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Synthesis and Characterization of Polyfurfural Nanoparticle

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In this paper, polyfurfural is synthesized by hydrochloric acid as catalyst in ethanol. The resulting polymer is characterized by FT/IR, EDS and XPS to determine the polymer structure. By SEM, the morphology of resulting polymer is studied. As found, the polymer consists of globule particles, which clump together and form clusters with average size of about 700 nm. Globule particles are composed of small spherical particles with an average size of 18.6 nm. Polymer thin film is fabricated by anchoring on glass; thin film has rough surface (Rms = 2.12 ± 0.3 nm) and nanoparticles size of 17.8 nm. Based on x-ray diffraction, the crystallization ratio and the nanocrystals’ size (7.42 nm) are calculated. A new method for the determining nanoparticle size from x-ray diffraction data is proposed. The particle size is of 16.18 nm that is less than the size specified by SEM or AFM.

У даній роботі поліфурфурол синтезується соляною кислотою як катализатором в етанолі. Одержанний полімер характеризується інфрачервоною спектроскопією на основі перетвору Фур’є, енергодисперсійною рентгенівською спектроскопією та рентгенівською фотоелектронною
spectroscopy for the determination of the polymer structure. Scanning electron microscopy is used to study the morphology of the obtained polymer. It was found that the polymer consists of parts that stick together forming a cluster with an average size of about 700 nm. The parts of the cluster are made up of small spherical parts with an average size of 18.6 nm. A thin polymer film is prepared by attaching it to a glass; the thin film has a rough surface (with a root mean square deviation of the profile from the mean line $R_{rms} = 2.12 \pm 0.3$ nm) and nanoparticles measuring 17.8 nm. Based on the X-ray diffraction of the radiation, the crystallinity coefficient and the size of nanocrystals (7.42 nm) are calculated. A new method for determining the size of nanoparticles from X-ray diffraction data is proposed. The particle size is 16.18 nm, which is smaller than the size determined by scanning electron microscopy or atomic force microscopy.

**Key words:** polyfurfural, polymers’ characterization, XPS, SEM, nanoparticles’ size, nanoparticle size.

**Ключові слова:** поліфурфурол, характеристика полімерів, рентгенівська фотоелектронна спектроскопія, сканувальна електронна мікроскопія, розмір нанокристалів, розмір наночастинок.

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1. **INTRODUCTION**

Conducting polymers play an important role in the field of material science because of their excellent electrical, optical, optoelectronic properties [1], ease of fabrication, flexibility, and chemical inertness [2]. Although conducting polymers were discovered only seventy years ago, they have a wide range of applications [3]. Conducting polymers are used in gas-separation membranes [4], membranes [5], optical displays [6], solar cells [7], rechargeable batteries [8], sensors, biosensors [9], light-emitting diodes [10], and electrochromic devices [11]. Polypyrrole, polyfuran, polythiophene and their derivatives are common conducting polymers [12]. Polymers can be synthesized by chemical, electrochemical, or plasma methods [1]. Furfural was polymerized by electropolymerization [13, 14], and plasma methods [15]. It was used for fabrication of film-modified electrodes [14, 15]. It is also known that dipping these films with other materials, such as iodine, acid, or others, modifies the electrical and optical properties [1]. Similar monomers synthesized by chemical polymerization, such as 2-carboxy aldehyde thiophene, were polymerized by acid (RSO$_3$H) [16]. The polymerization took place on the expense of the aldehyde group by the electrophilic addition mechanism. The polymer was reduced by hydrazine to ob-
tain the final product [16].

In this research, we synthesize polyfurfural by chemical polymerization by acid catalysis in ethanol. Characterization is made by FT/IR, XPS, and EDS. Nanoparticle size of polymer is studied by SEM, AFM, and XRD.

2. EXPERIMENTAL

2.1. Materials and Measurements

There are used furfural 99% sigma, hydrochloric 35.5% acid sigma, ethanol 98% sigma. Polyfurfural was characterized with UV spectrophotometer between 380–800 nm by Optizen model OUV322 and FT/IR (JASCO FT/IR model M4100) spectrophotometer between 4000 and 400 cm\(^{-1}\) by KBr disk. Surface morphologies were checked by SEM, EDS and XPS (TESCAN model MIRA3). Polymer film was checked by AFM (Nanosurf model eseyscan2); polymer powder film was checked by XRD (Philips, model: PW1370, Cu (0.154056 nm) step size of 0.05 deg.).

