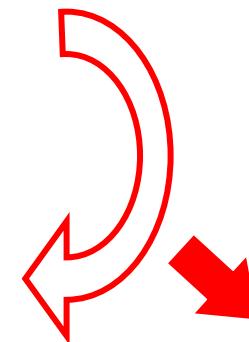
LDA or
ARPES

$$G_0 \star X_{\text{exp}} \sim \Sigma_i$$

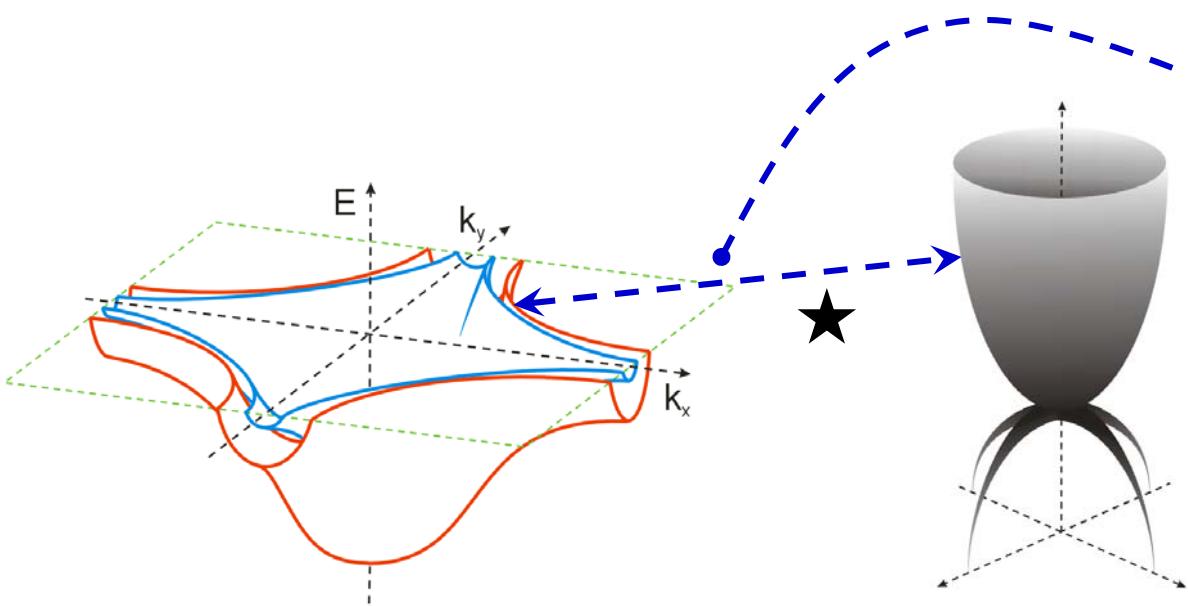


INS

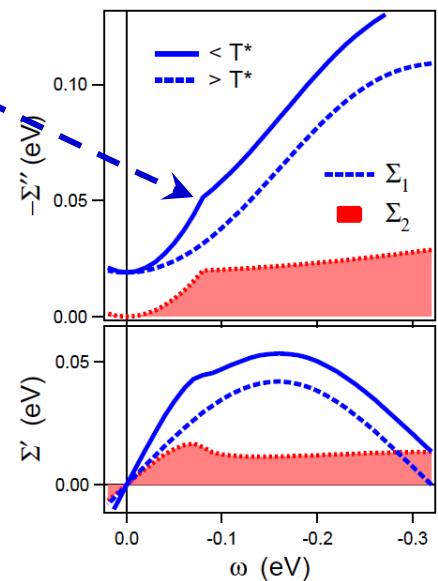
$$G_i^{-1} = G_0^{-1} + \Sigma_i$$



ARPES

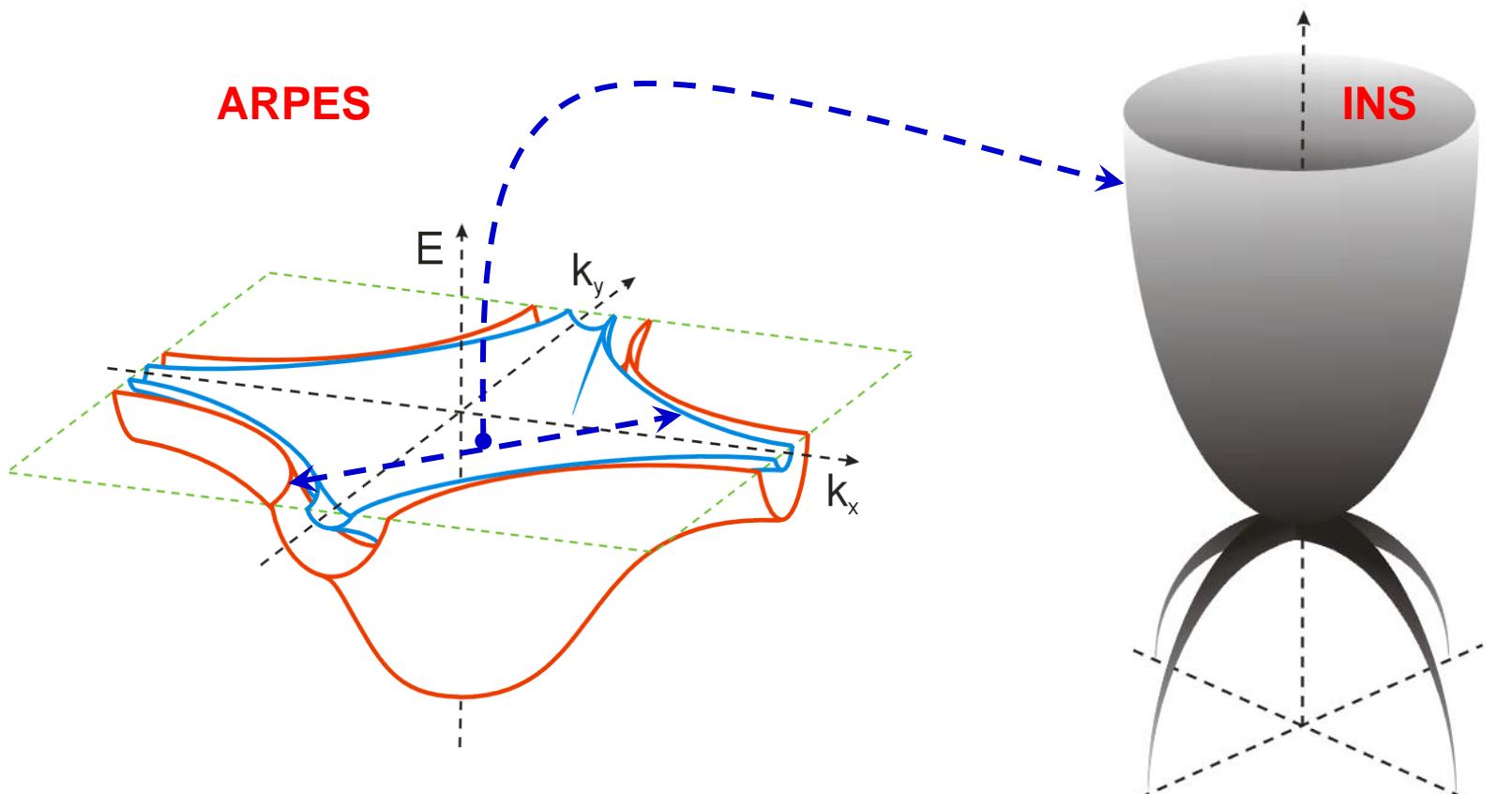


=

 \mathbf{k}, ω \mathbf{Q}, Ω \mathbf{k}, ω

②

itinerant $\chi \sim G \star G$



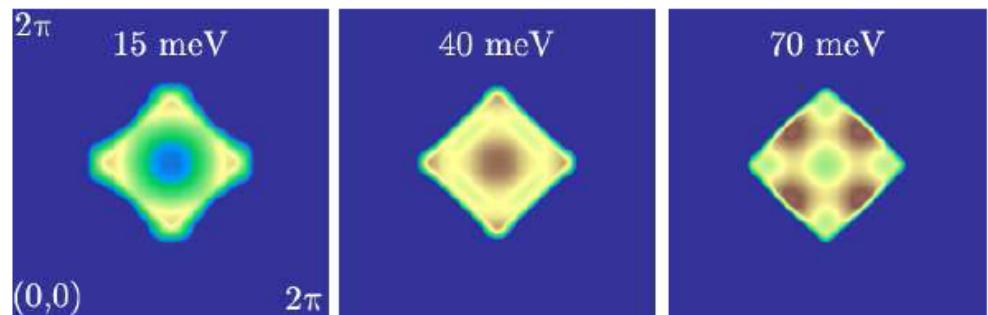
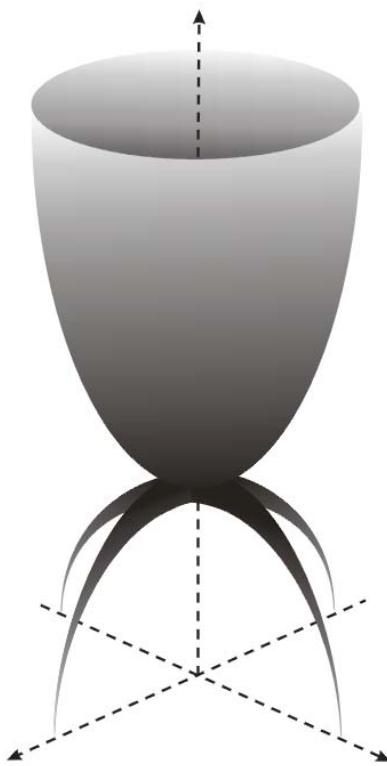
$$\chi_0(\mathbf{Q}, \Omega) \propto -2i \int G(\mathbf{k}, \omega) G(\mathbf{k} + \mathbf{Q}, \omega + \Omega) d^2k d\omega$$

$$\chi(\mathbf{Q}, \Omega) = \chi_0(\mathbf{Q}, \Omega) / [1 + J_Q \chi_0(\mathbf{Q}, \Omega)] \quad \text{RPA}$$

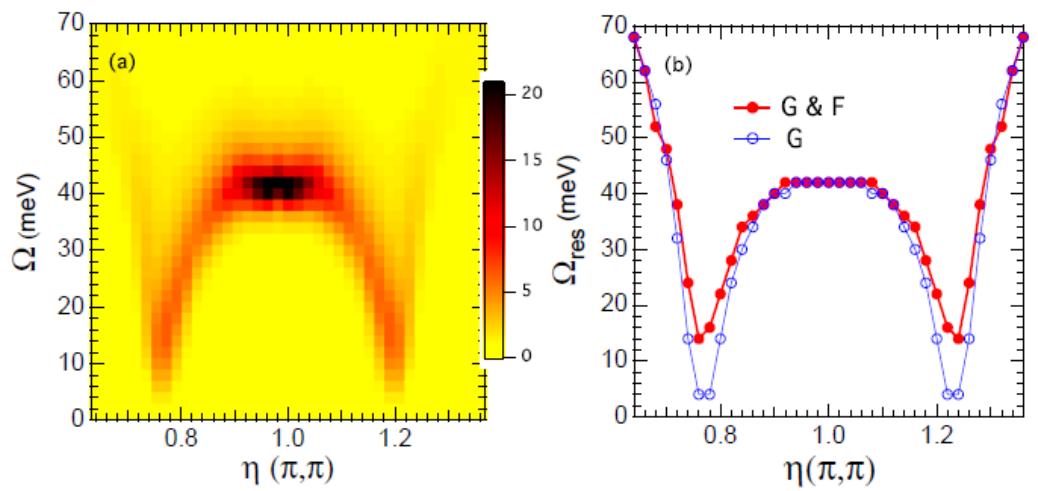
we + Eremin 2006

②

$$\chi \sim G \star G$$



Inosov 2006



Chatterjee 2006

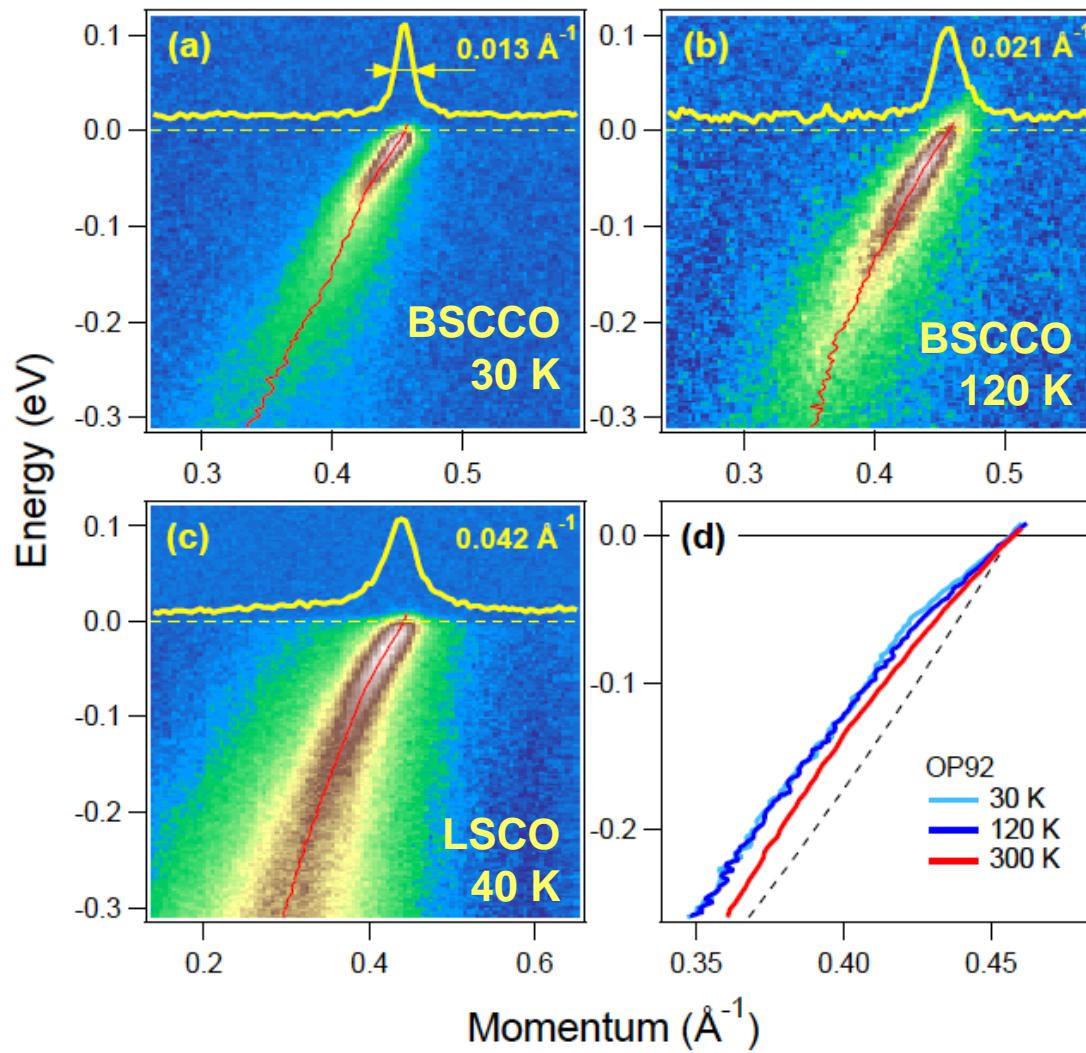
Some conclusions

- "Fingerprints" of bosons should be identified in scattering (Σ) and pairing (Δ) spectra.
- The converging of the techniques needs **refinement** and reevaluation of earlier results.
- The problem of space **inhomogeneity** in cuprates seems to be crucial for HTSC understanding: **STS**

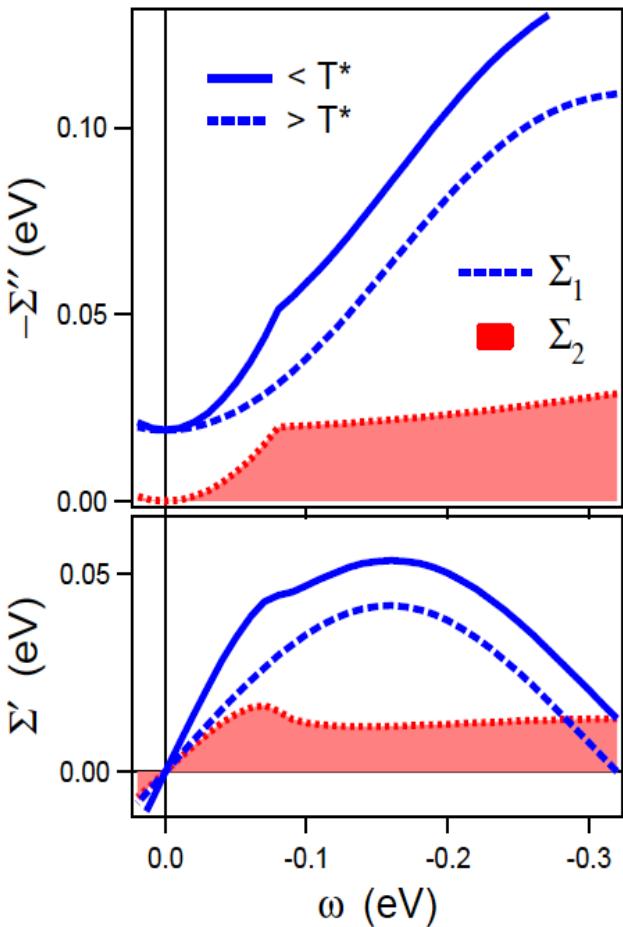
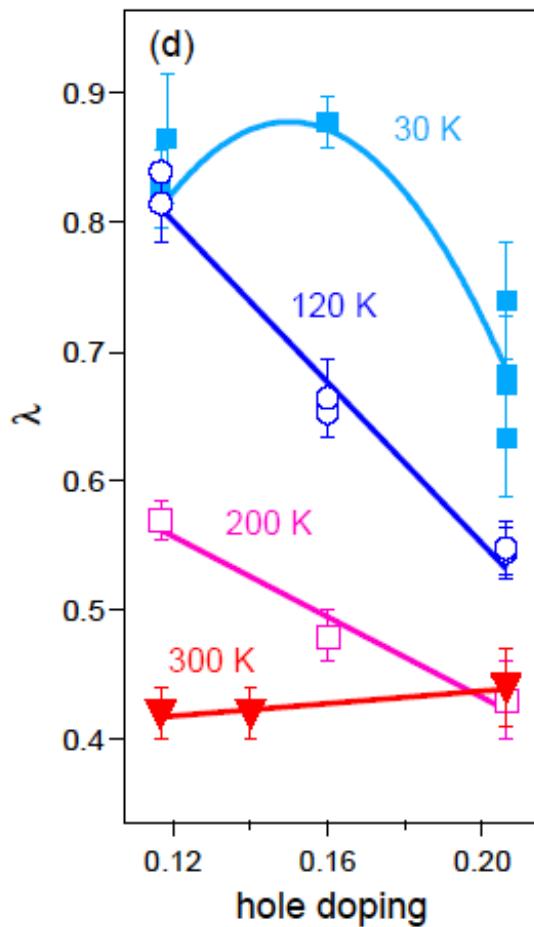
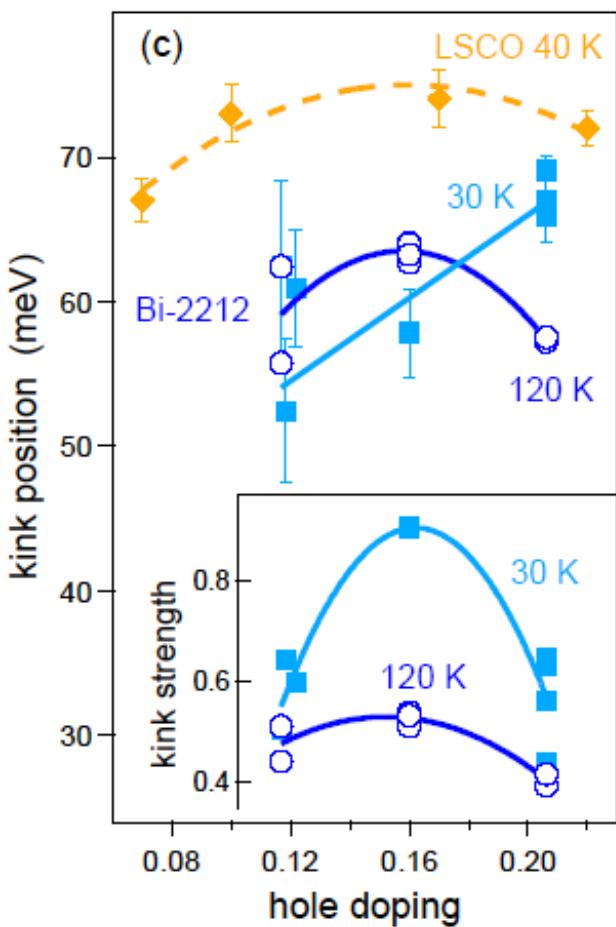
New results

- Fine details of the self-energy: BSCCO, nodal direction
 - ▶ xT – evolution of the bosonic channel
 - ▶ parity of the bosons
 - ▶ magnetic isotope effect
 - ▶ impurity scattering mechanism
- Universality of HTSC: **YBCO**, LSCO
 - ▶

Evolution of the kink

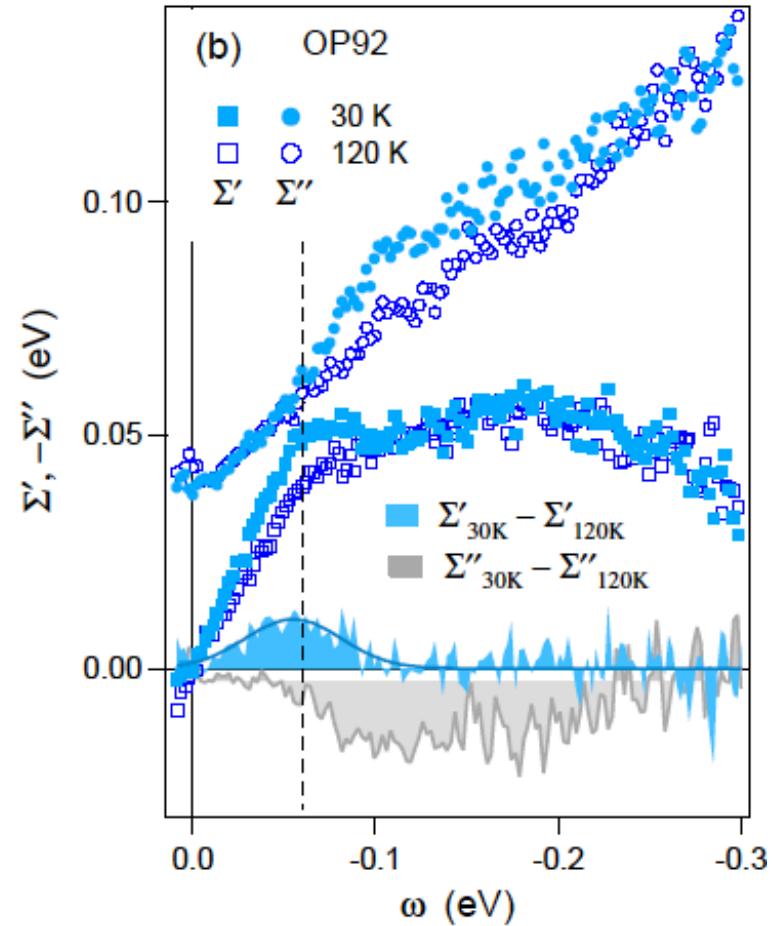
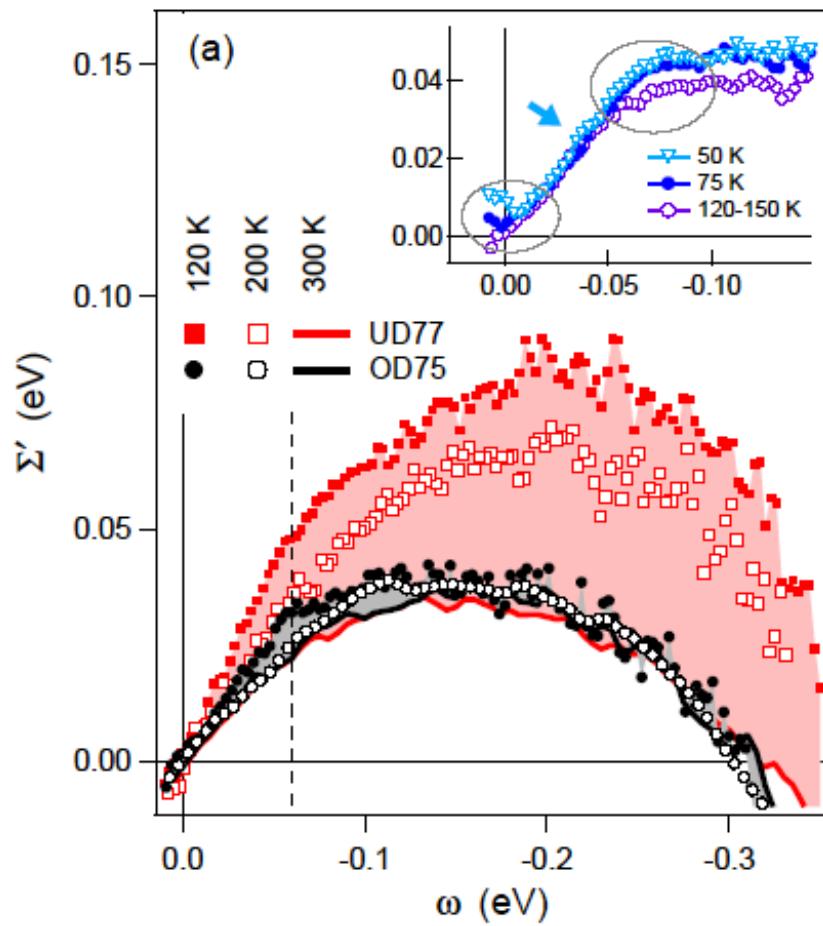


Parameters of the kink \rightarrow 2 channels

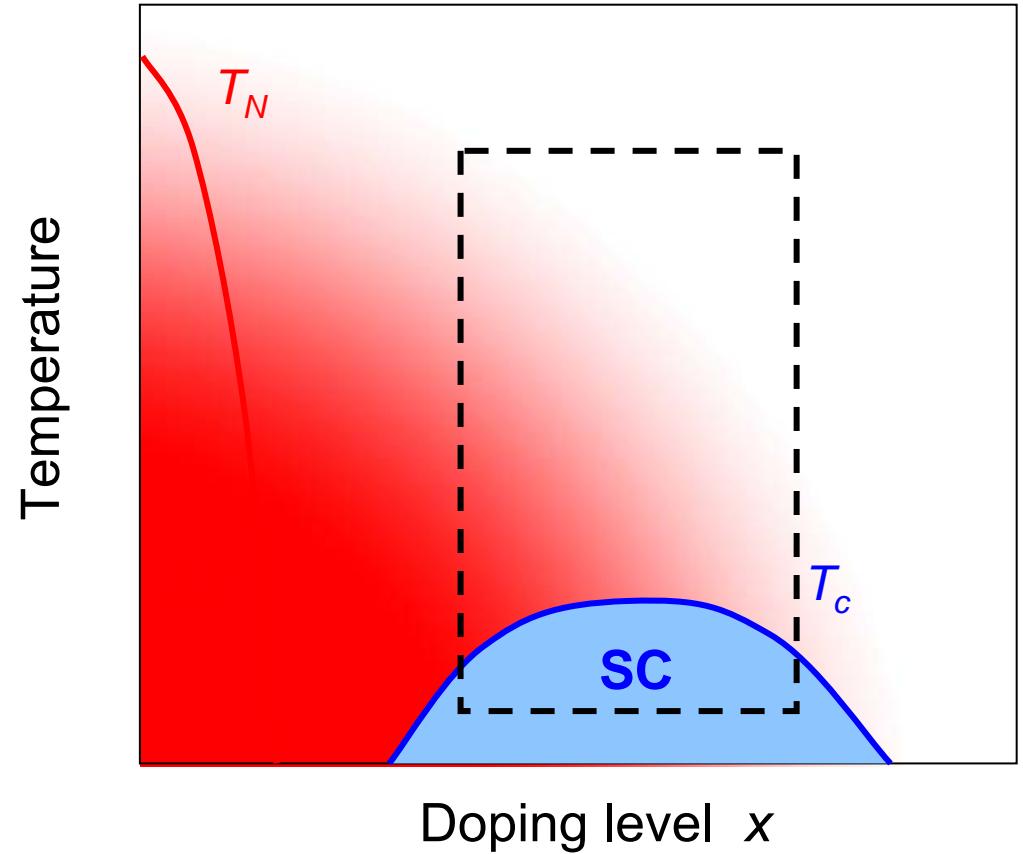
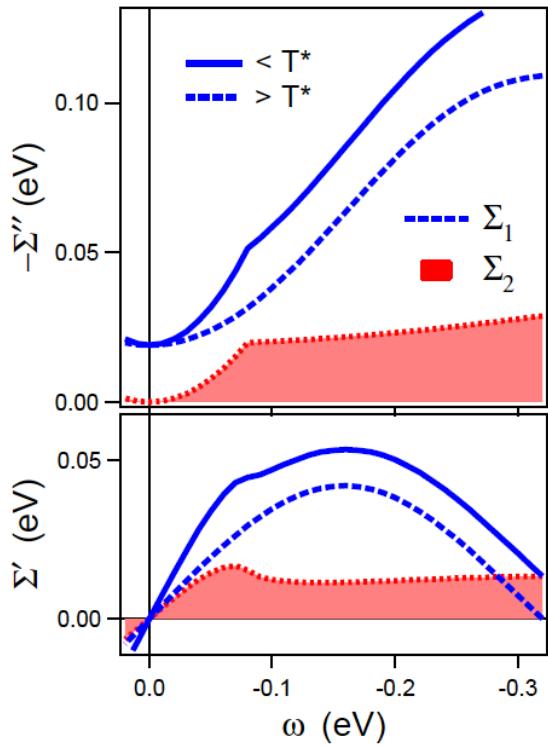


$$\lambda = - \left(\frac{d\Sigma'}{d\omega} \right)_{\omega=0}$$

Evolution of the self-energy



Intensity of the bosonic channel



Two channels

1 "Fermionic"

mainly xT -independent

featureless: $\Sigma'' \sim \omega^2$, $\Sigma' \sim \omega$

**simple e-e interaction
(charge channel –
Auger-like decay)**

FL

2 "Bosonic"

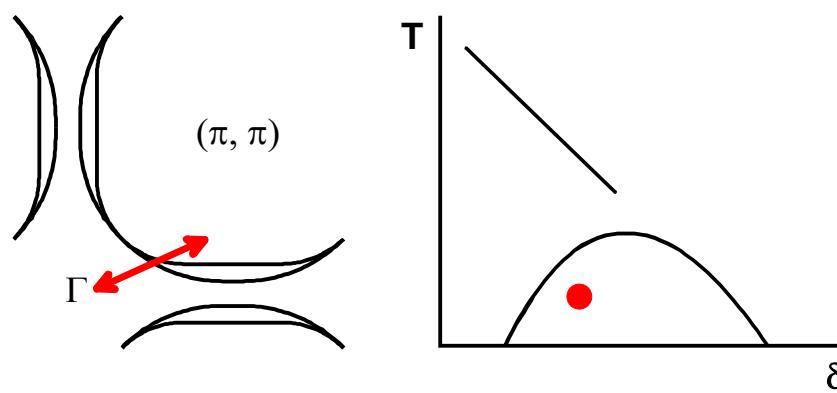
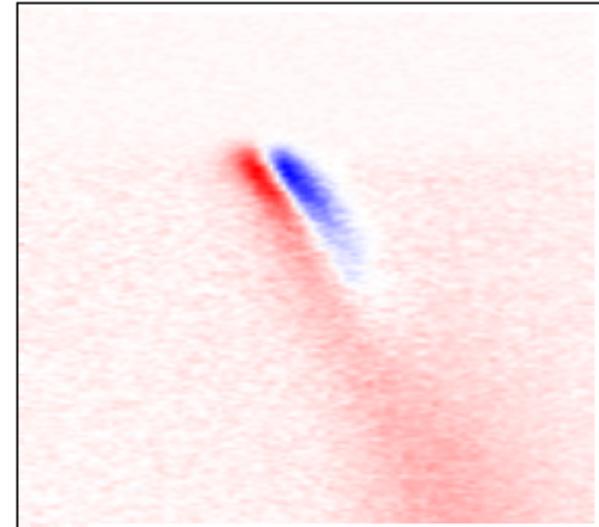
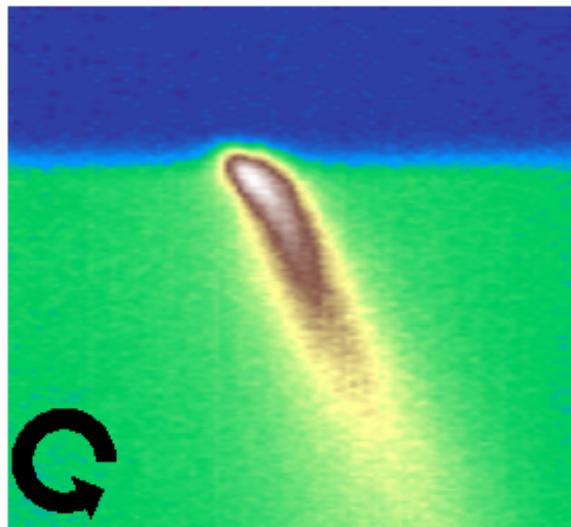
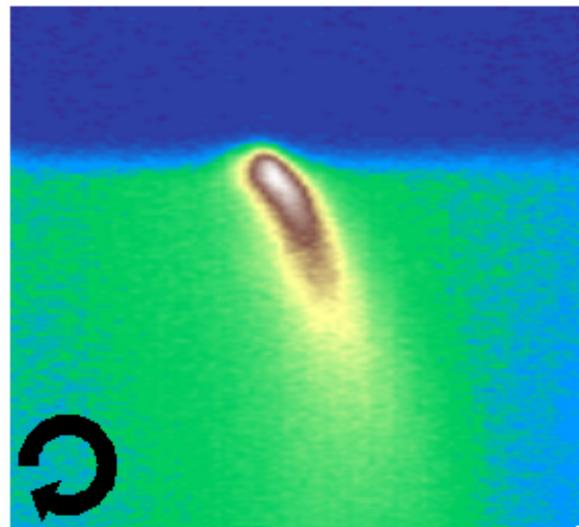
critically depends on (x, T)

energy structure:

- (i) kinky,
 ω_k *mainly* xT -independent
 - (ii) step-like,
does not confined at low ω
- ~~phonons, gap → SF~~

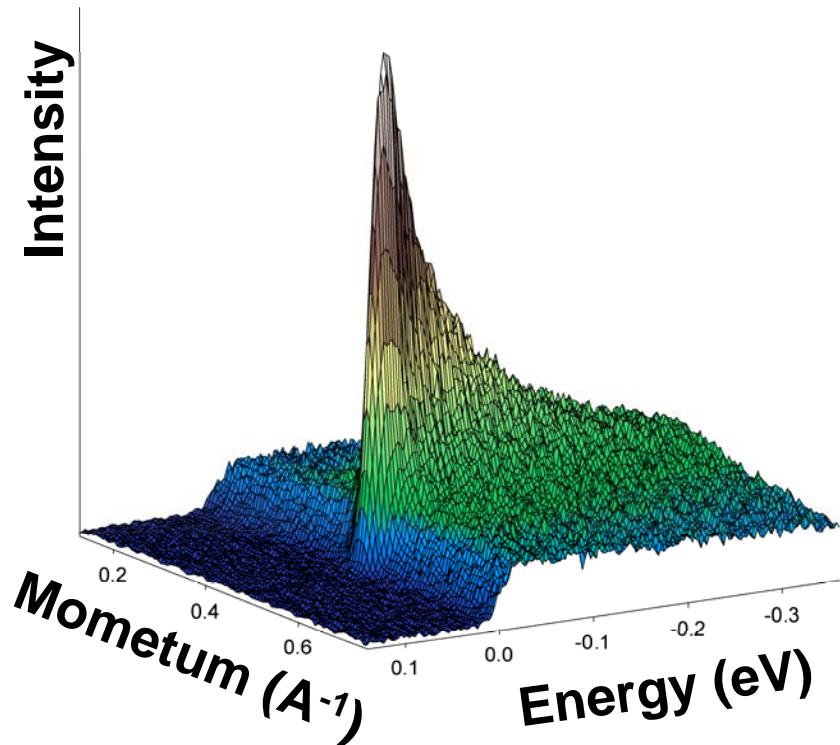
Parity

Parity of the scattering by circularly polarized light

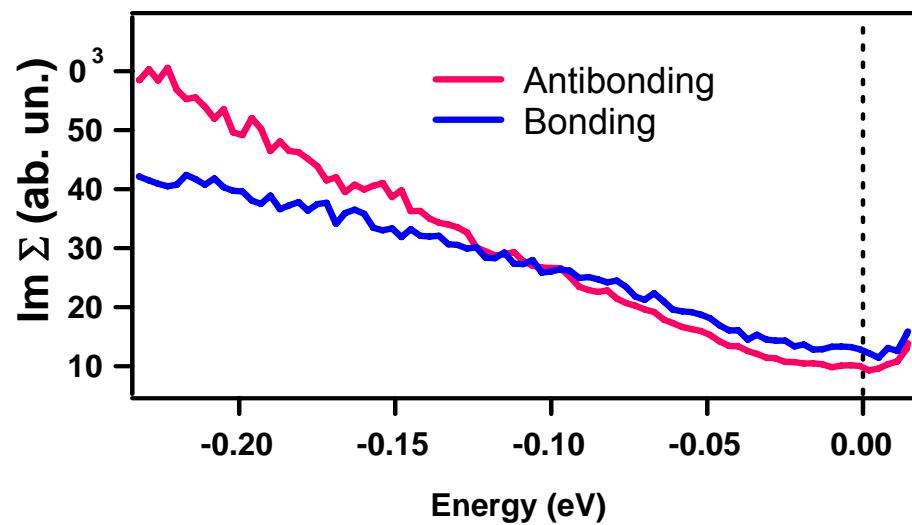
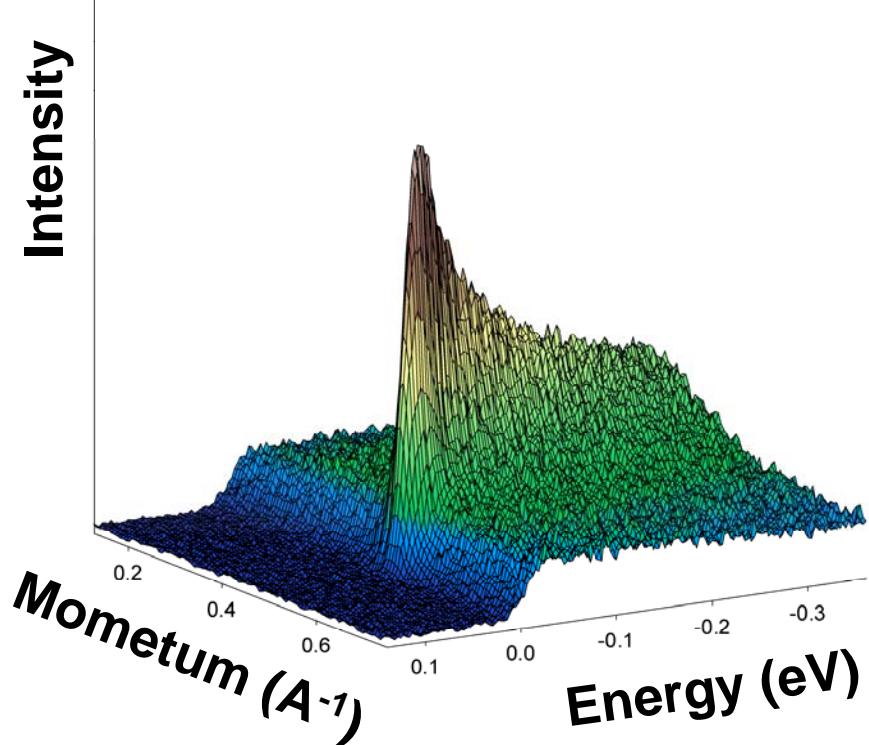