2.2. Synthesis

Furfural (21 mmole, 2.0 g) was dissolved in ethanol (25 ml), and then hydrochloric acid 35.5% (10 ml) was added. Mixture solution was placed at room temperature for 8 h. Colour of reaction solution changed to red and then purple. Later on, a black product was precipitated. The polymer forms a thin film on the surface of the reaction vessel or any substrate within the reaction solution. The precipitate was filtered and washed with NaOH (15%) solution, ionized water and ethanol several times, then, dried at 105°C for 48 hours. It was kept for later study.

3. RESULTS AND DISCUSSION

Polyfurfural is a black powder, insoluble in common organic solvents, such as ethanol, methanol, THF, ethyl acetate and acetonitrile, partially soluble in acetone, well soluble in formic acid, DMSO and DMF. It melts at >300°C and then decomposes.

3.1. UV–Vis Spectra

Reaction solution contains furfural of different concentration
Ahmad AL-HAMDAN, Ahmad AL-FALAH, Fawaz AL-DERI et al.

(2.67·10⁻⁵ M, 5.33·10⁻⁵ M, 7.89·10⁻⁵ M, 10.60·10⁻⁵ M, 13.21·10⁻⁵ M) and hydrochloric acid of 3 M in ethanol. These solutions were kept at room temperature for 24 hours.

Then, the UV–Vis spectrum was recorded on the blank of ethanol and acid (3 M). Figure 1 shows the UV–Vis spectra. Absorption spectrums show two maximum absorption peaks at 570 and 740 nm.

Figure 2 shows absorption (at maximum absorption peak) vs. concentration of furfural. Values of molecular absorption coefficient are of 3872 and 7111 l/(mole·cm), respectively. Good stability of absorption at two different peaks is indicative of light absorption by a single component.

3.2. FT/IR Spectrophotometer

FT/IR spectrums of monomer and polymer were recorded (Fig. 3). For monomer in Fig. 3, a, absorption band at 3130 cm⁻¹ was due to aromatic C–H, peaks about 2845 and 2812 cm⁻¹ are attributed to the C–H aldehyde, peak at 1670 cm⁻¹ was related to C=O aldehyde,
and the peaks between 1500 cm\(^{-1}\) and 1000 cm\(^{-1}\) were due to C=C in furan ring and C–H out of plane [17]. In Figure 3, b, FT/IR spectra of polymer have same peaks as in the spectra of monomer, but peak at 1670 cm\(^{-1}\) (C=O aldehyde) is weakened due to consumption of aldehyde by polymerization. No significant difference was observed between the spectrum of furfural and its polymer.

According to above findings and references, the following formula for polymer can be suggested:

![Polymeric formula](image)

3.3. Elemental Analysis by EDS Analysis

The EDS technique utilizes x-rays, which are emitted from the sample during bombardment by the electron beam, to characterize the elemental composition of the analysed volume on a micro- or nanoscale. An electron beam is scanned across the sample surface and generates x-ray fluorescence from the atoms in its path. The energies of the x-ray photons are characteristic of the element, which produces them. The EDS x-ray detector measures the number of emitted x-rays vs. their energy. The energy of the x-ray is characteristic of the chemical element, from which the x-ray is emitted [18].

Table 1 shows EDS results for three areas of polymer surface. A
slight difference is noted due to the inaccuracy of the EDS analysis in determining the ratio of elements. The polymer contains carbon 66.4%, chlorine 13.3%, and oxygen 20.3%. The Cl/C ratio is of about 20% (one chlorine atom per five carbon atoms), and the O/C ratio is of about 30.6%; higher percentage of oxygen is due to water molecules adsorbed in the polymer structure.

### 3.4. Scanning Electron Microscope (SEM)

The morphological features of polyfurfural synthesis have revealed that growth mostly occurs in the globular form with some changes due to solvent effects.

Typical SEM images of polyfurfural preparations are shown in Fig. 4. The photographs show a globular structure clumped together as clusters. Figure 4, a, b shows the average size of globules of about 700 nm. The globule particles were composed of small spherical particles with an average size of 18.6 nm (Fig. 4, c). Polymers grow to be spherical particles and then begin to clump on each other to form huge globules (tens of times bigger). Globule particles were merged together to be clustered.