Borisenko *PRL* 2006

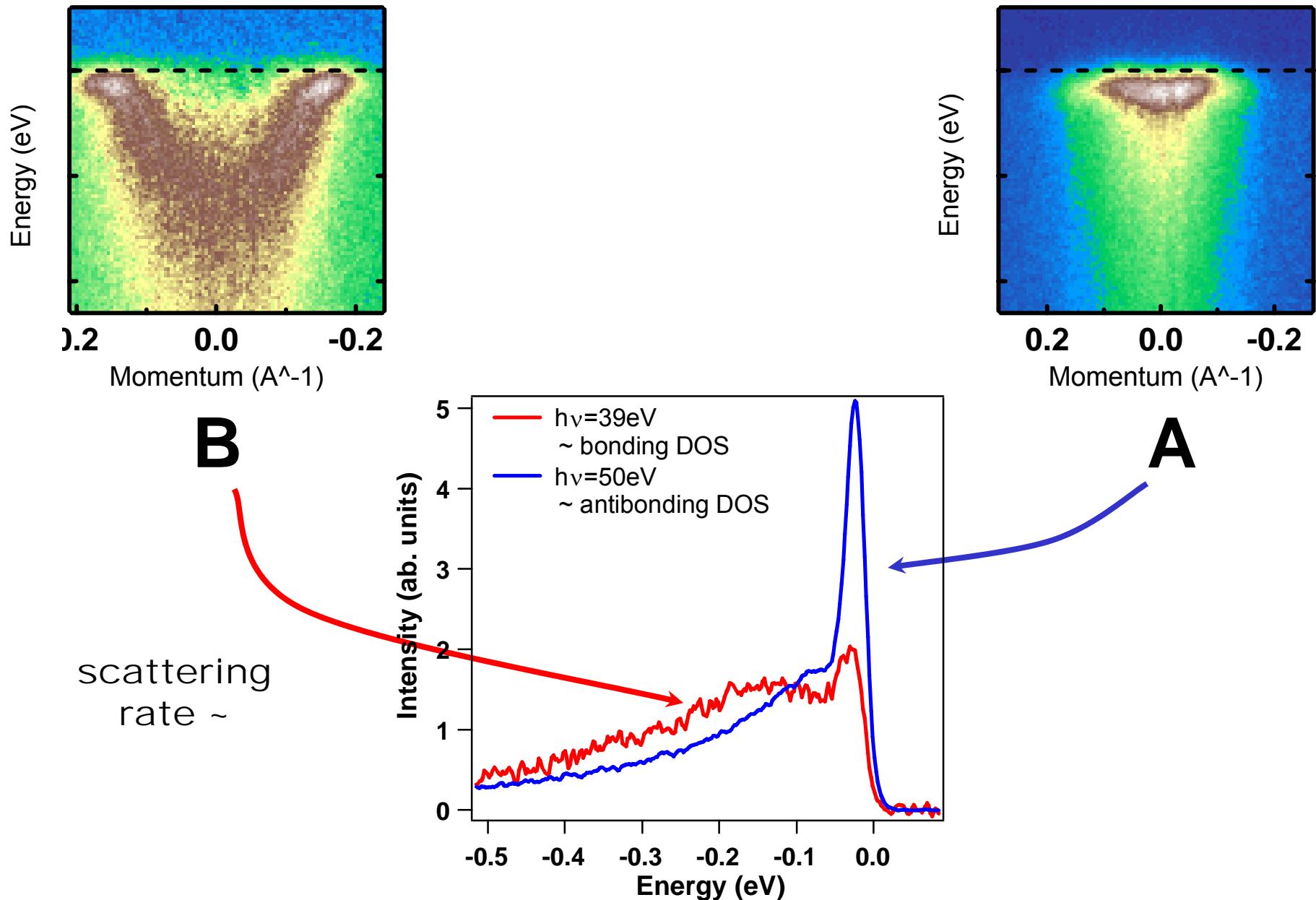
Antibonding



Bonding

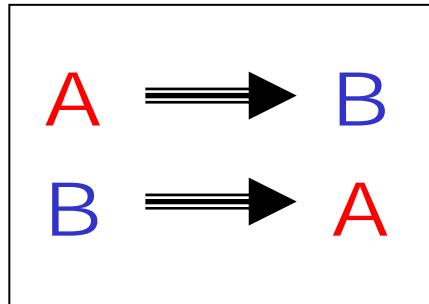


Bonding and antibonding densities of states



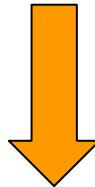
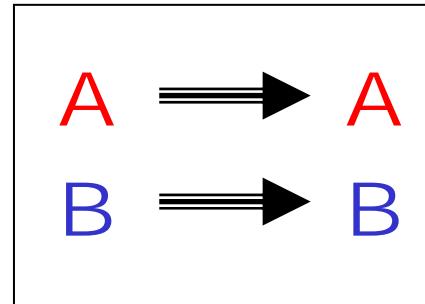
- Scattering rate (**bonding band**) ~ density of states of the **antibonding band**
- Scattering rate (**antibonding band**) ~ density of states of the **bonding band**

Interband
scattering



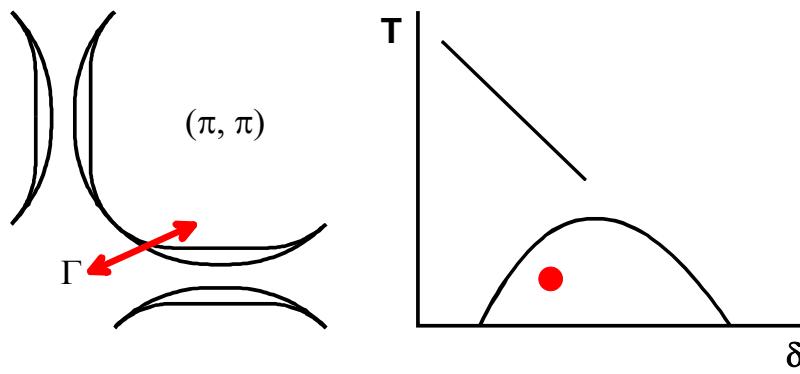
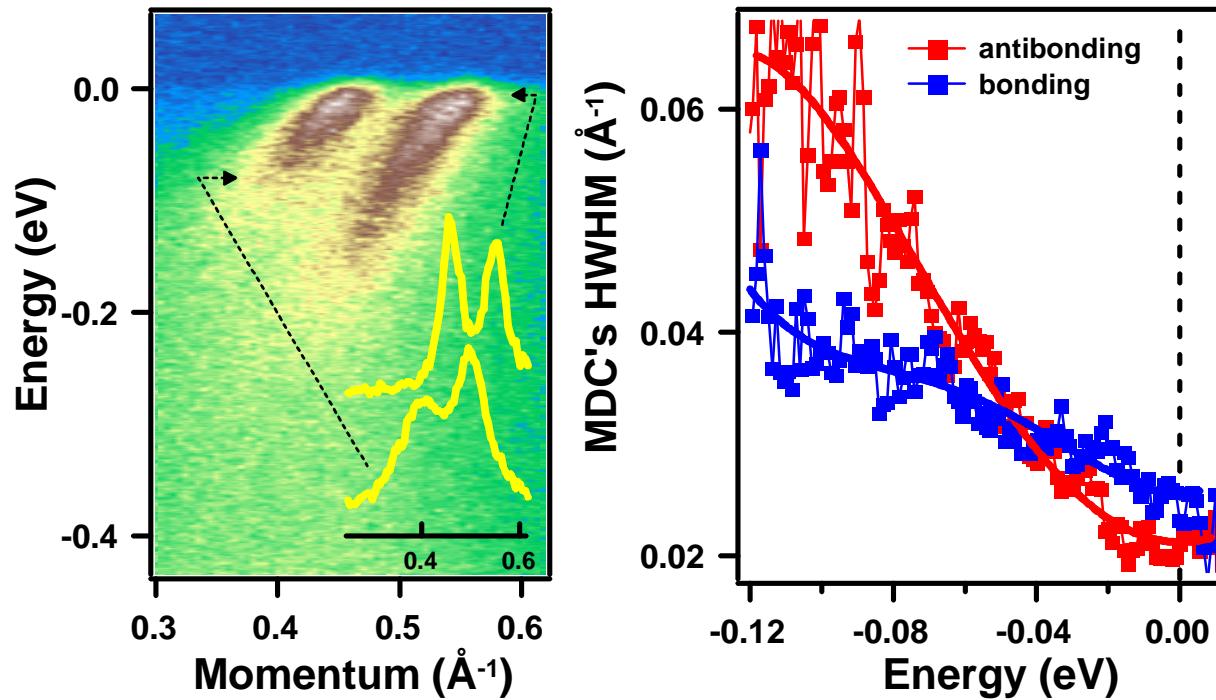
>

Intraband
scattering



The boson which mediates the scattering is **ODD** with respect to the layers exchange within a bilayer!

Strong interband scattering in YBCO



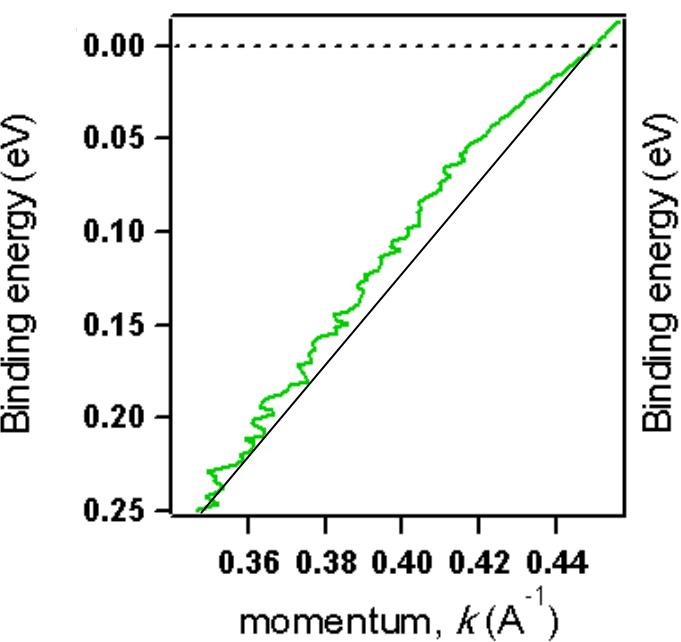
Borisenko *PRL*-2 2006

"Magnetic isotope effect"

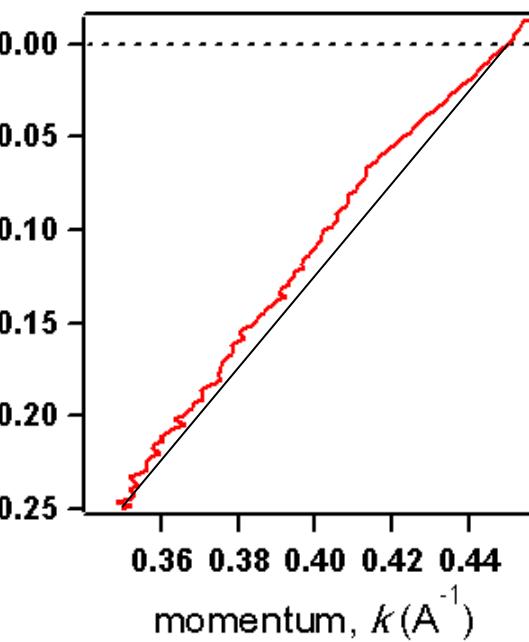
Doping pristine BSCCO with
Zn and Ni impurities

Γ –(π, π) spectra, $T < T_c$

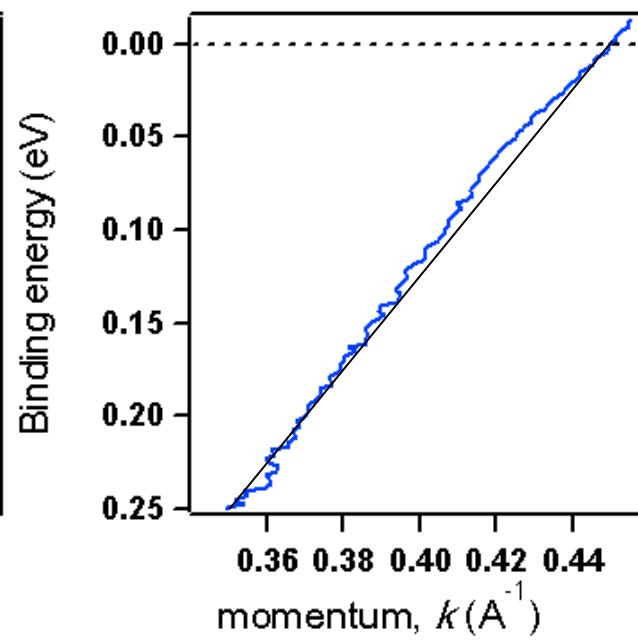
Pristine



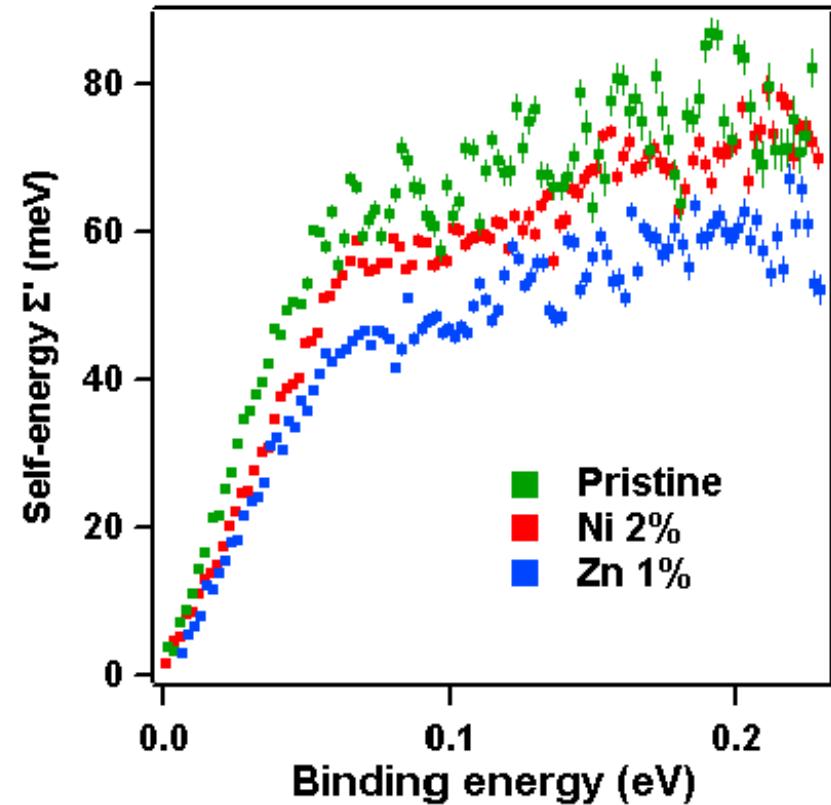
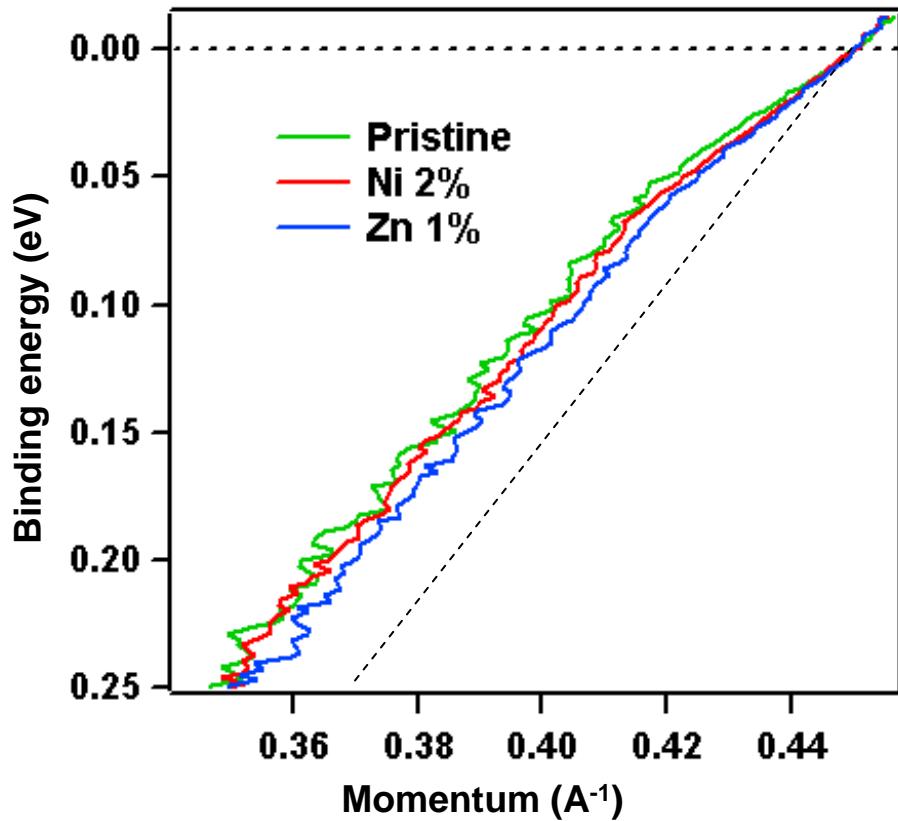
Ni 2%



Zn 1%

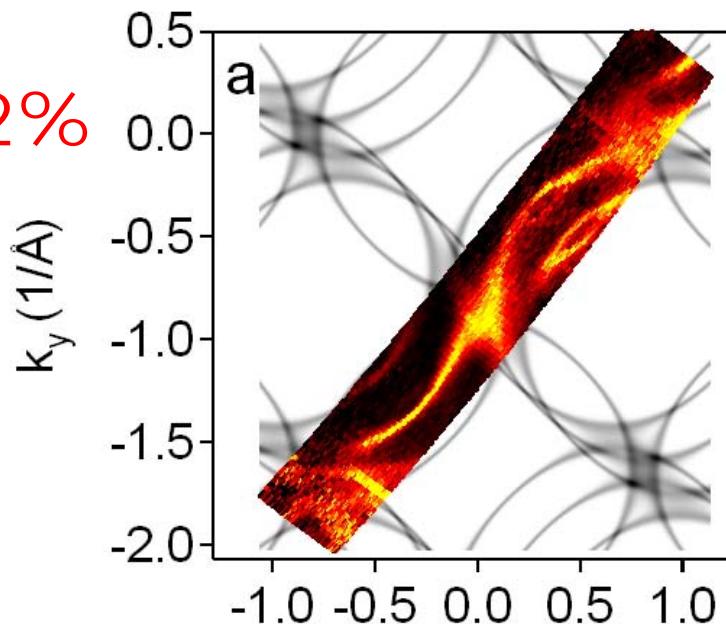


"Kinks" and impurities

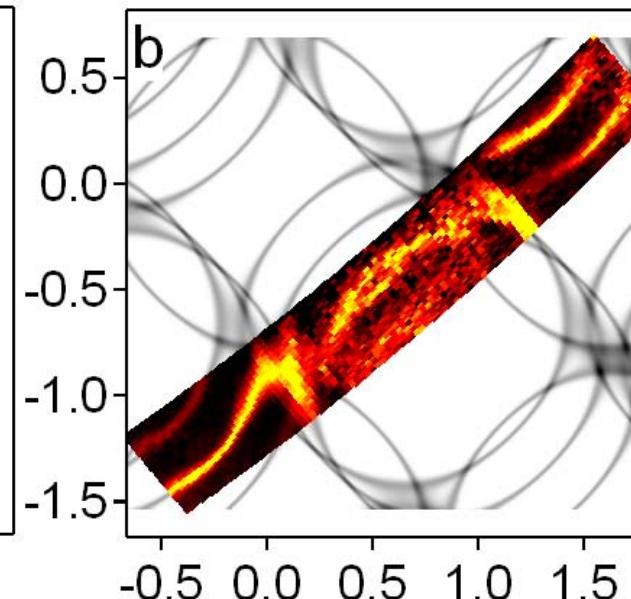


What about the doping level?

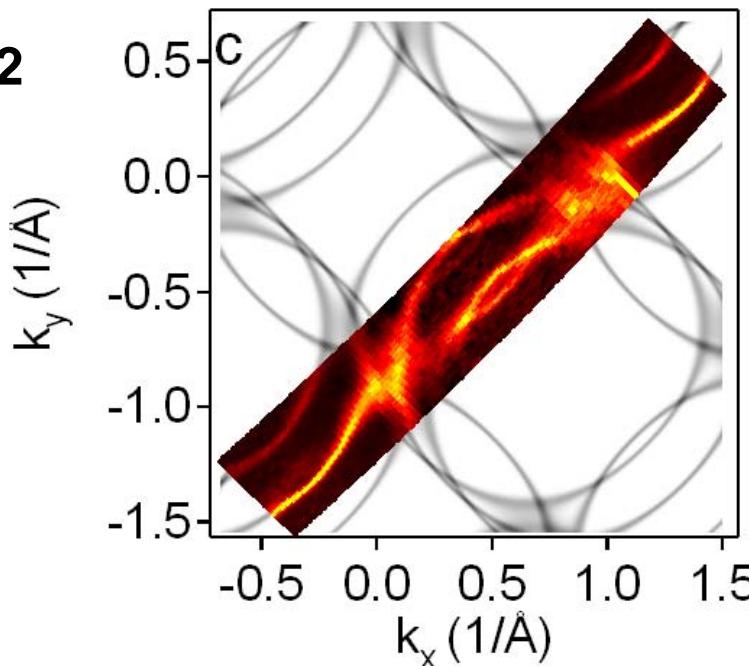
Ni 2%



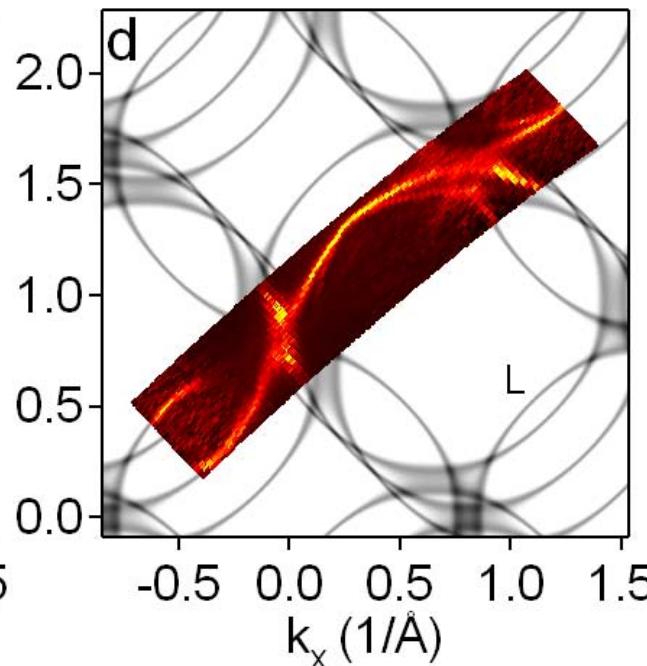
Zn 1%



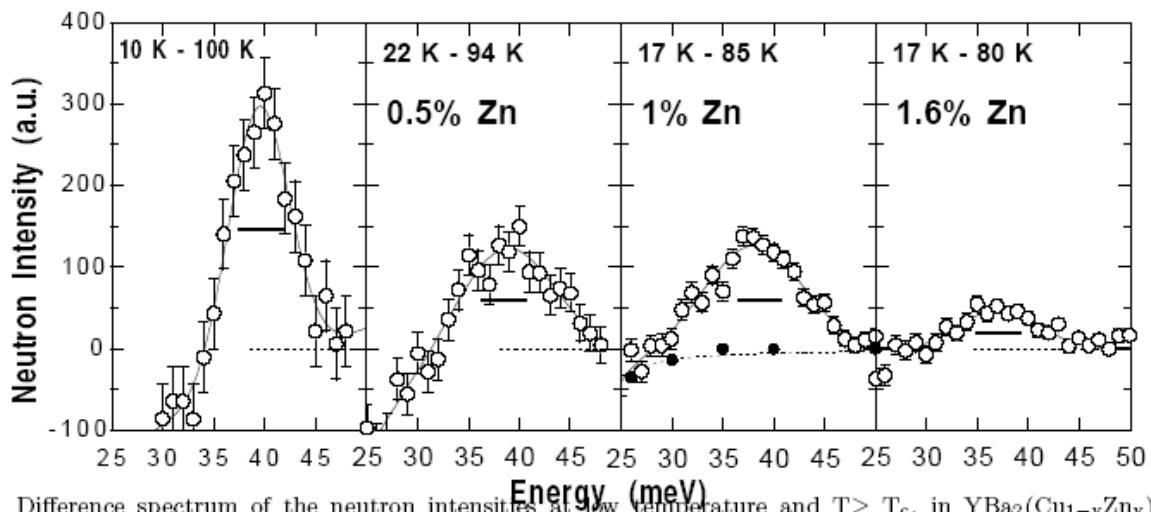
Bi2212



PbBi2212

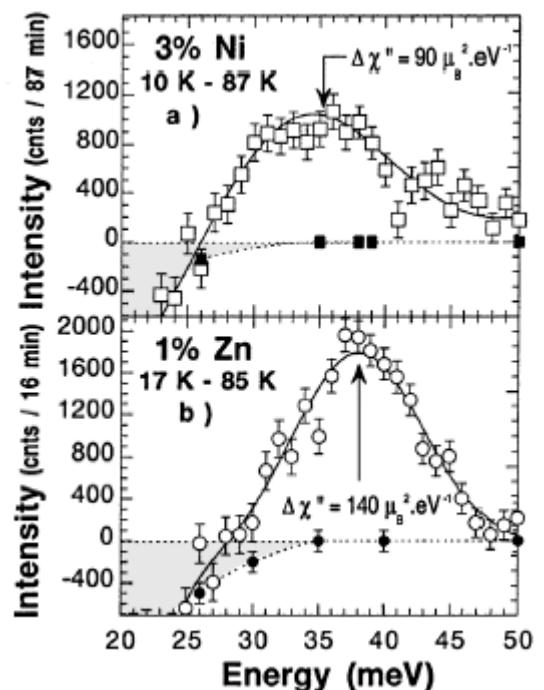


What is known from the neutron scattering ?



1. Difference spectrum of the neutron intensities at low temperature and $T > T_c$, in $\text{YBa}_2(\text{Cu}_{1-v}\text{Zn}_v)_3$

Y.Sidis et al. cond-mat/2000

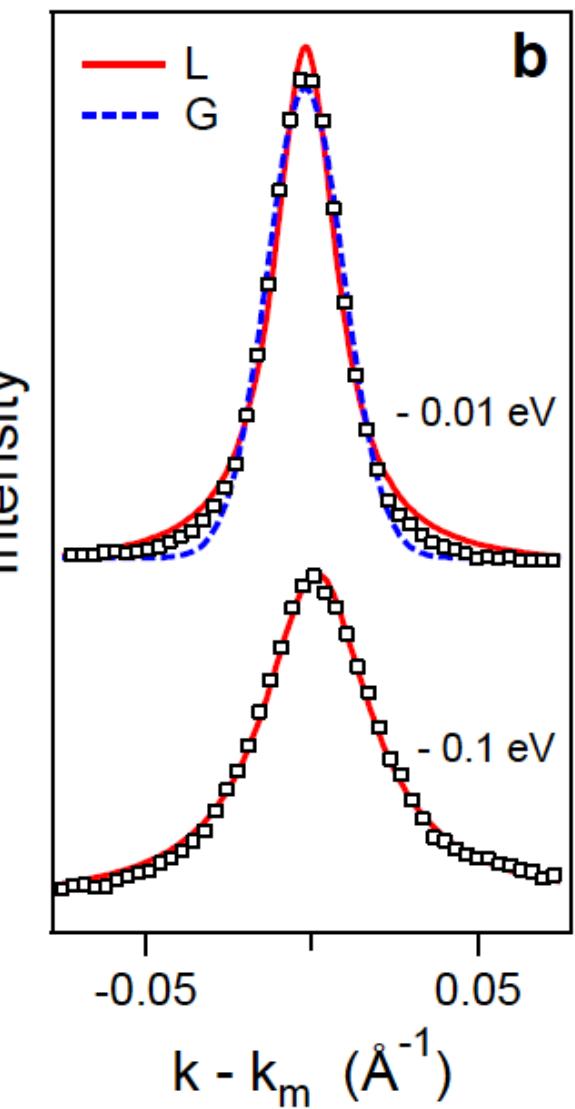
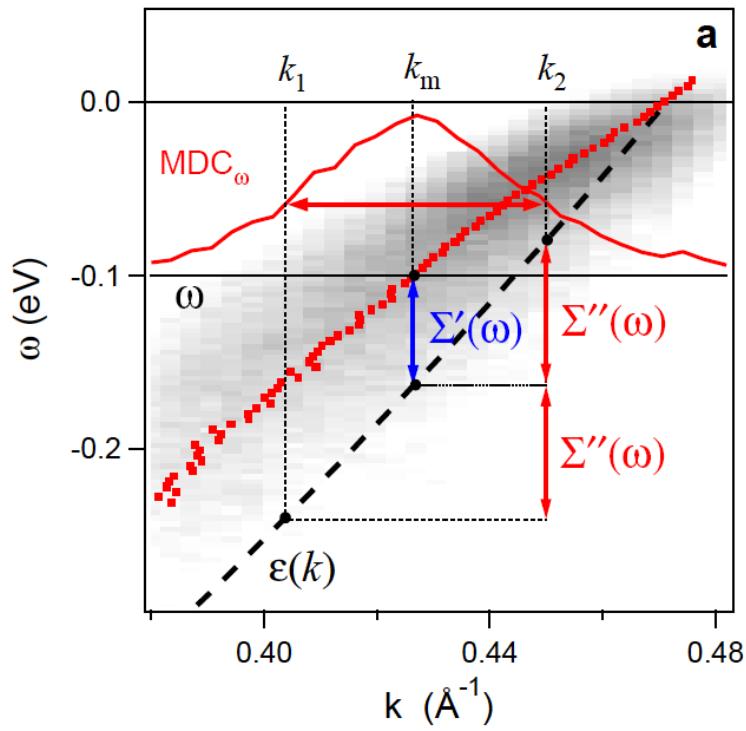


Y.Sidis et al. PRL2000

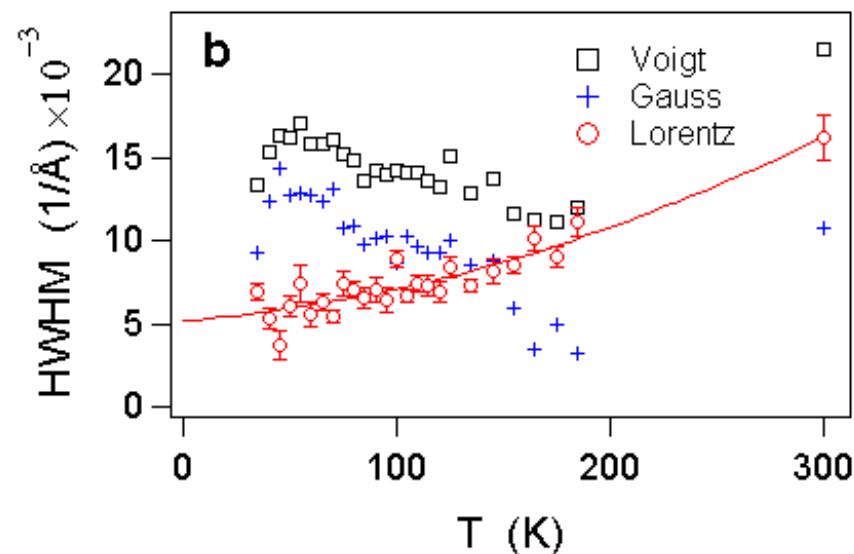
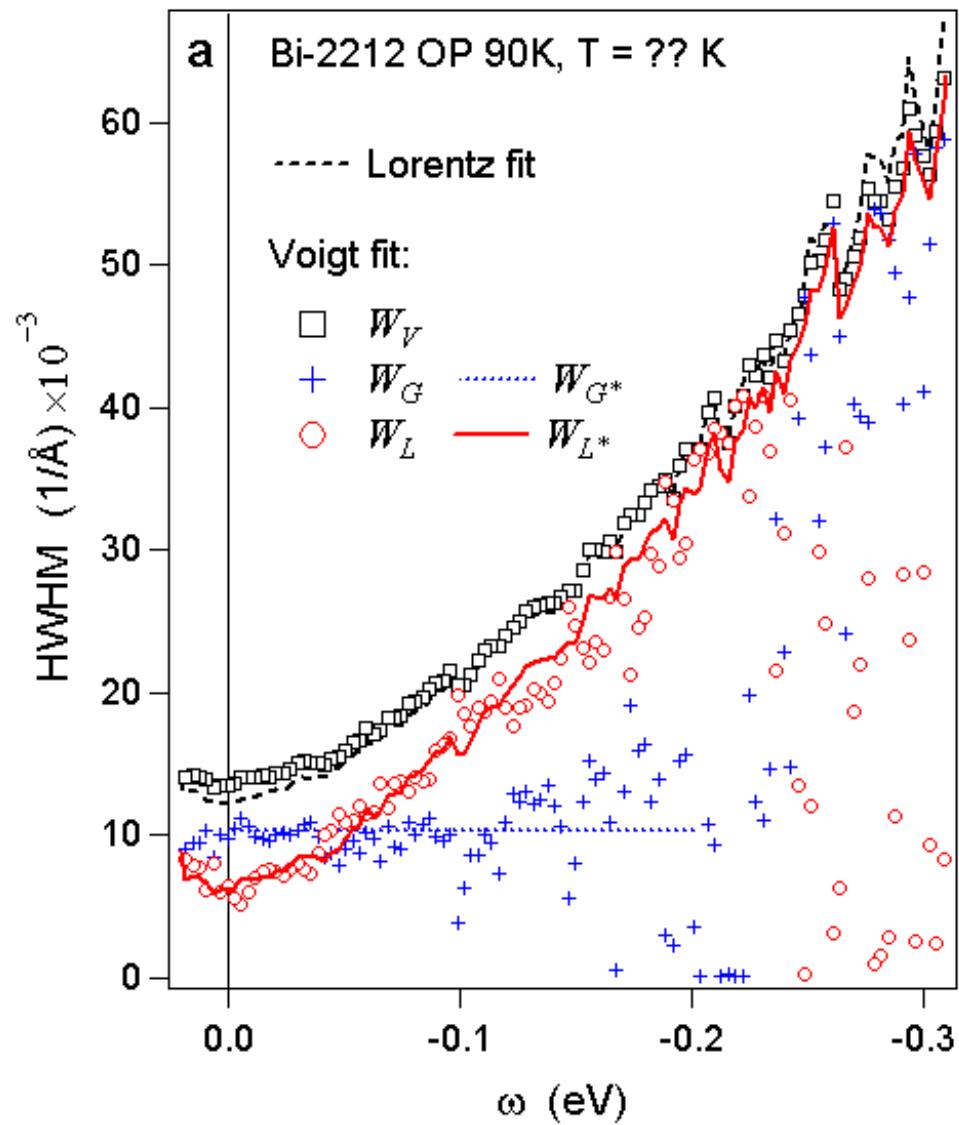
Fine details

impurity scattering mechanism

Lorentzian to Gaussian



Voigt fitting procedure



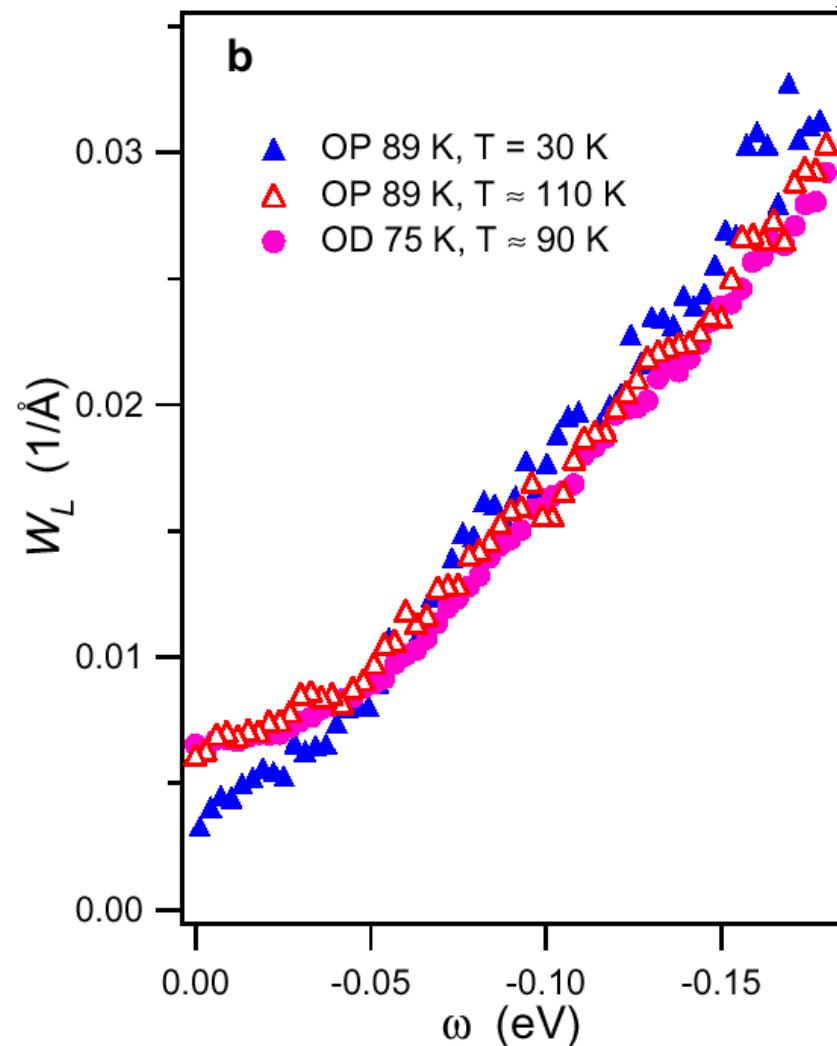
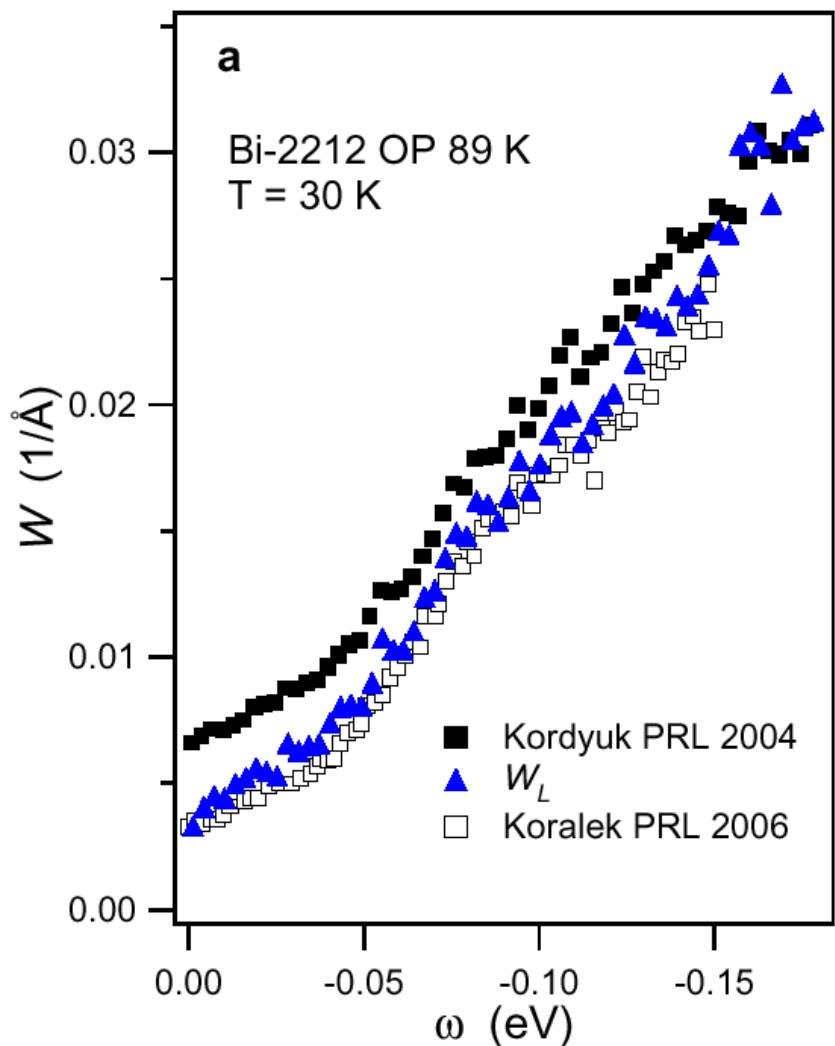
$$W_V = V(W_L, W_G)$$