![Fig. 4. Images obtained by means of the scanning electron microscope for polyfurfural.](image-url)
3.5. X-Ray Photoelectron Spectroscopy Analysis

The sample is subjected to irradiation from a high-energy source. The x-ray penetrates only 1–10 nm under the surface (depending on the tilting angle of sample). As an atom absorbs x-rays, the energy will produce electron from C 1s orbital. The ejected electron has a kinetic energy that is related to the energy of the incident beam, and the electron binding energy is specific for the element [19].

Figure 5 shows x-ray photoelectron spectroscopy for polymer (PFFu). The spectra have peaks: first one at 536.1 eV (O 1s), second one at 287.8 eV (C 1s). With an analysis of (C 1s) spectra (Fig. 6), it is possible to determine how much carbon is bound to the hydrogen and oxygen in the polymer structure as follows:

\[
\text{binding energy, eV}
\]

\[\text{Fig. 5. X-ray photoelectron spectroscopy for (PFFu).}\]

\[\text{Fig. 6. (C 1s) XPS spectra with typical peaks.}\]
Table 2 shows comparison between the types of carbon in polymer structure:

1. C–C’(H)–C: carbon atom bonded to carbon and hydrogen in the furan ring at 287.9 eV (area = 40.64%);
2. C–C’(H)–C: carbon atom bonded to carbon and hydrogen out of furfural ring at 286.5 eV (area = 18.43%);
3. C–C’–O: carbon atom bonded to carbon and oxygen in the furan ring at 287.9 eV (area = 40.50%);
4. C–C’=O: carbon atom bonded to carbon and oxygen in aldehyde group at 291.2 eV (area = 0.43%).

### Table 2. Comparison between the types of carbon in polymer structure by XPS.

<table>
<thead>
<tr>
<th>No.</th>
<th>Name</th>
<th>Bond energy, eV</th>
<th>FWHM, eV</th>
<th>Area</th>
<th>Area%</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>C–H (ring)</td>
<td>287.9</td>
<td>1.86</td>
<td>56986.4</td>
<td>40.64%</td>
</tr>
<tr>
<td>2</td>
<td>C–H</td>
<td>286.5</td>
<td>1.86</td>
<td>25835.6</td>
<td>18.43%</td>
</tr>
<tr>
<td>3</td>
<td>C–O</td>
<td>287.9</td>
<td>1.86</td>
<td>56779.2</td>
<td>40.50%</td>
</tr>
<tr>
<td>4</td>
<td>C=O</td>
<td>291.2</td>
<td>1.86</td>
<td>609.456</td>
<td>0.43%</td>
</tr>
</tbody>
</table>

3.6. Atomic Force Microscopy (AFM)

Thin film of PFFu was fabricated by anchoring in the reaction mixture [20]. The resulting films were studied by atomic force microscopy (AFM). Figure 7 shows topography and 3D image of polymer film. AFM was used as a powerful technique to study the morphology of thin-films’ surfaces and to determine the nanoparticle size. The measurement includes scans of 2 μm×2 μm areas of thin film. The nanoparticle size was of about 17.8 nm. Film consists of asym-

![Fig. 7. Topography (a) and 3D image (b) for PFFu film.](image-url)
metric particles, which form a rough surface ($R_{ms} = 2.12 \pm 0.3$ nm and $R_s = 1.67 \pm 0.3$ nm).

### 3.7. X-Ray Diffraction (XRD)

X-ray diffraction data are commonly used to determine the crystalline percentage and crystal size. The size of the crystals is calculated from the Scherrer’s equation, and crystalline percentage is given by Eq. (1):

$$P_{cry} \% = \frac{A_{cry}}{A_{cry} + A_{amo}} \cdot 100,$$

(1)

where $A_{cry}$ is a sum of crystalline peak areas (sharp peaks) and $A_{amo}$ is an area of amorphous peak [21].

Figure 8 shows the analysis of x-ray diffraction data. The crystalline percentage $P_{cry} \%$ was of about 9.63%.

Table 3 shows crystal size, percentage areas of crystalline peaks and their parameters; crystal size is of 7.42 nm.