$$= \frac{W_L}{2} + \sqrt{\frac{W_L^2}{4} + W_G^2}$$

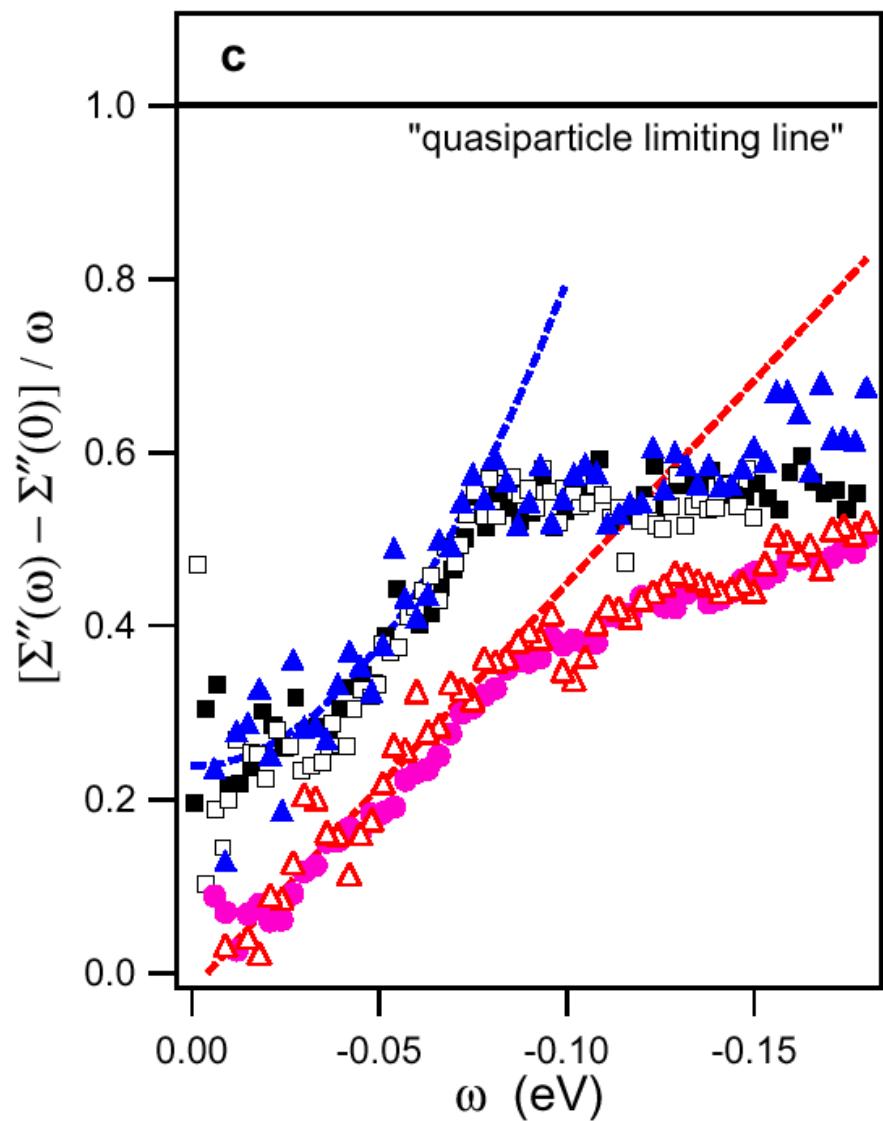
Now for the self-energy:

1. Real impurity scattering
2. Careful energy dependence
3. Careful temperature dependence

Energy dependence



Reduced self-energy function



$$\sigma(\omega) = \frac{\Sigma''(\omega) - \Sigma''(0)}{\omega}$$

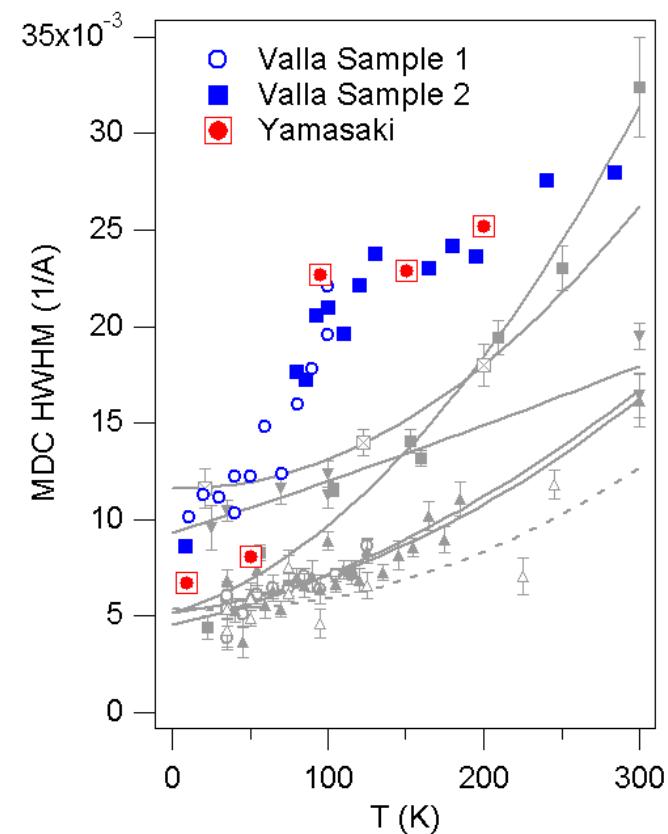
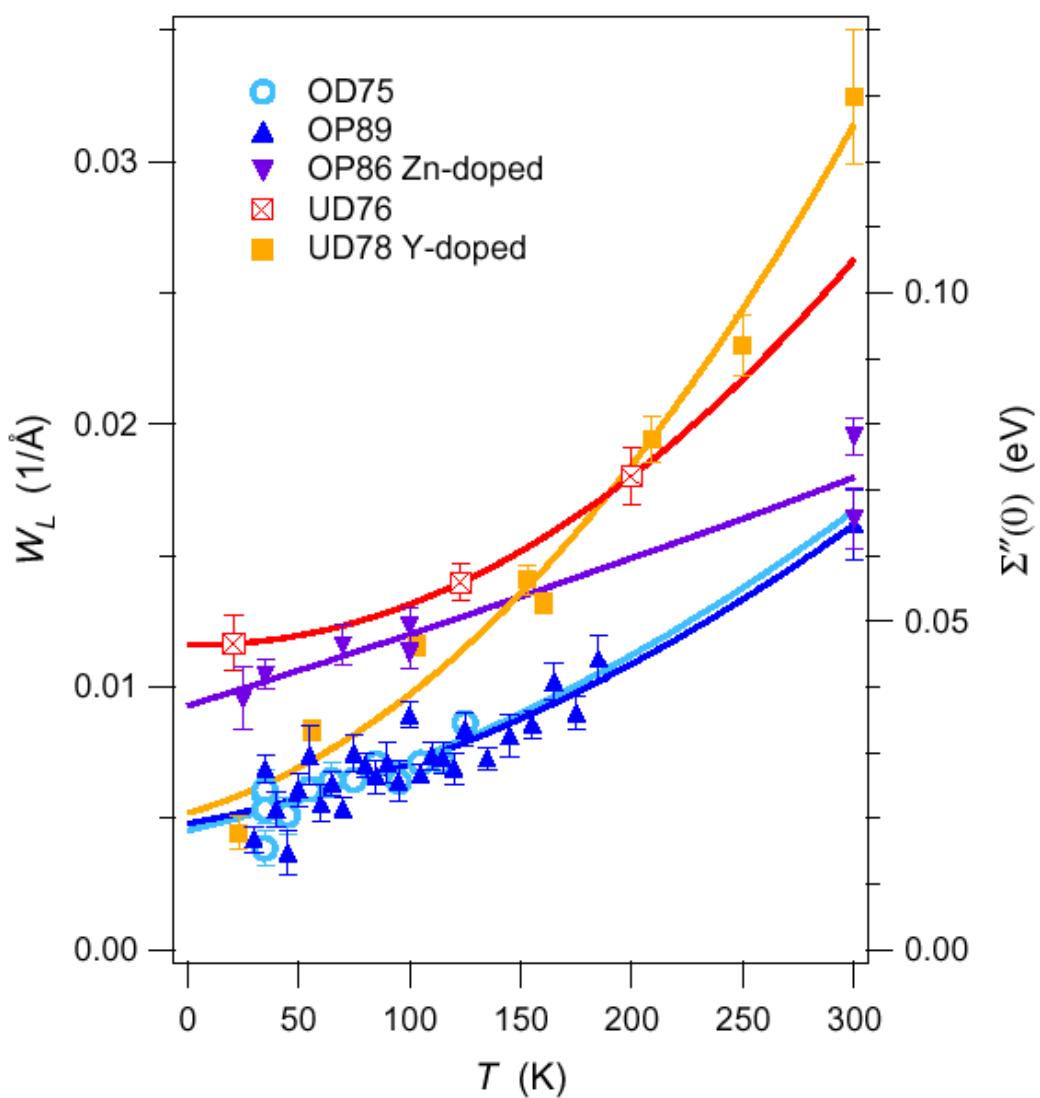
$$\Sigma'' = \text{const} \rightarrow \sigma = 0$$

$$\Sigma'' \propto \omega \rightarrow \sigma = \text{const}$$

$$\Sigma'' \propto \omega^2 \rightarrow \sigma \propto \omega$$

$$\Sigma'' \propto \omega^3 \rightarrow \sigma \propto \omega^2$$

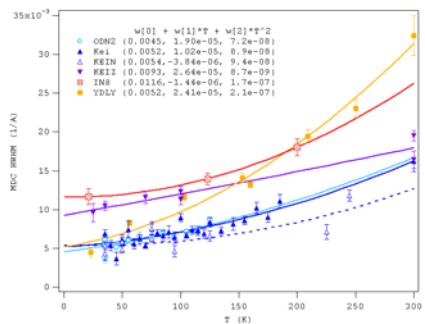
Temperature dependence



pseudo-gap

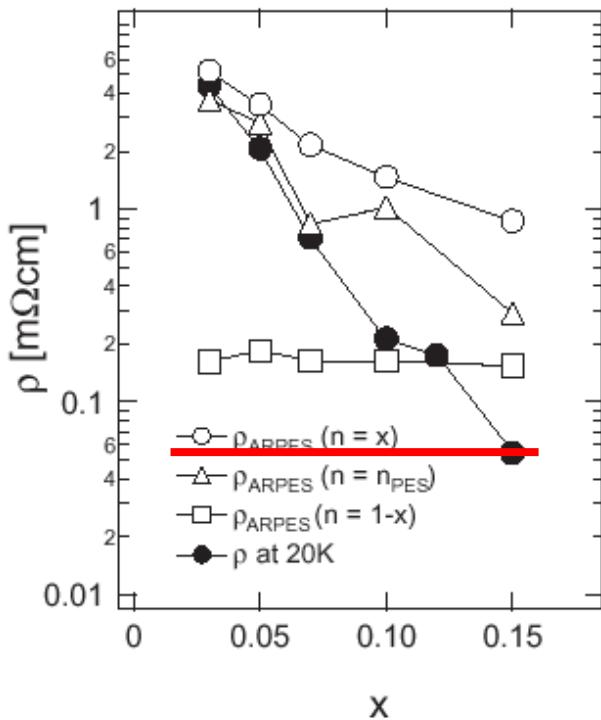
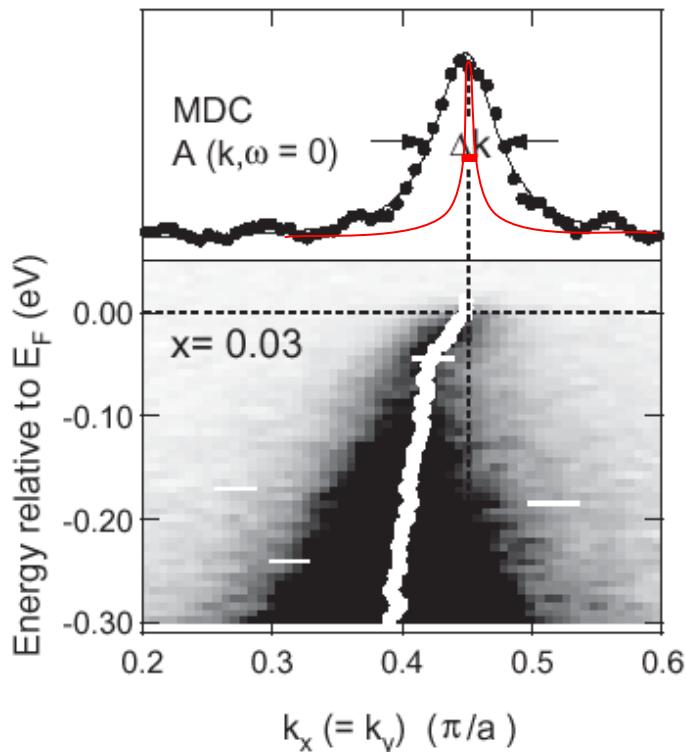
no "arcs" !

Impurity scattering



$$\rho_0 = \frac{m^*}{ne^2\tau} \approx \frac{k_F}{ne^2\hbar} \frac{\sum''_{im}}{v_r}$$

forward or unitary?



$n(x)$?
inhomogeneity

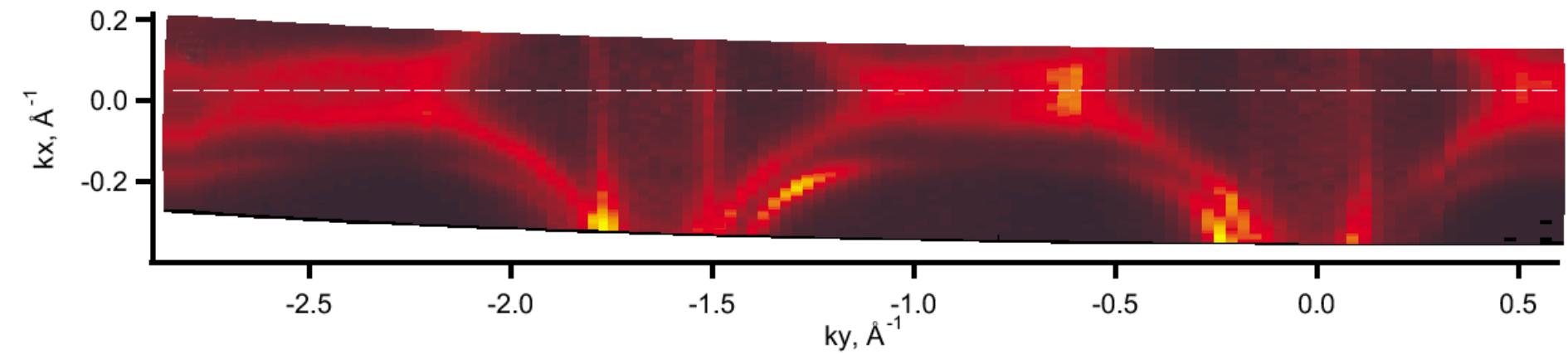
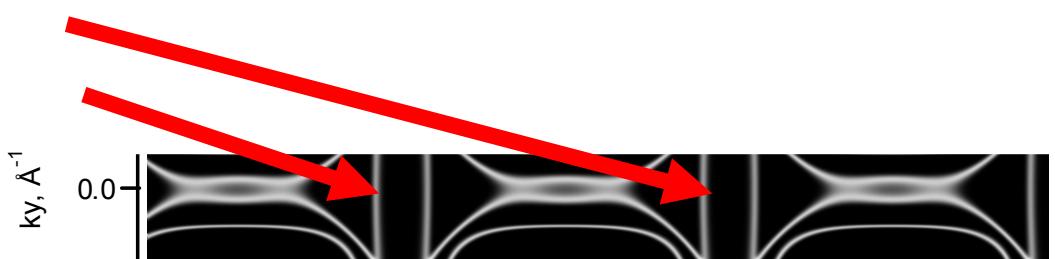
Yoshida *Physica B* 2004

YBCO

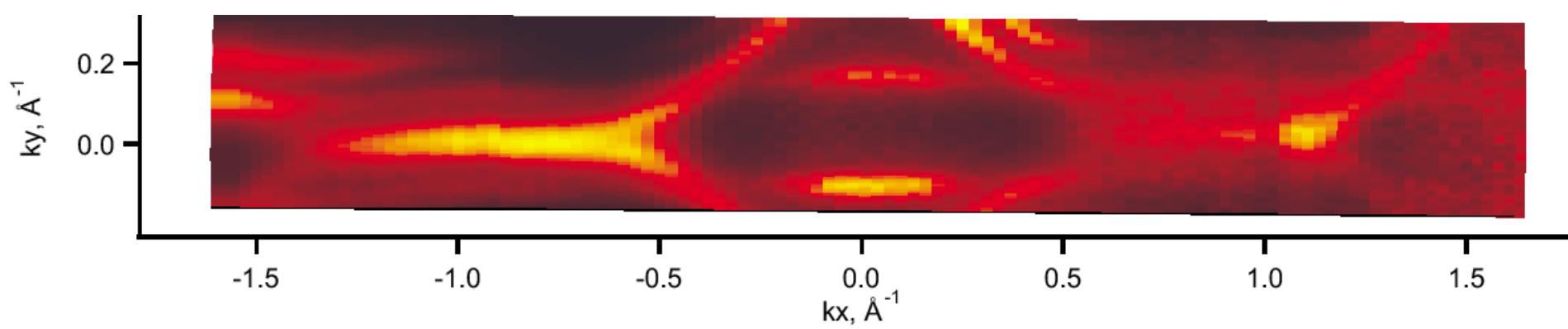
Fermi surface of YBCO

O. K. Andersen et al.

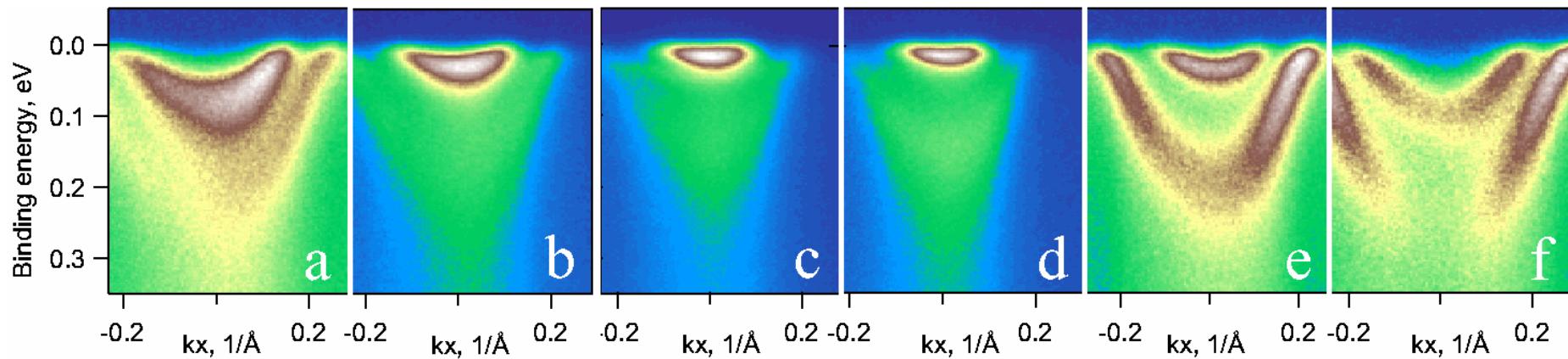
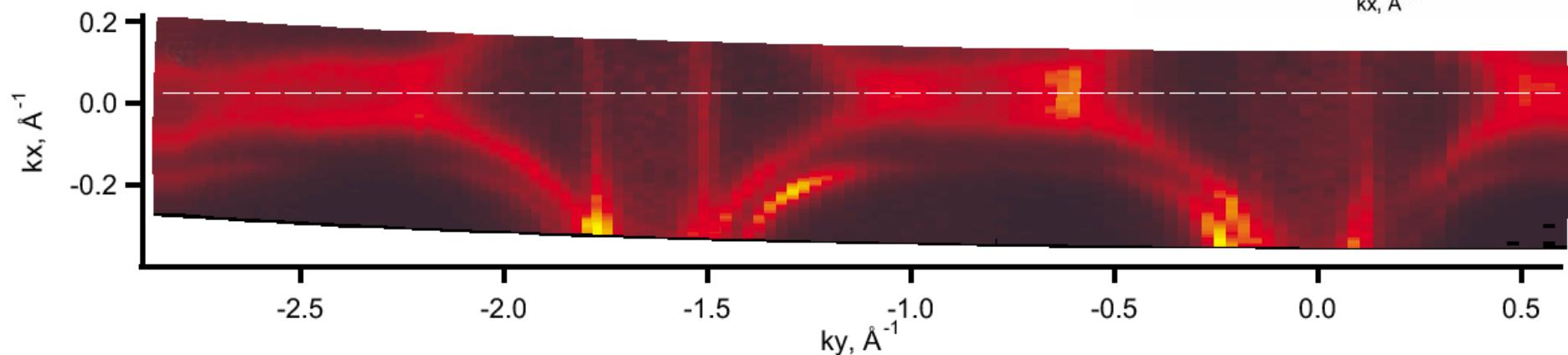
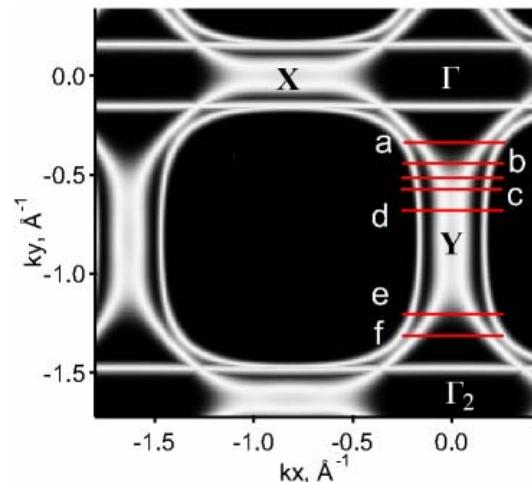
Chain states



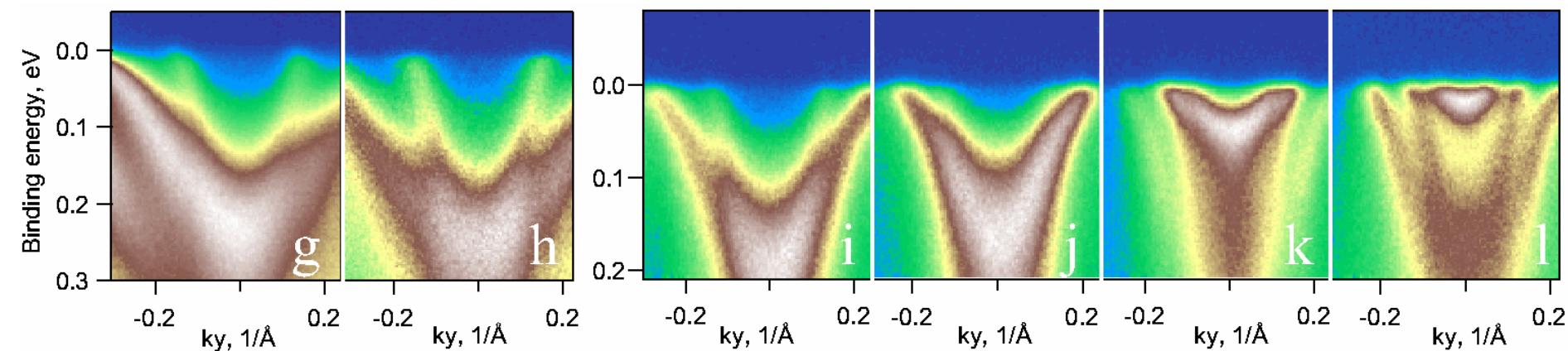
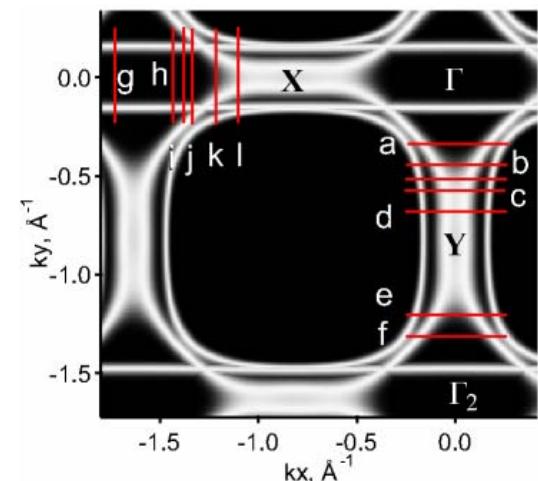
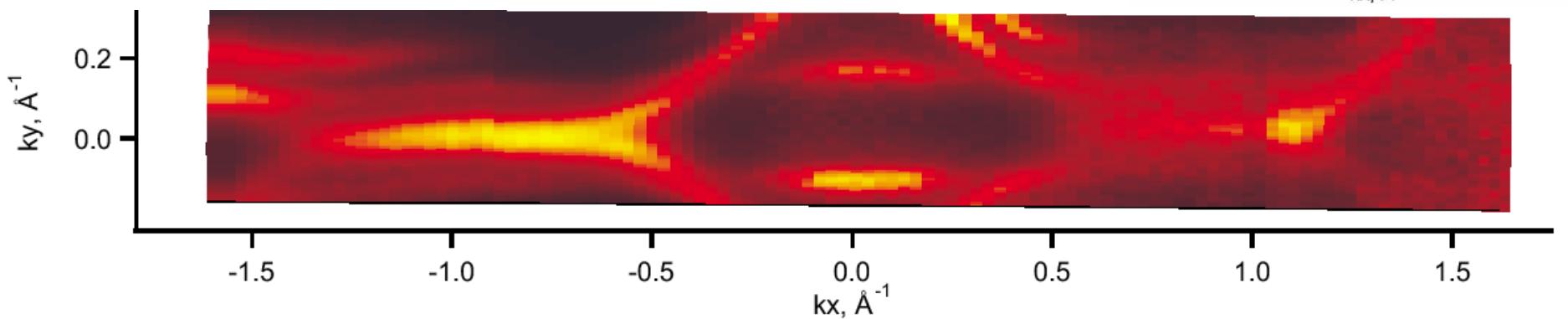
Fermi surface of YBCO



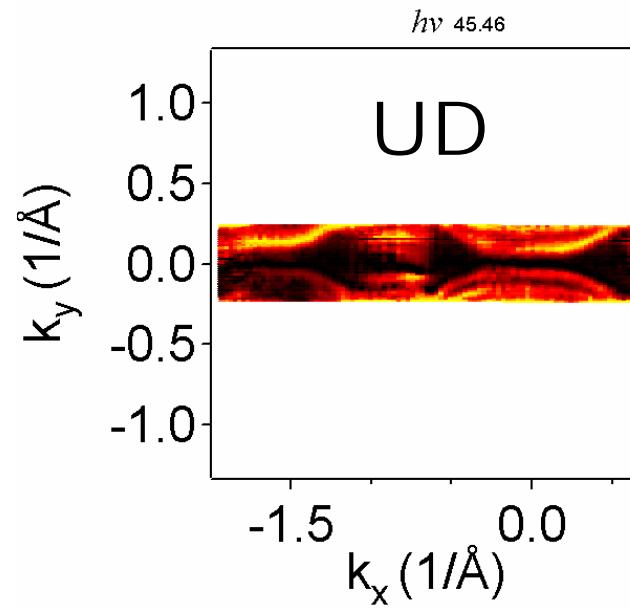
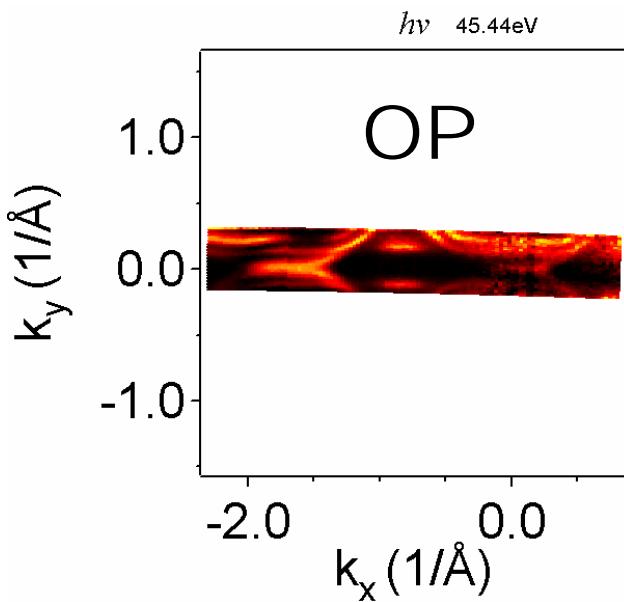
Electronic structure of YBCO



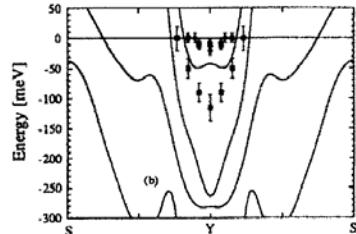
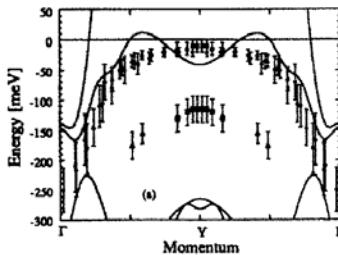
Electronic structure of YBCO



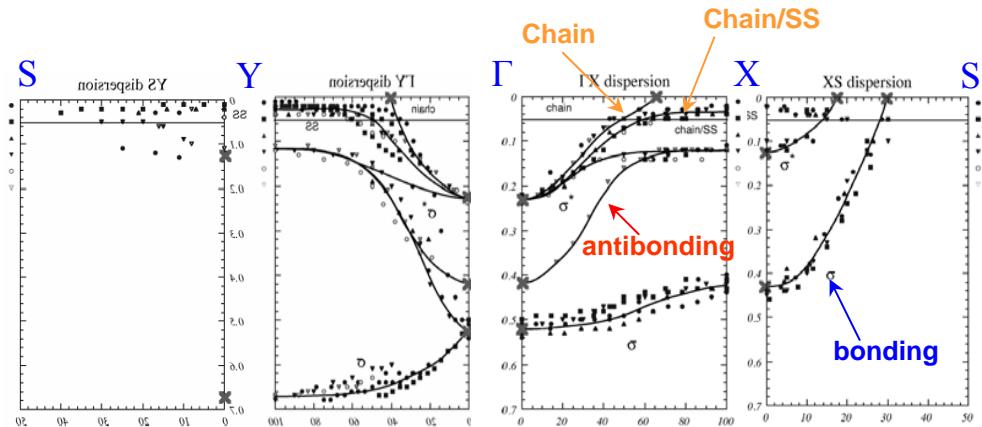
Underdoped and optimally doped



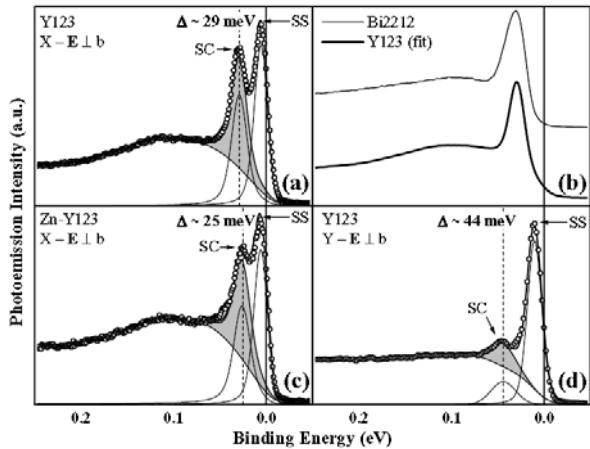
Some of the previous work on YBCO



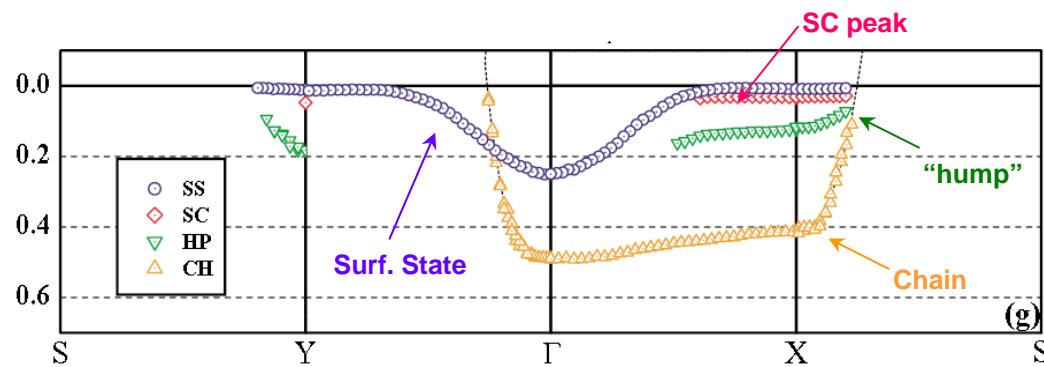
K. Gofron *et al.*, J. Phys. Chem. Solids **54**, 1193 (1993)