Semi-crystalline nanoparticles are formed in three stages: nucleation, crystal growth, and then, an amorphous shell formed around the small crystals. Sometimes, these particles agglomerate to form larger particles or clusters. The percentage of the crystalline part represents the mass percentage, which is given by Eq. (2):

$$P_{cry} \% = \frac{m_{cry}}{m_{tot}} \cdot 100,$$

(2)
TABLE 3. Percentage areas of crystalline peaks and their parameters.

<table>
<thead>
<tr>
<th>Crystal size, nm</th>
<th>P, %</th>
<th>Size, nm</th>
<th>Theta, rad</th>
<th>W.H.T., rad 10^-2</th>
<th>Area</th>
<th>Peak</th>
</tr>
</thead>
<tbody>
<tr>
<td>7.42</td>
<td>3.43%</td>
<td>6.12</td>
<td>0.3314</td>
<td>2.40</td>
<td>433.56</td>
<td>P1</td>
</tr>
<tr>
<td></td>
<td>1.11%</td>
<td>10.60</td>
<td>0.3925</td>
<td>1.42</td>
<td>140.92</td>
<td>P2</td>
</tr>
<tr>
<td></td>
<td>9.63%</td>
<td>0.72%</td>
<td>11.03</td>
<td>0.6629</td>
<td>91.40</td>
<td>P3</td>
</tr>
<tr>
<td></td>
<td>1.75%</td>
<td>5.79</td>
<td>0.2791</td>
<td>2.49</td>
<td>221.56</td>
<td>P4</td>
</tr>
<tr>
<td></td>
<td>2.61%</td>
<td>7.85</td>
<td>0.4448</td>
<td>1.96</td>
<td>329.71</td>
<td>P5</td>
</tr>
<tr>
<td></td>
<td>90.37%</td>
<td></td>
<td>—</td>
<td></td>
<td>11421.37 amorphous</td>
<td></td>
</tr>
</tbody>
</table>

where \( m_{\text{tot}} \) is mass of particles and \( m_{\text{cry}} \) is mass of the crystal.

Nanoparticles are spherical; their mass can be calculated by Eq. (3):

\[
m_i = d_i \frac{4\pi}{3} r^3.
\]

Let us substitute Eq. (3) into Eq. (2):

\[
P_{\text{cry}} \% = \frac{d_{\text{cry}}}{d_{\text{av}}} \cdot \frac{4\pi}{3} \frac{r_{\text{cry}}^3}{r_{\text{tot}}^3} \cdot 100.
\]

With an acceptable approximation, the density can be considered constant, and Eq. (4) can be written as:

\[
P_{\text{cry}} \% = \frac{r_{\text{cry}}^3}{r_{\text{tot}}^3} \cdot 100,
\]

\[
P_{\text{cry}} \% = \frac{D_{\text{cry}}^3}{D_{\text{tot}}^3} \cdot 100,
\]

where \( D_{\text{cry}} \) is nanocrystal size (by Scherrer’s equation), and \( D_{\text{tot}} \)—nanoparticle size:

\[
D_{\text{tot}} = \frac{D_{\text{cry}}}{\sqrt[3]{P_{\text{cry}}}}.
\]

This equation gives the volume of the nanocrystals with the amorphous shell. By crystalline peaks’ parameters from Table 3, the nanoparticles size can be calculated by Eq. (7). The nanoparticles’ size is of 16.18 nm. The size of nanoparticles determined by this method is more realistic, because it includes a larger number of
particles, and it is not selective as in the case of SEM and AFM.

4. CONCLUSIONS

Polyfurfural was synthesized by a novel, simple and easy method by adding concentrated hydrochloric acid to the monomer solution in ethanol. The polymer was characterized by FT/IR, EDS, and XPS to confirm its structure. The polymer is deposited as globule particles (average size was of 700 nm), which were composed of small spherical particles with average size of about 20.8 nm (by SEM in the synthesis conditions). Polymer thin film was fabricated by anchoring on glass with a rough surface ($R_{ms} = 2.12 \pm 0.3$ nm) and nanoparticle size of 17.8 nm. In x-ray diffraction, the crystallization ratio and the nanocrystals' size ($7.42$ nm) were calculated. A new method for determining nanoparticle size from x-ray diffraction data is proposed. The particle size was of $16.18$ nm that is less than the size specified by SEM or AFM.

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