M. C. Schabel *et al.*, Phys. Rev. B **57**, 6090 (1998)

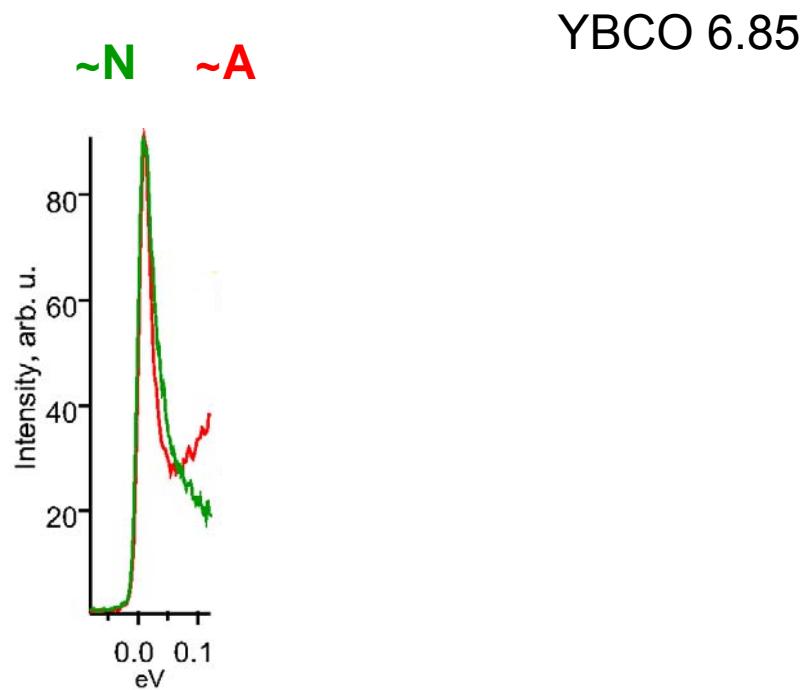


D. H. Lu *et al.*, Phys Rev. Lett **86**, 4370 (2001)

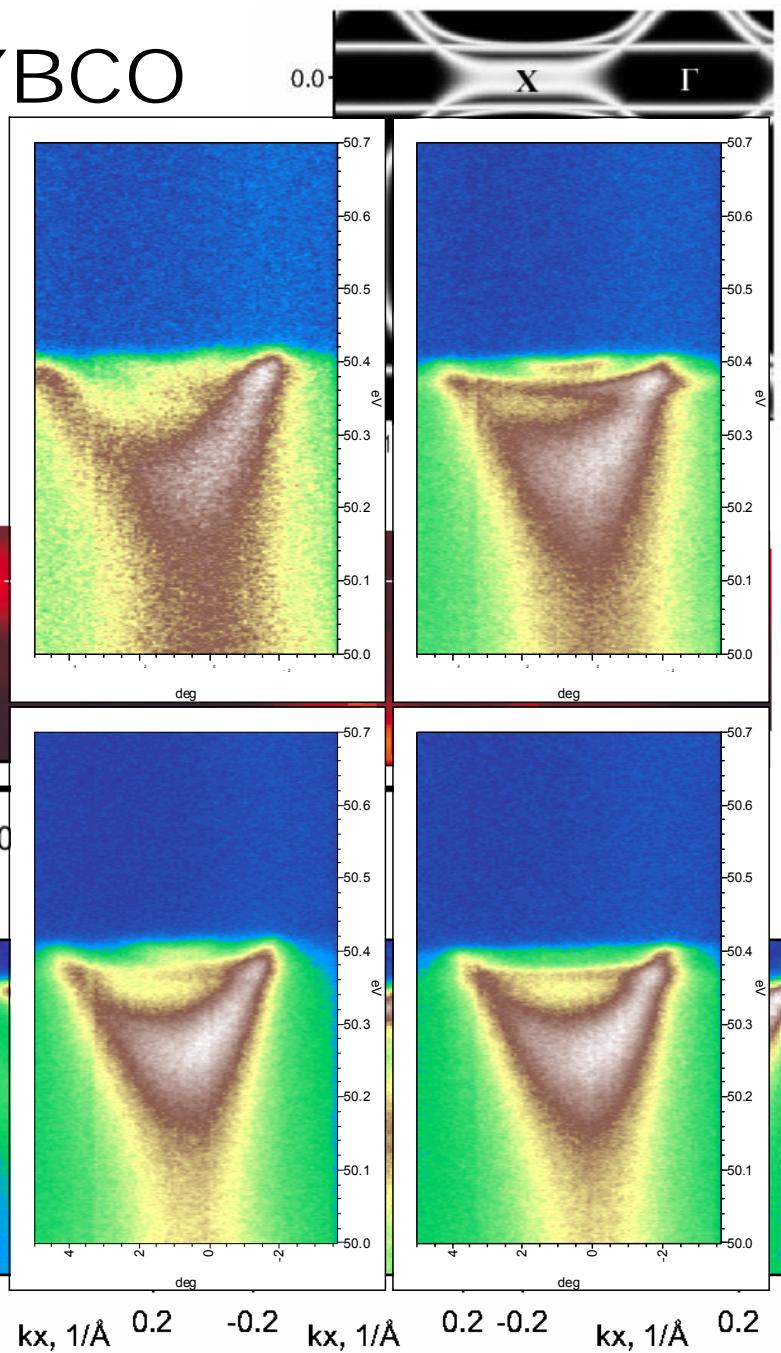
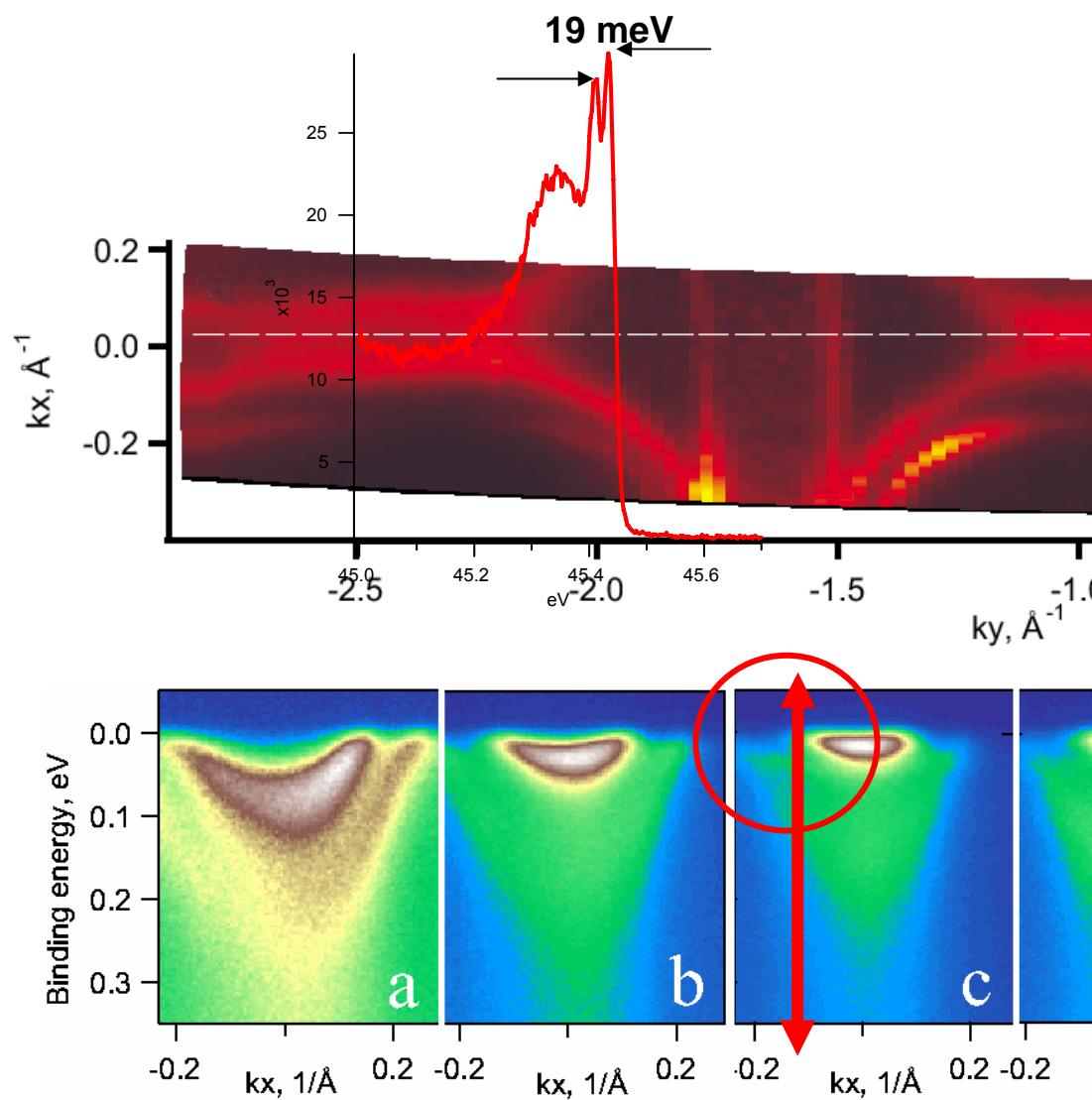


D. H. Lu *et al.*, Phys Rev. Lett **86**, 4370 (2001)

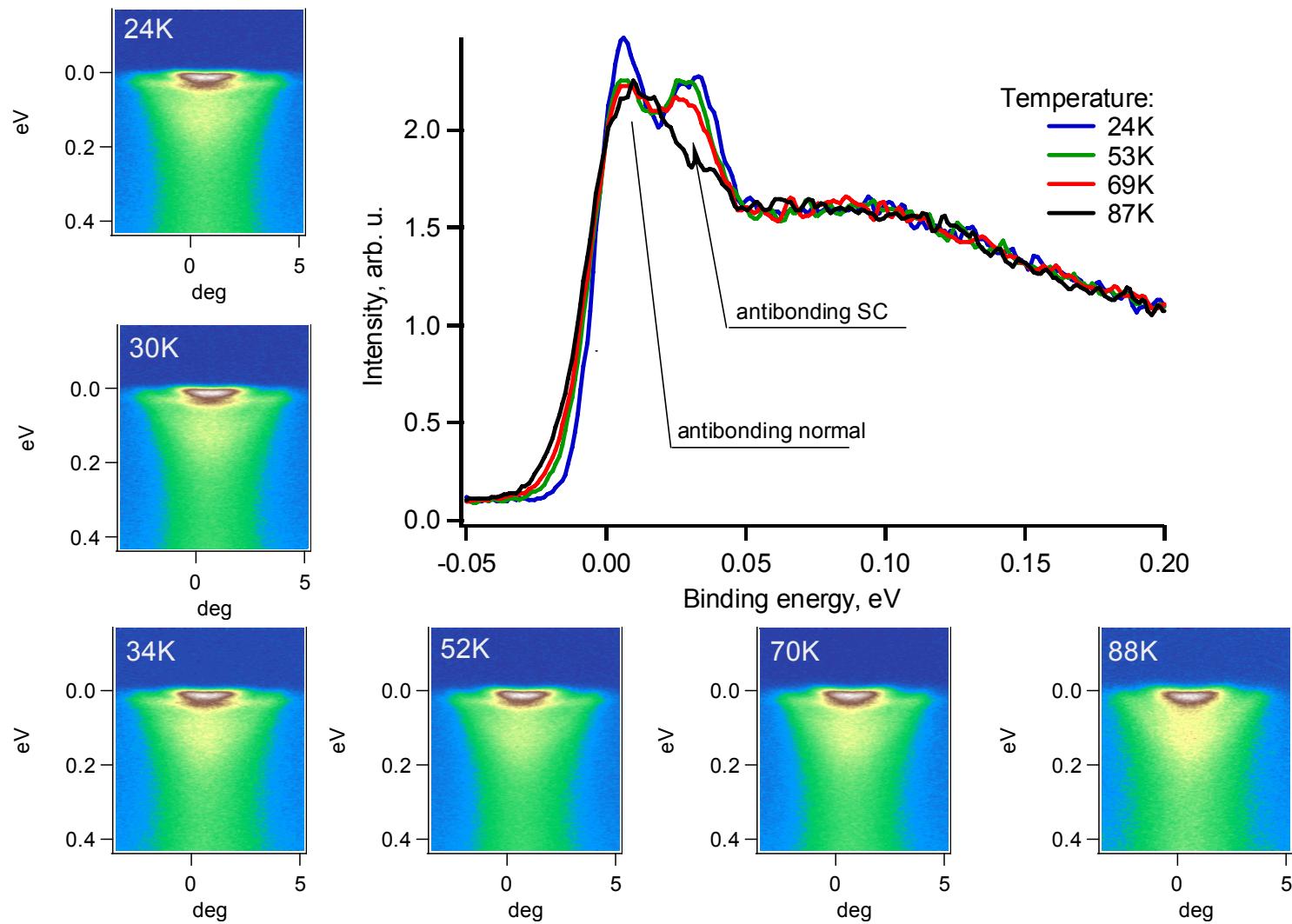
YBCO: Gap?



Electronic structure of YBCO

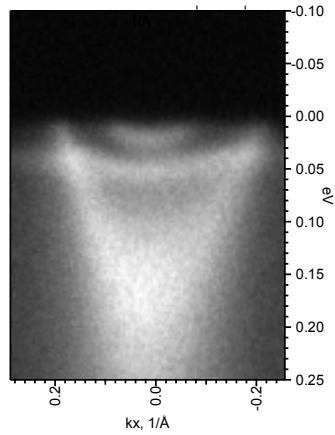


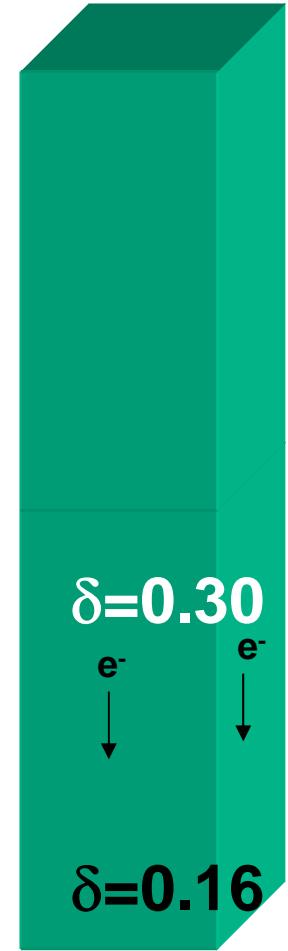
Temperature dependence.

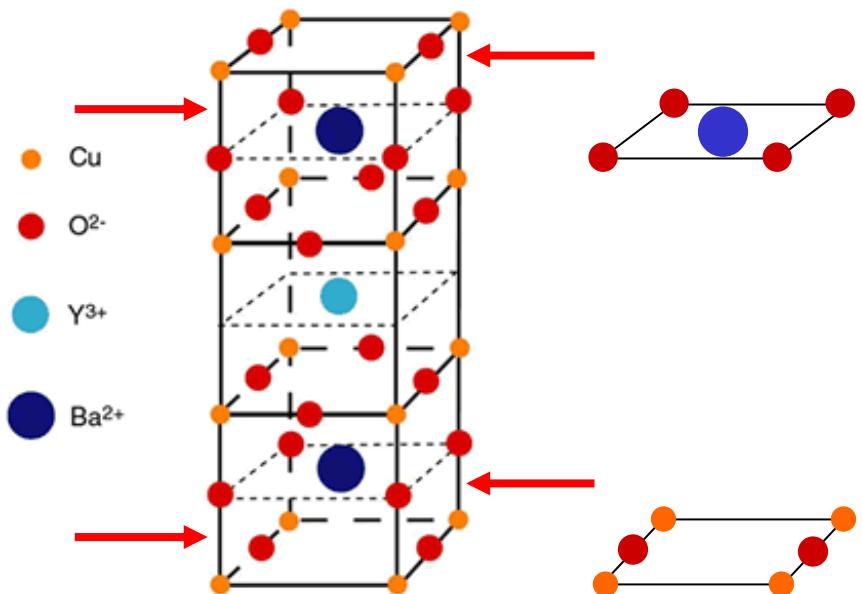


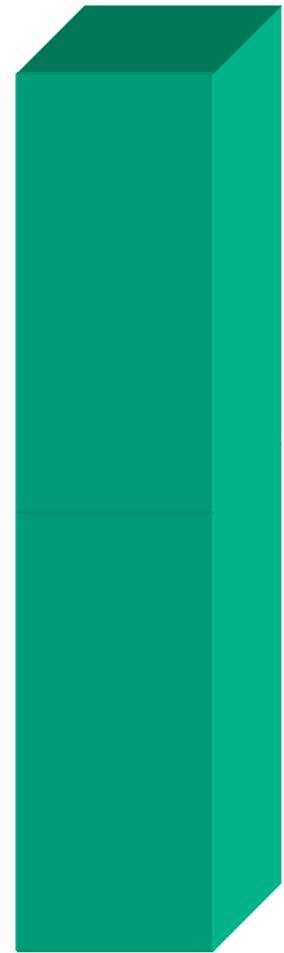
Superconducting component

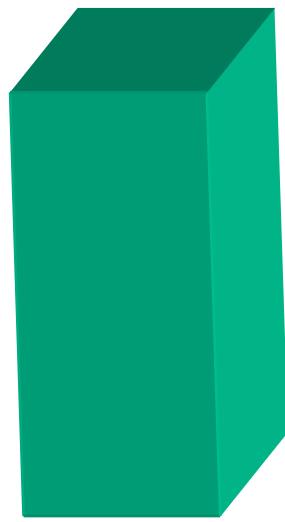
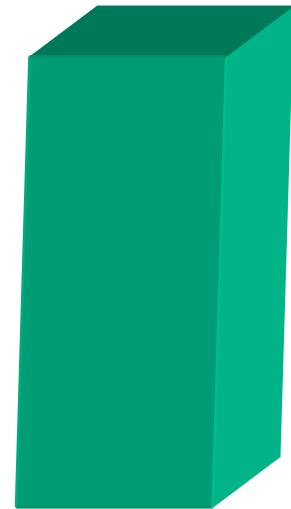
experiment

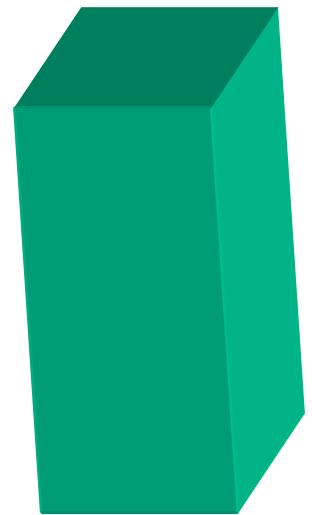
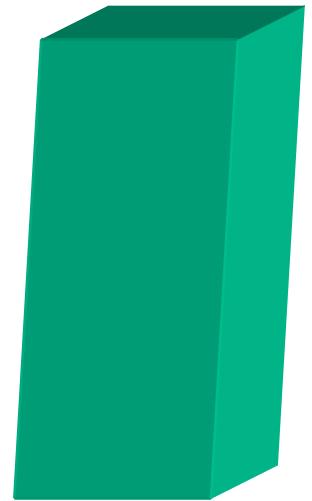


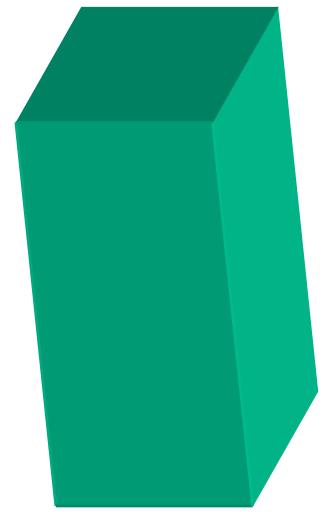
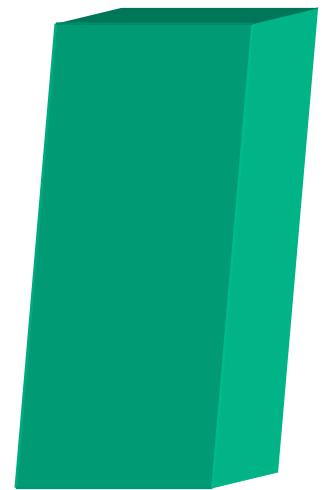


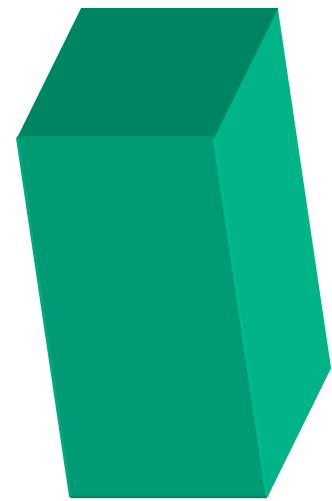
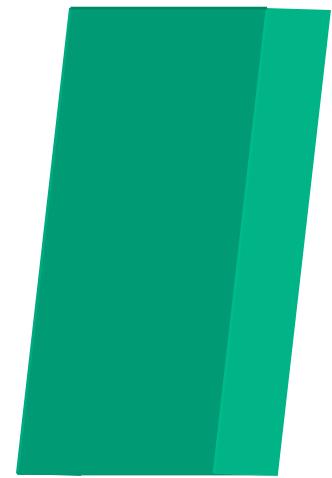


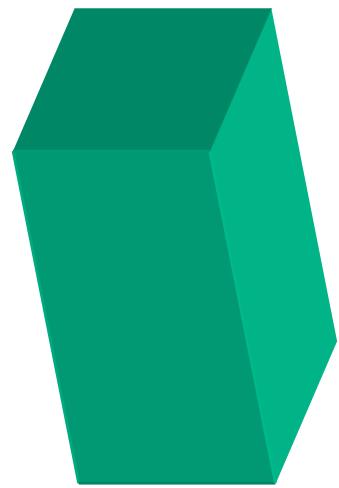
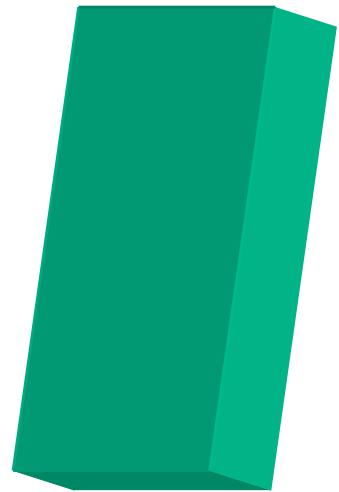


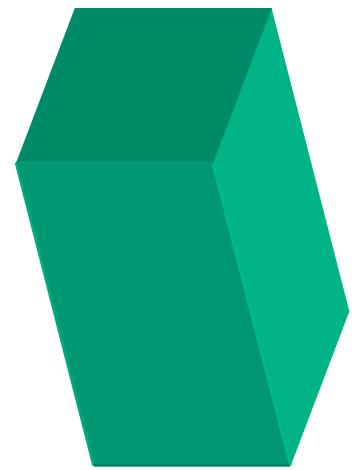
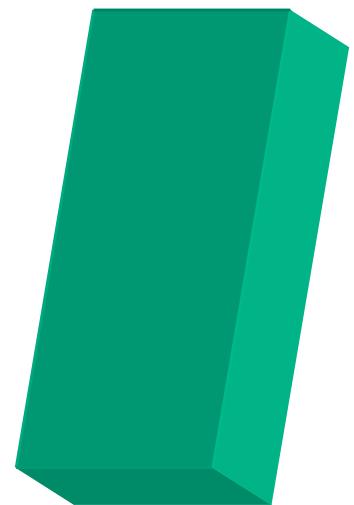


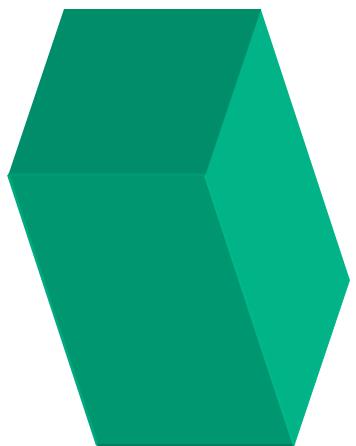


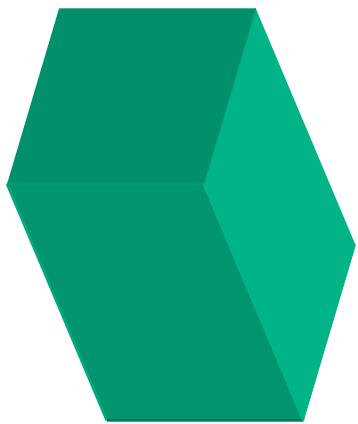
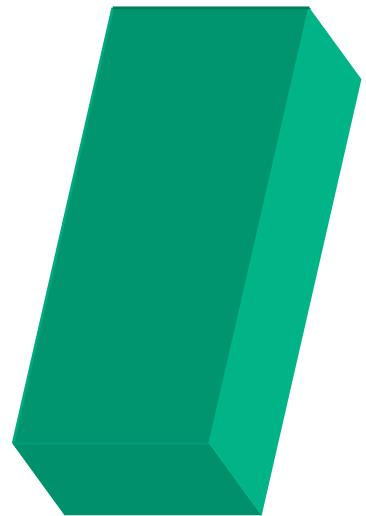


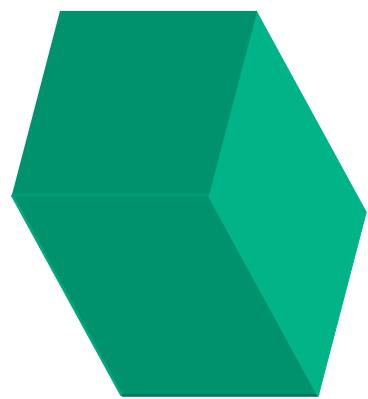
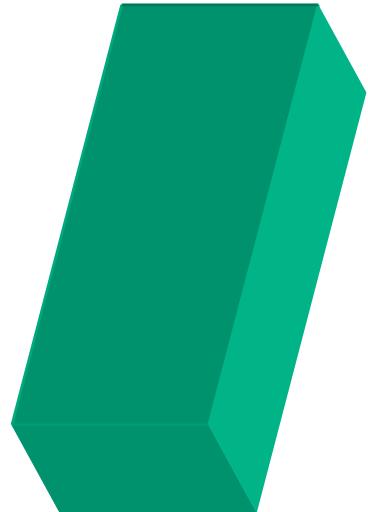


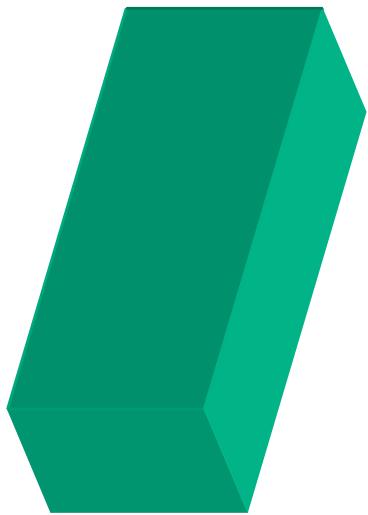


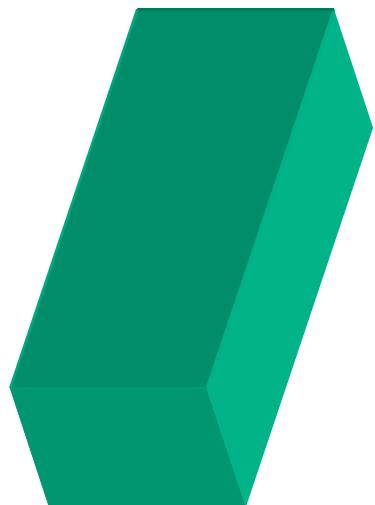


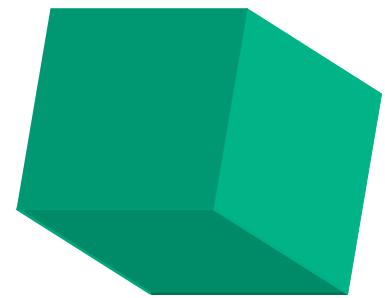
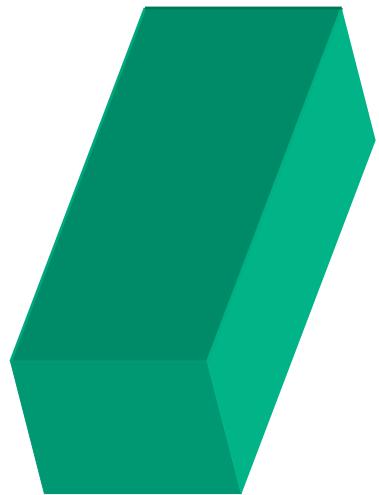


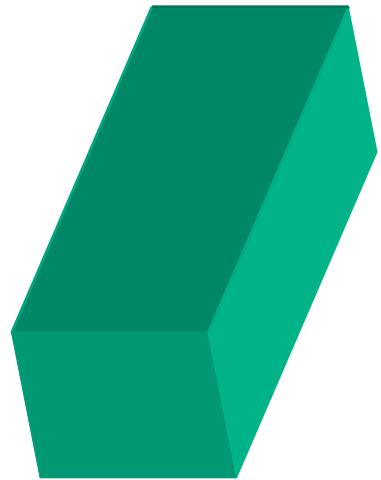


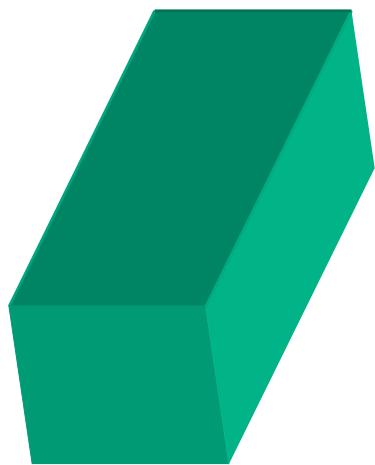


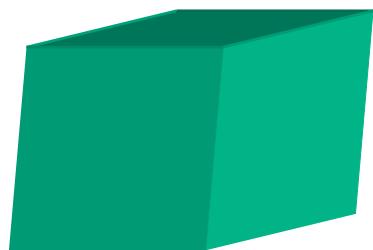
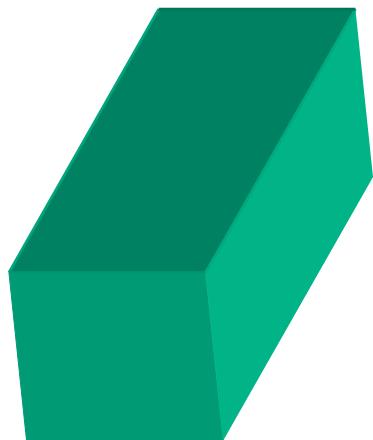


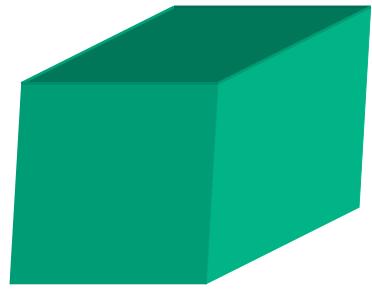
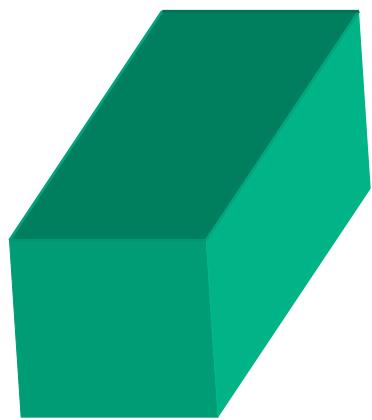


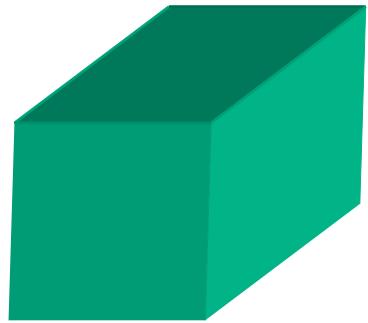
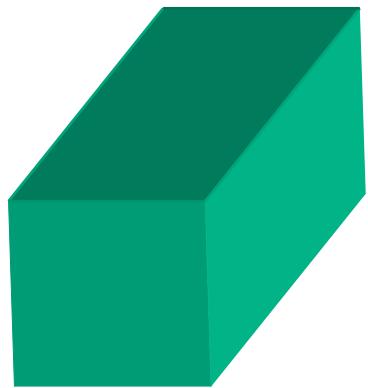


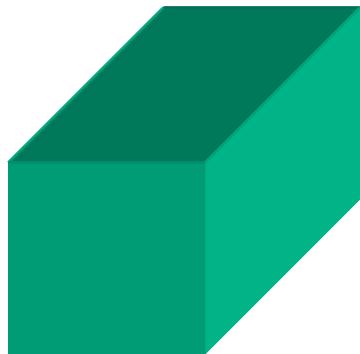


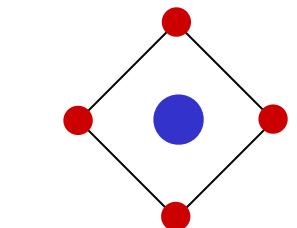












Pan, Hudson, and Davis

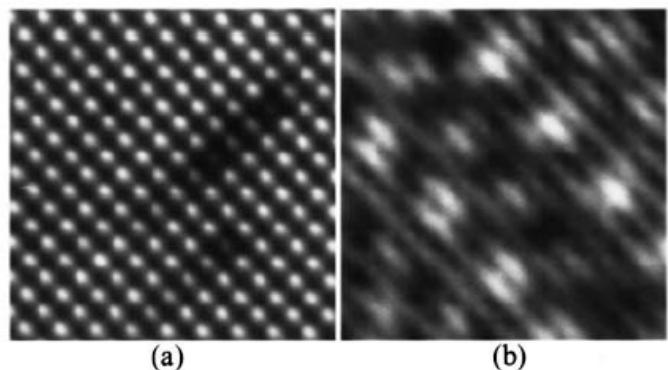
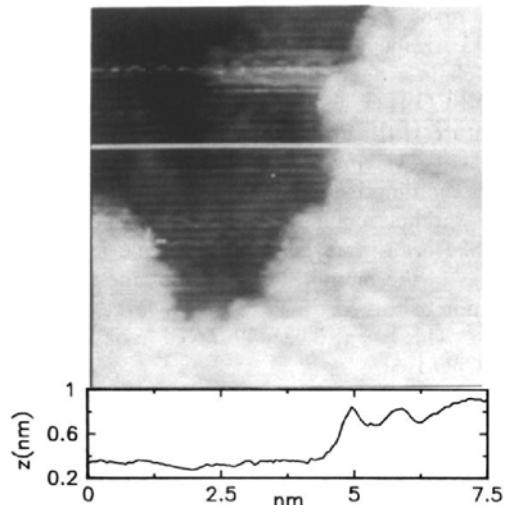


FIG. 7. Images of two surfaces which were exposed after cleavage of single crystal YBCO. (a) BaO plane ($I=1$ nA, $V_{\text{sample}}=100$ mV) with clear atomic resolution and several defects (darker sites). (b) CuO chain plane ($I=30$ pA, $V_{\text{sample}}=60$ mV), showing atomic resolution overlaid with a strong DOS modulation. Both images are 50 Å square.

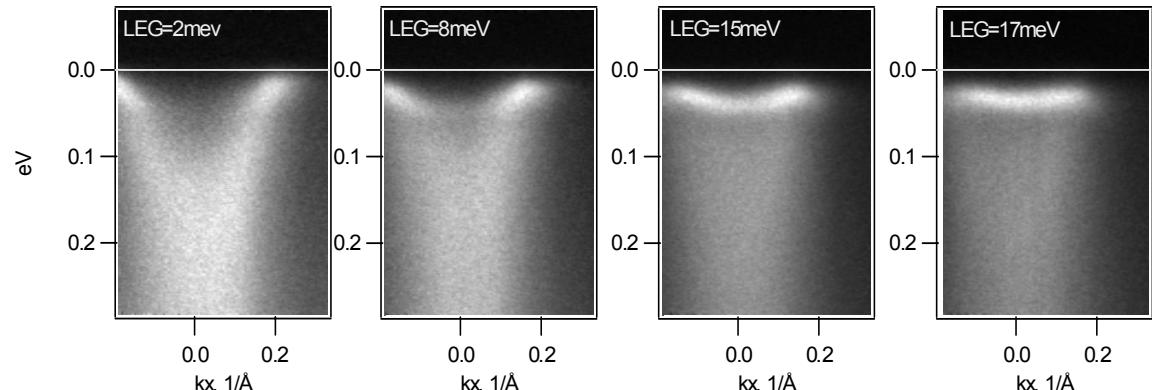
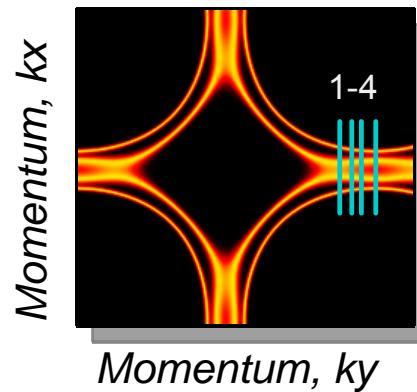
Rev. Sci. Instrum., Vol. 70, 1999



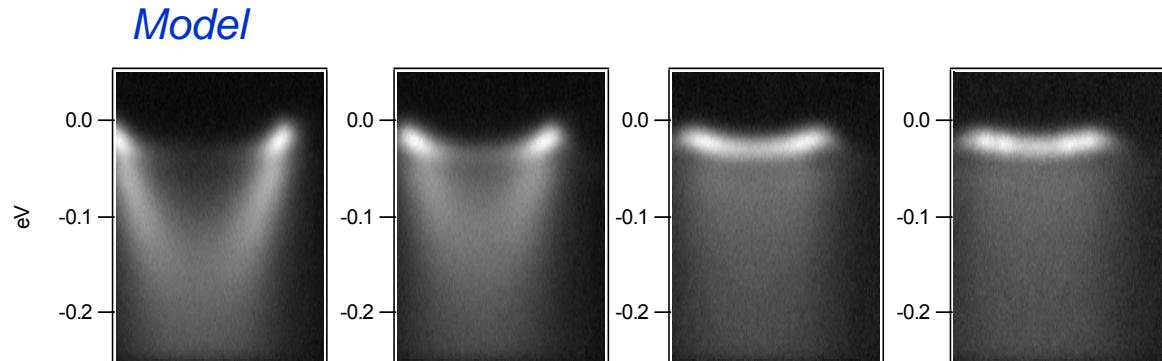
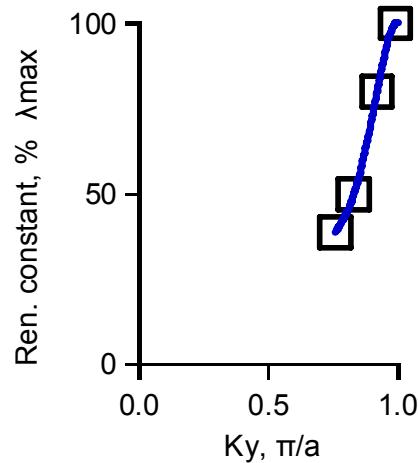
Edwards et al, *Phys. Rev. Lett.* **70**, 2967 (1992)

Momentum dependence in Ca-YBCO

200510 SLS\Ca-YBCO



Experiment



Conclusions (material aspect)

- BSCCO compound is the most suitable for investigation by ARPES. We need careful INS data on phonons and spin-fluctuations.
- Preliminary ARPES results on YBCO show possibility to suppress the surface component and carefully measure the relevant to superconductivity quasiparticle spectrum from the bulk and compare it to INS results.
- It seems unlikely to get relevant to superconductivity information from LSCO neither by ARPES nor by STS.



General conclusions

- Magnetic excitations strongly couples to the conduction electrons—and are, thus, the most probable candidate for mediation of the electron pairing in HTSC.
- The unification of the momentum resolving techniques are required:
 - (1) to identify **ultimately** the "fingerprints" of the relevant bosonic spectrum in both $S(\mathbf{k}, \omega)$ and $D(\mathbf{k}, \omega)$;
 - (2) to determine the origin of the bosonic spectrum (the degree of itinerancy, in case of spin-fluctuations);
 - (3) to understand the role of space inhomogeneity in pairing.
- The current rate of improvement of all of the described techniques suggests that these problems will be solved very soon.



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Single Crystals

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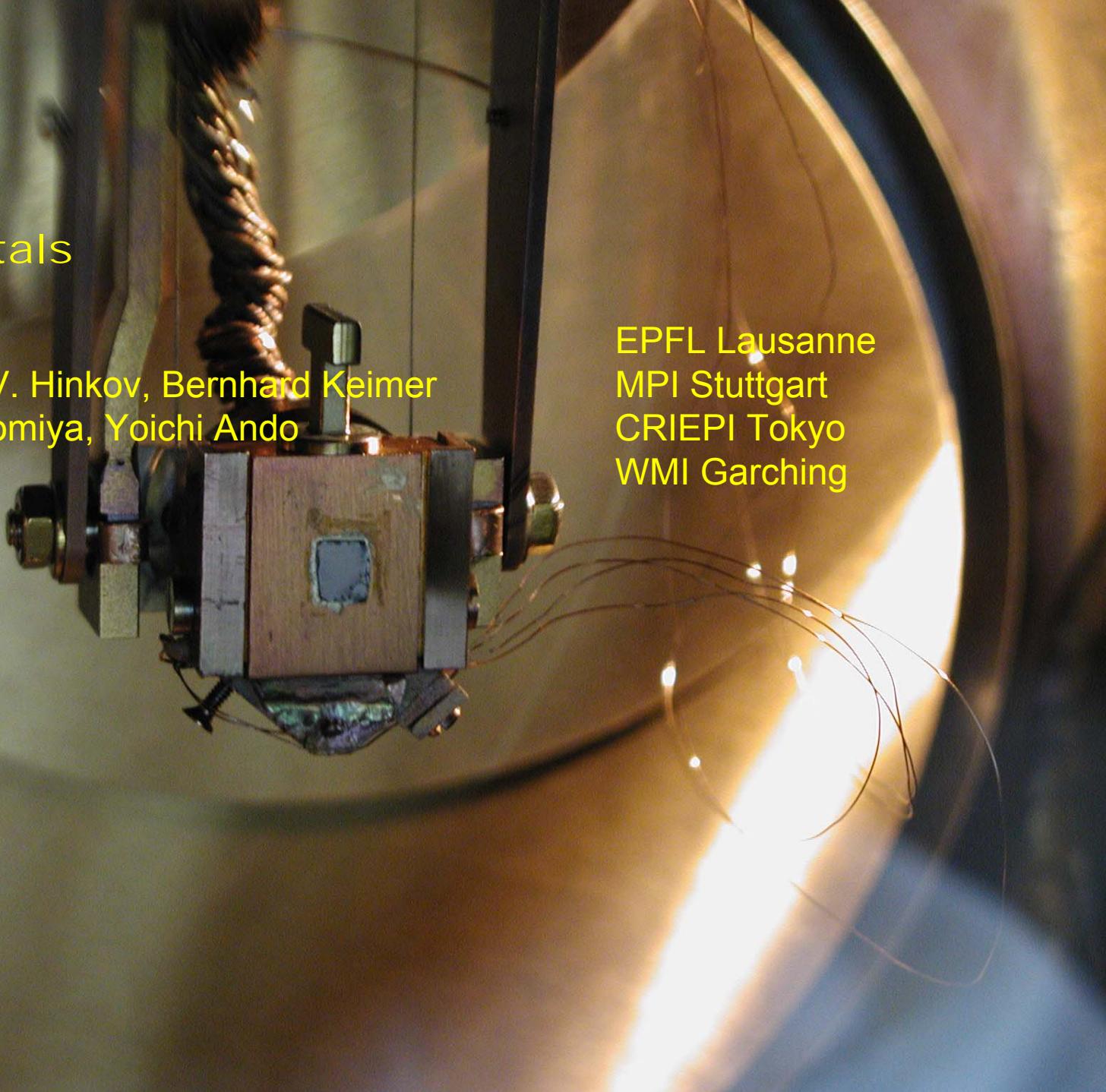
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